

Demystifying Black Holes

Gia Dvali

LMU - MPI

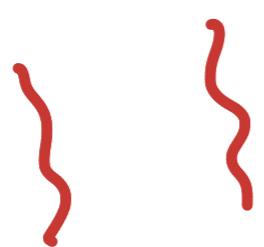
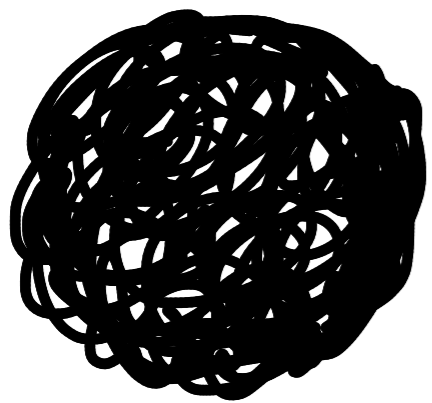
2003.05546 [hep-th]

1907.07332

1906.03530

- + O. Sakhelashvili 2111.03620
- + O. Kaikov, J. Valbuena 2112.00551
- + F. Kühnel, M. Zantedeschi 2112.08354

Black holes are considered
to be mysterious



⊛ Hawking temperature

$$T = \frac{1}{R}$$

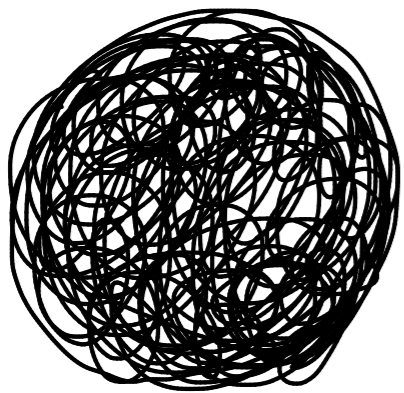
⊛ Bekenstein-Hawking entropy

$$S_{BH} = \frac{\text{Area}}{G_N}$$

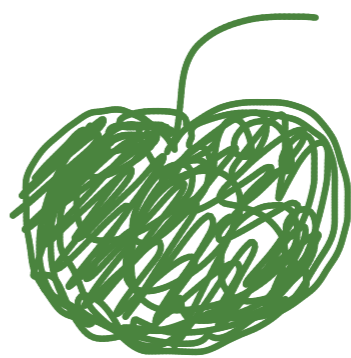
⊛ Long time-scale of information
retrieval. (Page):

$$t \sim S R \sim \frac{R^3}{G_N}$$

How special are black
holes?



Black hole



Apple



In order to compare objects
we must bring them into the
same universality class.

We wish to show that all these "mysterious" properties are fully shared by objects that have maximal entropy compatible with unitarity.

We call them "Saturns".

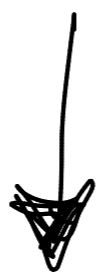
In particular, we shall demonstrate their existence in renormalizable calculable theories.

This indicates that:

Black hole = Saturn

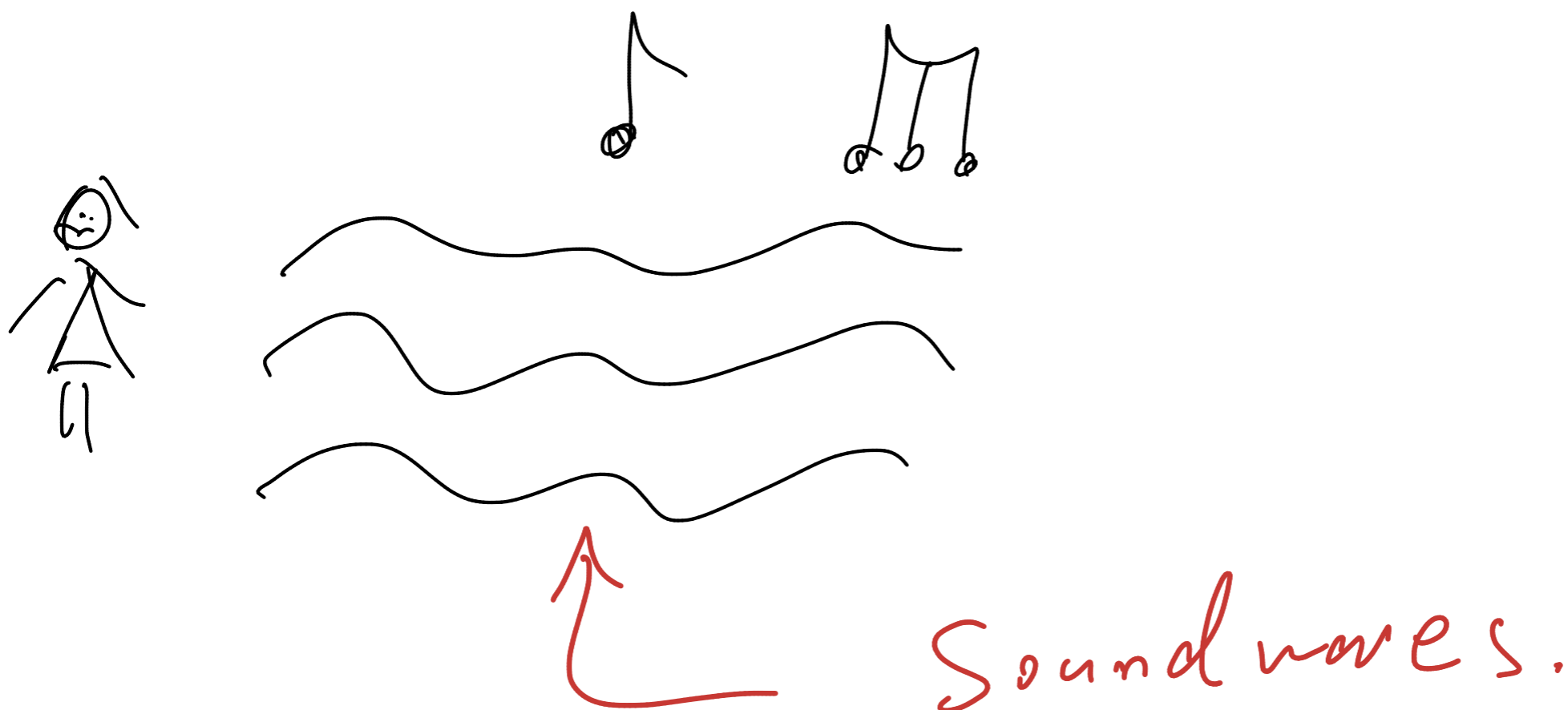
Goldstone theorem:

Spontaneous breaking of
global symmetry



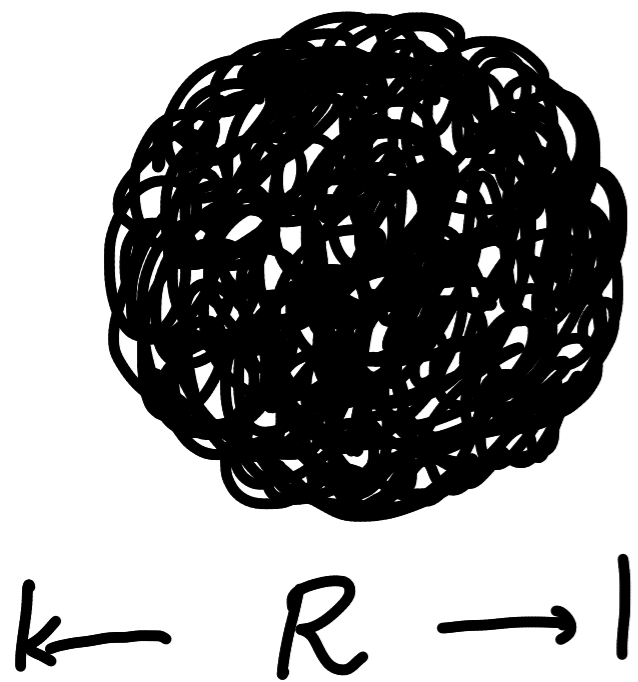
Gapless Nambu - Goldstone "bosons".

Example: Spontaneous breaking of
Poincare symmetry



Universal bound on entropy:

(C.D., '19, '20)



For any self-sustained
object of size R ,
the entropy is bounded
by

$$S \leq \frac{\text{Area}}{G_{\text{Gold}}}$$

$$\text{Area} \equiv R^{d-2} \quad d \equiv \text{number of space-time dimensions}$$

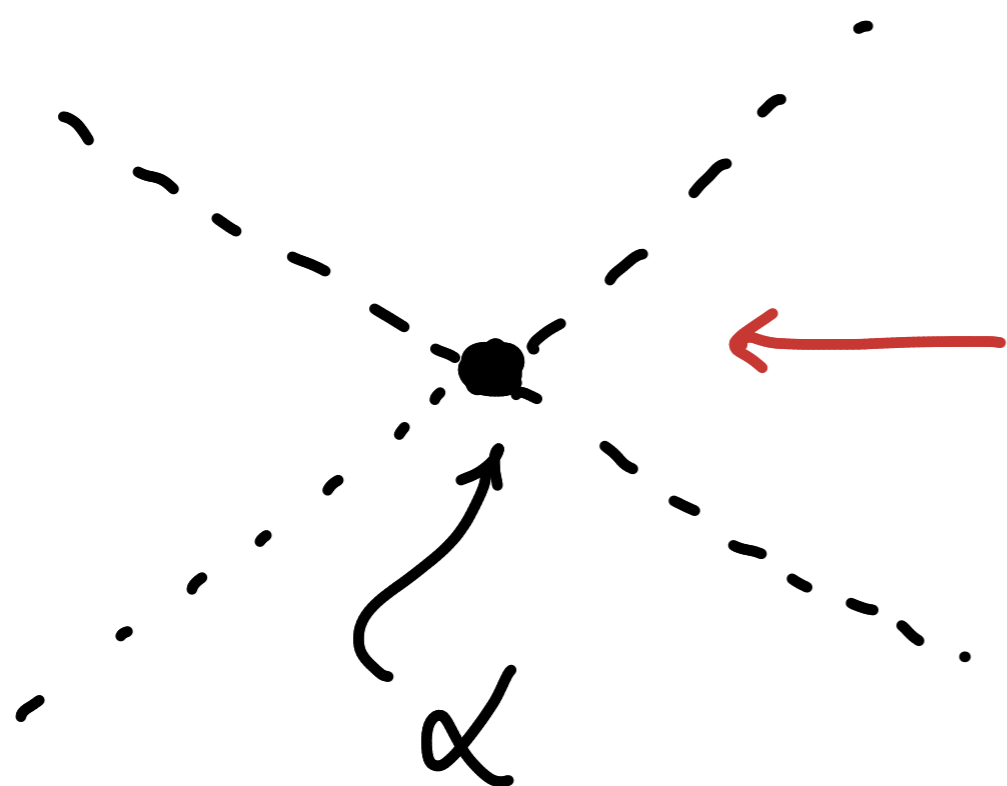
$$G_{\text{Gold}} \equiv \text{Goldstone coupling}$$

$$G_{\text{Gold}} \equiv \frac{1}{f^2}$$

$f \equiv$ Goldstone decay constant

Dimensionless quantum coupling of a Goldstone evaluated at the scale (momentum-transfer) $1/R$:

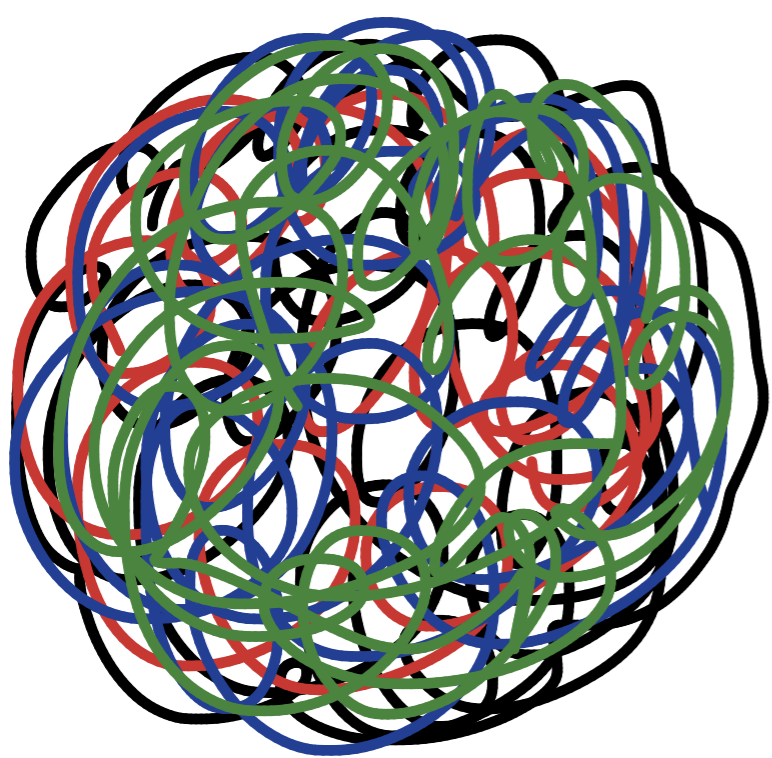
$$\alpha = G_{\text{Gold}} R^{2-d} = \frac{G_{\text{Gold}}}{\text{Area}}$$



Goldstone - Goldstone
amplitude

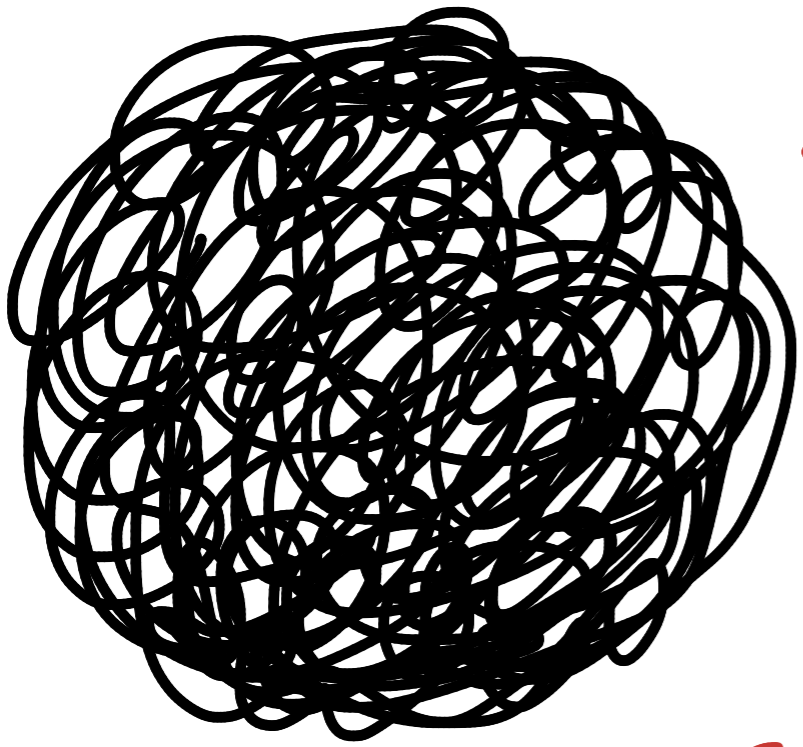
Thus, the entropy bound
can be written as

$$S \leq \frac{1}{\alpha} = \frac{\text{Area}}{G_{\text{Gold}}}$$



\uparrow
 $\sim R$
 \downarrow
 \sim

Where are the Goldstones
coming from?



← Any self-sustained
object breaks
Poincare symmetry
spontaneously.

G_{Gold} is unambiguously defined

For a boundstate of N
quanta of wavelength $\sim R$

$$G_{\text{Gold}} \equiv \frac{1}{f^2} \equiv \frac{1}{N} \cdot R^{d-2}$$

Note: States of high entropy contain other Goldstone bosons (see later), but Poincare Goldstone is universal.

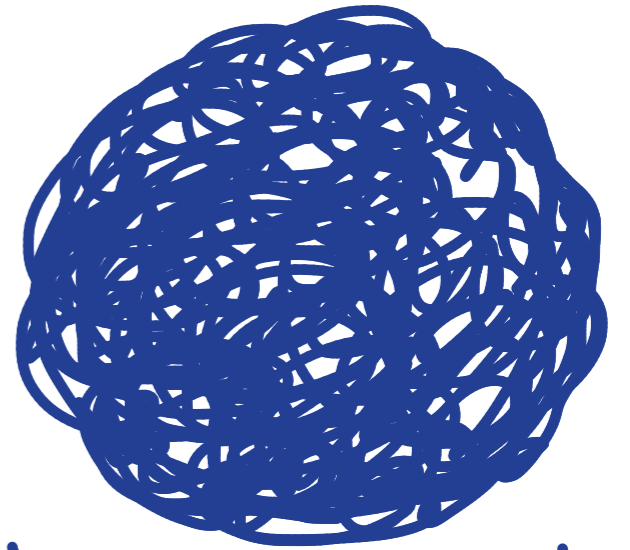
Objects of maximal entropy:

$$S_{\text{MAX}} = \frac{1}{\alpha} = \frac{\text{Area}}{G_{\text{Gold}}}$$

are called "Saturons".

Universal bound on time-scale
of start of information retrieval:

$$t_{\min} = \frac{R}{\alpha}$$



$| \leftarrow R \rightarrow |$

Due to saturation of
entropy bound can be written
as

$$t_{\min} = \rho R = R^3 f^2$$

$$t_{\min} \sim \text{Volume} \cdot f^2$$

All saturons have properties very similar to black holes:

- ① * Area-law entropy;
- ① * Thermal evaporation with $T = \frac{1}{R}$;
- ① * Information horizon;
- ① * Time of information retrieval
 $t_{\text{min}} \sim \frac{R}{\alpha} \sim SR$
- ① * Saturate scattering amplitudes.

A model for saturon

A scalar field $\hat{\Phi}_i^j$ in adjoint representation of global $SU(N)$ -symmetry

$SU(N)$ -"flavor" index $i, j = 1, 2, \dots, N$

$$\hat{\Phi} = \begin{bmatrix} N \times N \end{bmatrix} \leftarrow \begin{array}{l} \text{Hermitian} \\ \text{matrix} \end{array} \quad \text{tr } \hat{\Phi} = 0$$

Prototype for many systems

Lagrangian (most general, renormalizable):

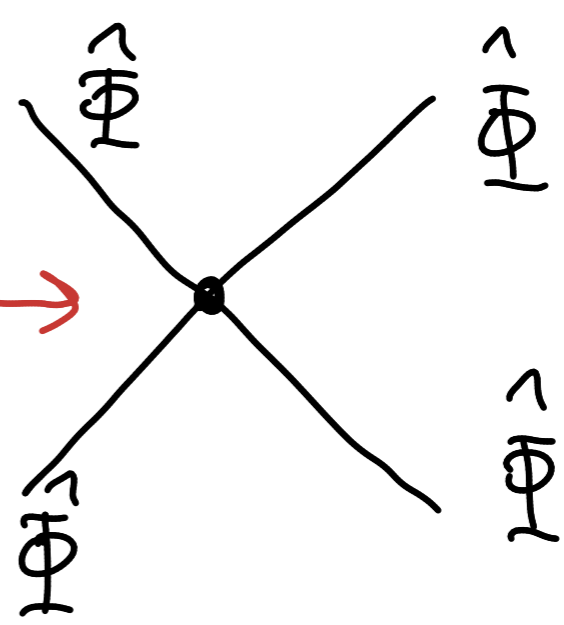
$$\mathcal{L} = \text{tr } \partial_\mu \hat{\Phi} \partial^\mu \hat{\Phi} - V(\hat{\Phi})$$

$$V(\hat{\Phi}) \equiv \alpha \text{tr} \left[f \hat{\Phi} - \hat{\Phi}^2 + \frac{1}{N} \text{tr} \hat{\Phi}^2 \right]^2$$

coupling

scale.

Fundamental coupling α



can be arbitrarily-weak

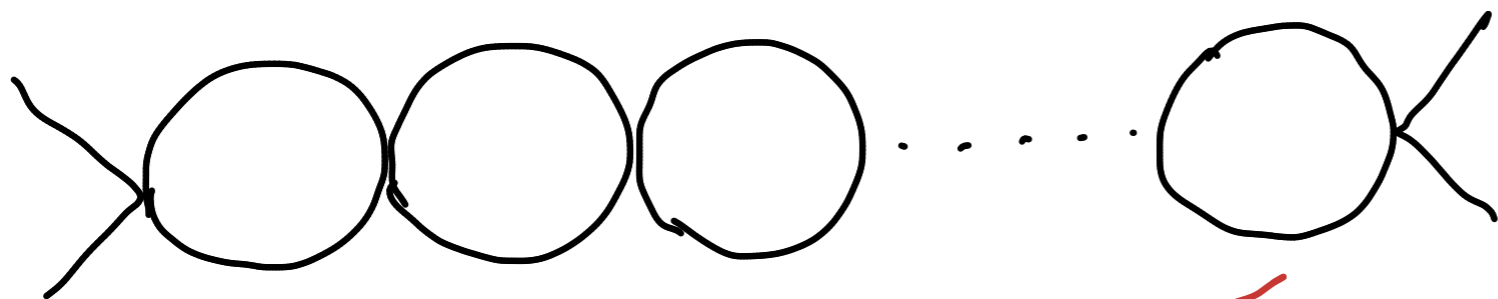
$$\alpha \rightarrow 0$$

Unitarity is controlled by the
collective coupling $\equiv \alpha N$

Unitarity bound:

$$\alpha N \lesssim 1$$

can be understood in several ways,
e.g., breakdown of loop expansion



$$l\text{-loops} \sim (\alpha N)^l$$

The analysis simplifies in a double-scaling limit (ala 't Hooft)

$$N \rightarrow \infty, \quad \alpha \rightarrow 0$$

$$\alpha N = \text{finite}$$

We wish to show that the theory contains saturons which have properties of black holes.

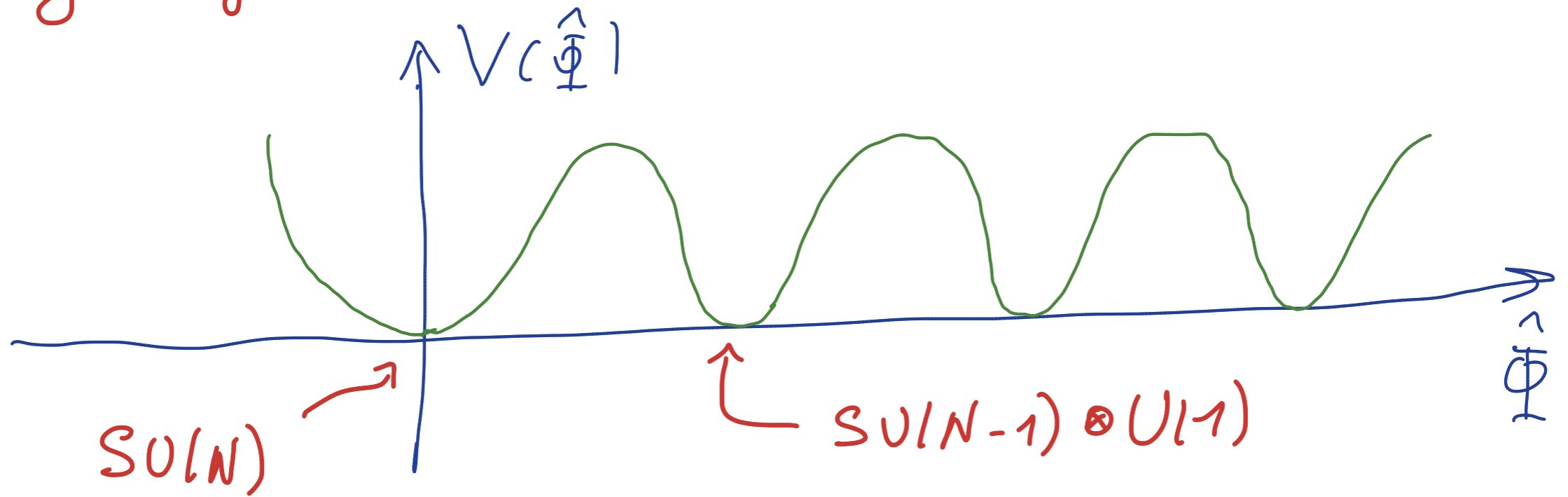
For this, let us choose a vacuum. Vacuum equations:

$$V(\hat{\Phi})=0 \quad \rightarrow \quad f \hat{\Phi} - \hat{\Phi}^2 + \frac{1}{N} \text{tr} \hat{\Phi}^2 = 0$$

Vacuum equations:

$$V(\hat{\Phi}) = 0 \rightarrow f \hat{\Phi} - \hat{\Phi}^2 + \frac{1}{N} \text{tr} \hat{\Phi}^2 = 0$$

Many degenerate vacua



We focus on two:

① $SU(N)$ -symmetric $\langle \hat{\Phi} \rangle = 0$

Has mass gap $m = \sqrt{\alpha} f$

② $SU(N-1) \otimes U(1)$ -symmetric vacuum

$$\langle \hat{\Phi} \rangle = \frac{f}{N} \begin{pmatrix} N-1 & & & & \\ & -1 & & & \\ & & -1 & & \\ & & & \dots & \\ & & & & -1 \end{pmatrix}$$

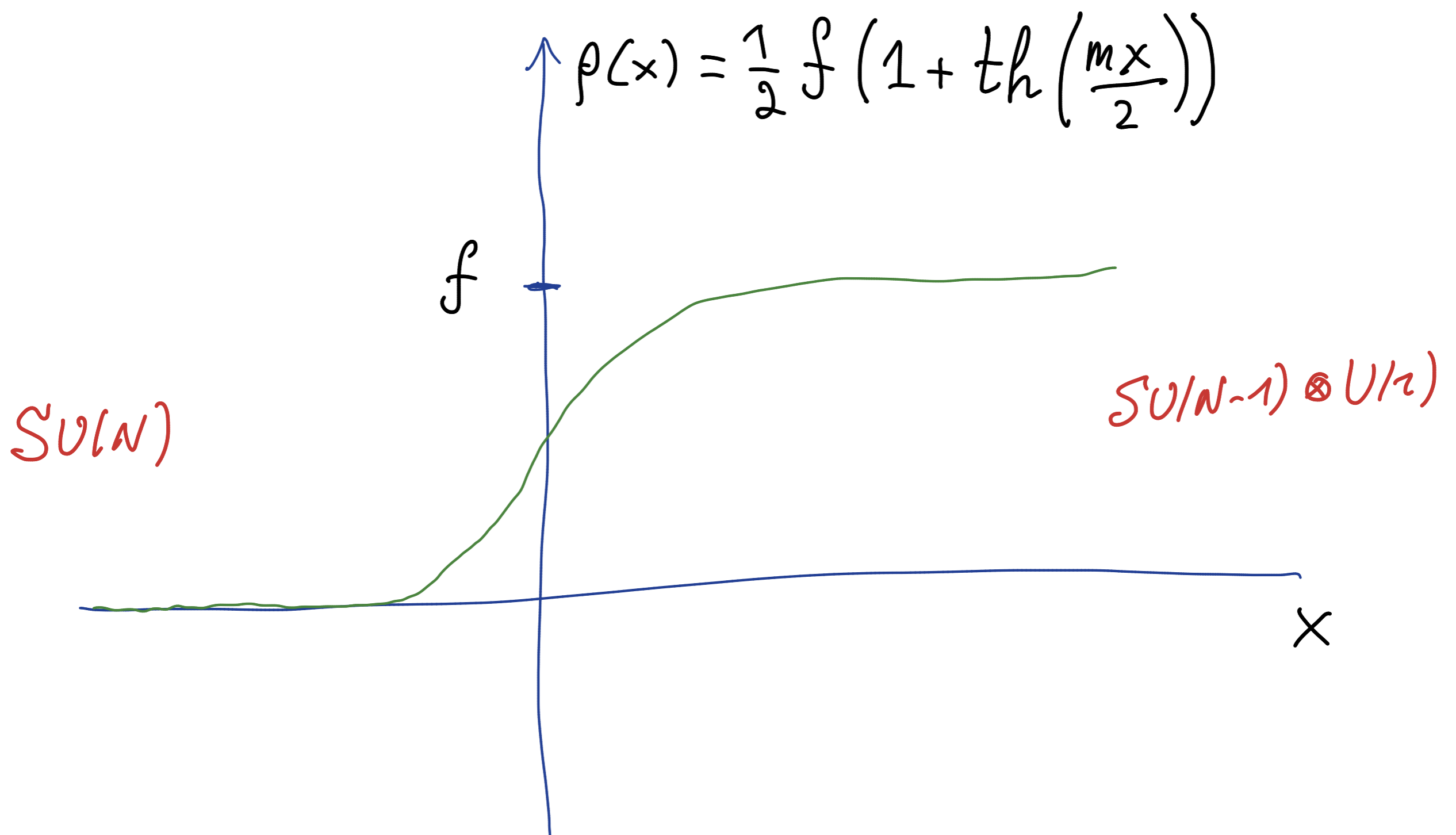
A blue bracket under the bottom row of the matrix indicates that the last $N-1$ diagonal elements are -1 .

Has $\approx 2N$ gapless Goldstone modes

The vacua can coexist and be separated by a domain wall.

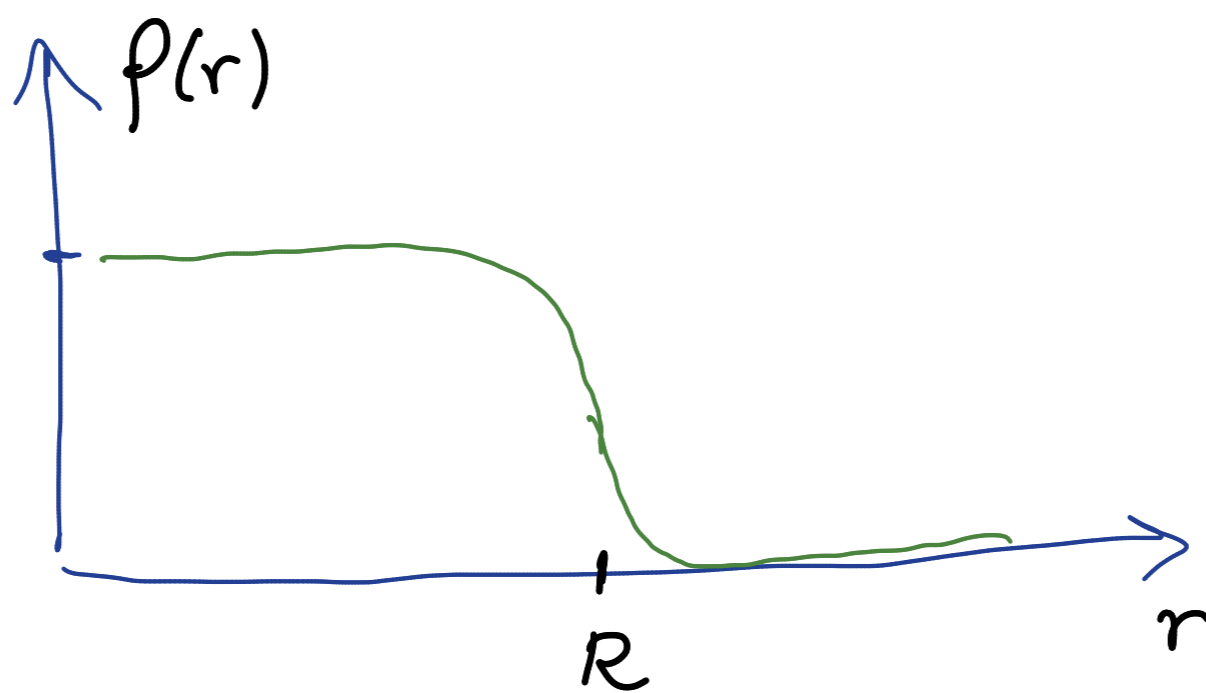
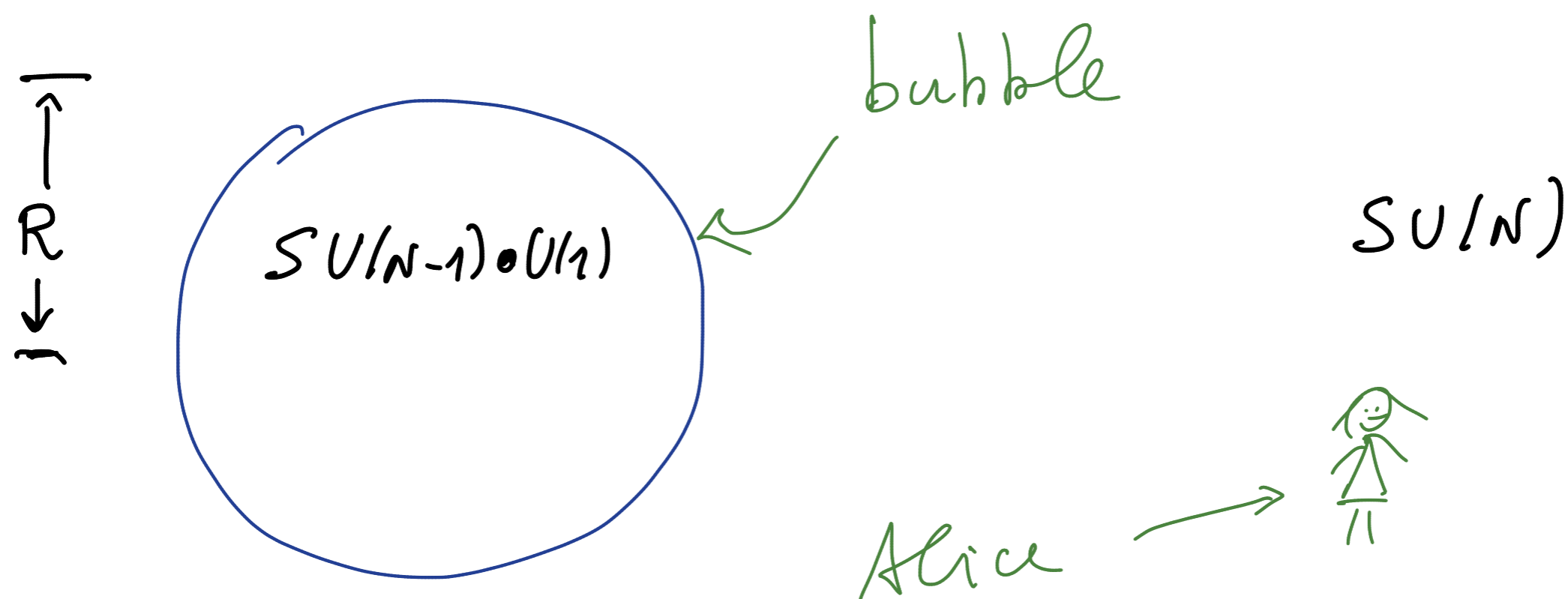
Flat domain wall:

$$\hat{\Phi}(x) = f(x) \frac{\langle \hat{\Phi} \rangle}{f}$$



Wall thickness $\sim \frac{1}{m} = \frac{1}{\sqrt{\alpha} f}$

We choose $SU(N)$ -vacuum as asymptotic vacuum and consider a bubble of $SU(N-1) \otimes U(1)$ -vacuum



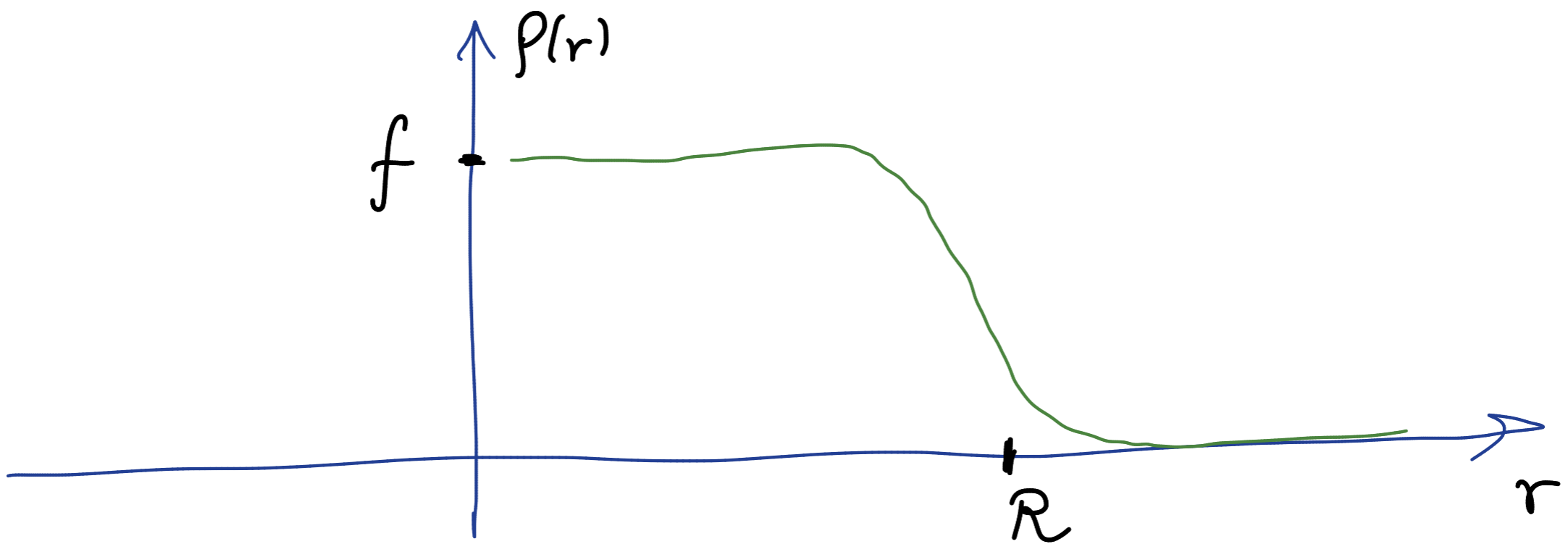
The bubble is highly degenerate and stores quantum information in Goldstone excitations.

For high occupation numbers it can be approximated by a classical solution.

Stationary bubble (a sort of a Q-ball)

$$\hat{\Phi}(r, t) = \frac{\rho(r)}{f} e^{i\omega t \hat{T}} \langle \hat{\Phi} \rangle e^{-i\omega t \hat{T}}$$

where $\hat{T} \equiv \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ← one of the broken generators



bubble radius:

$$R \sim \frac{m}{\omega^2}$$

Occupation number of Goldstone quanta:

$$n \sim \frac{1}{\alpha} \left(\frac{m}{\omega} \right)^5$$

Energy of a bubble

$$E_n \sim n\omega \sim n\sqrt{\frac{m}{R}}$$

Level n has microstate degeneracy

$$n_{st} \approx \left(1 + \frac{2N}{n}\right)^n \left(1 + \frac{n}{2N}\right)^{2N}$$

Corresponding microstate entropy

$$S = \ln(n_{st}) = 2N \ln \left\{ \left(1 + \frac{2N}{n}\right)^{\frac{n}{2N}} \left(1 + \frac{n}{2N}\right) \right\}$$



$SU(N)$ -flavor state

The parameters of saturon bubble:

(*) Entropy

$$S = \frac{\text{Area}}{G_{\text{Gold}}} = \frac{1}{\alpha}$$

(*) Mass

$$M = \frac{S}{R}$$

Very similar to black holes.

Notice for a black hole:

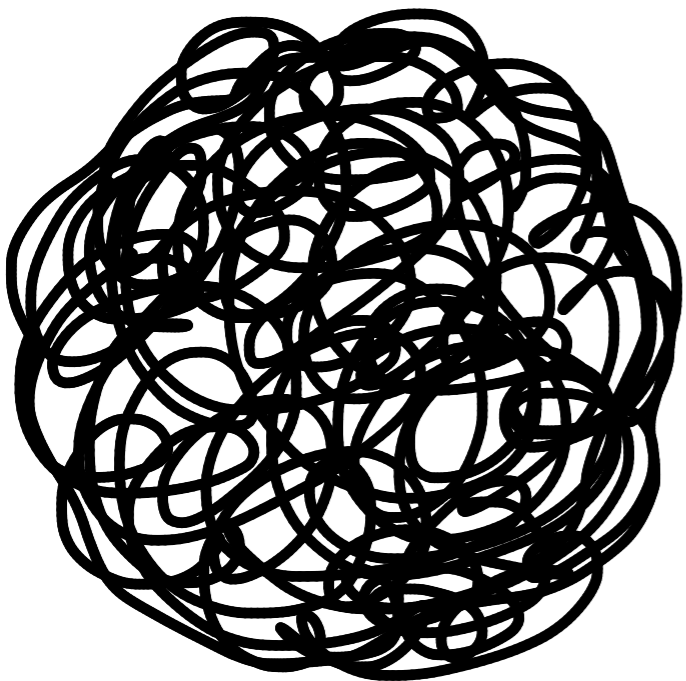
$$G_{\text{Gold}} = G_{\text{Newton}}$$

In quantum theory bound state decays.

The decay rate of a saturated bubble:

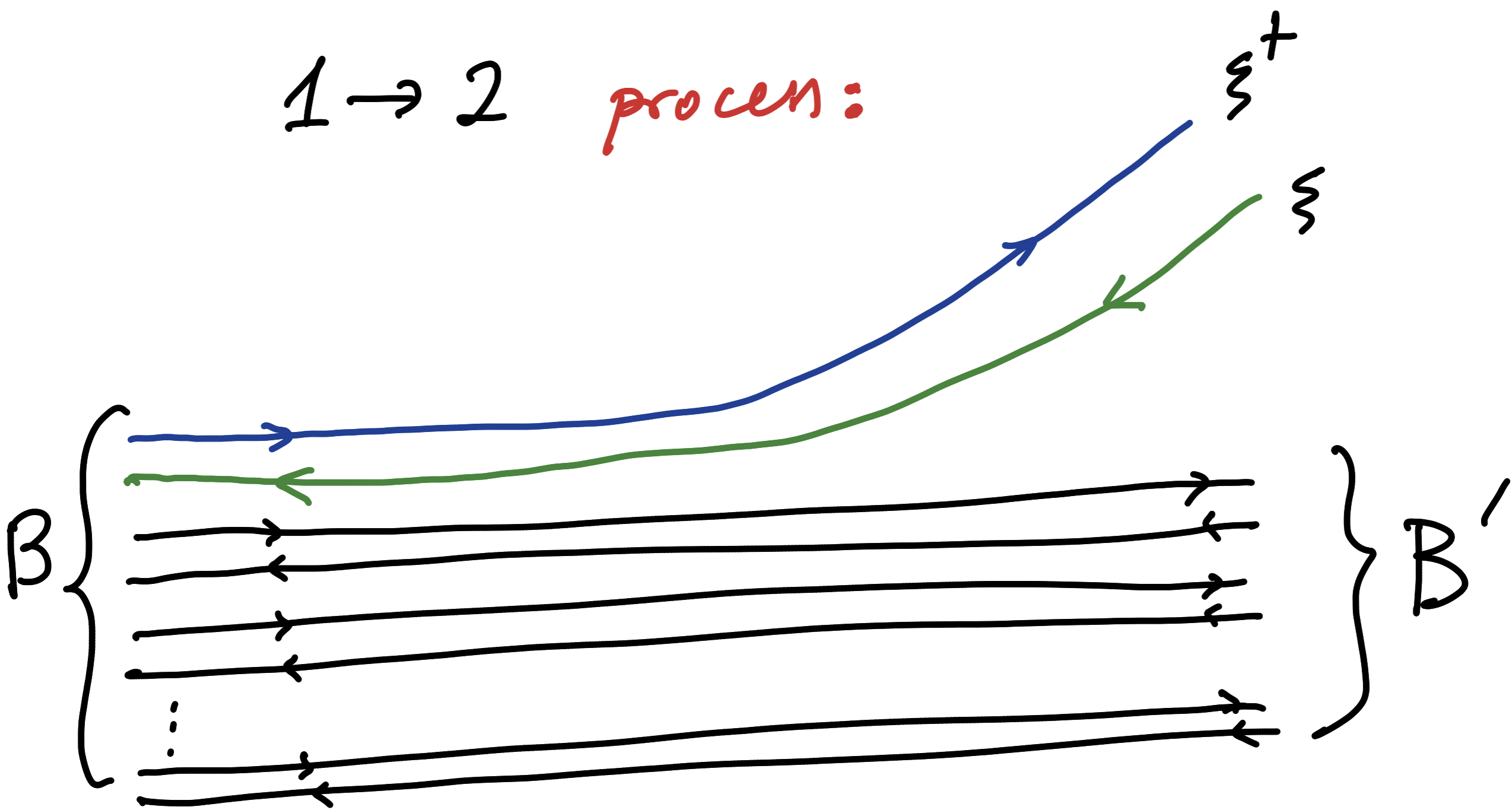
$$\Gamma \sim \frac{1}{R} \leftarrow \text{Hawking rate with temperature}$$

$$T = \frac{1}{R} !$$



Emission of massive ξ -quanta

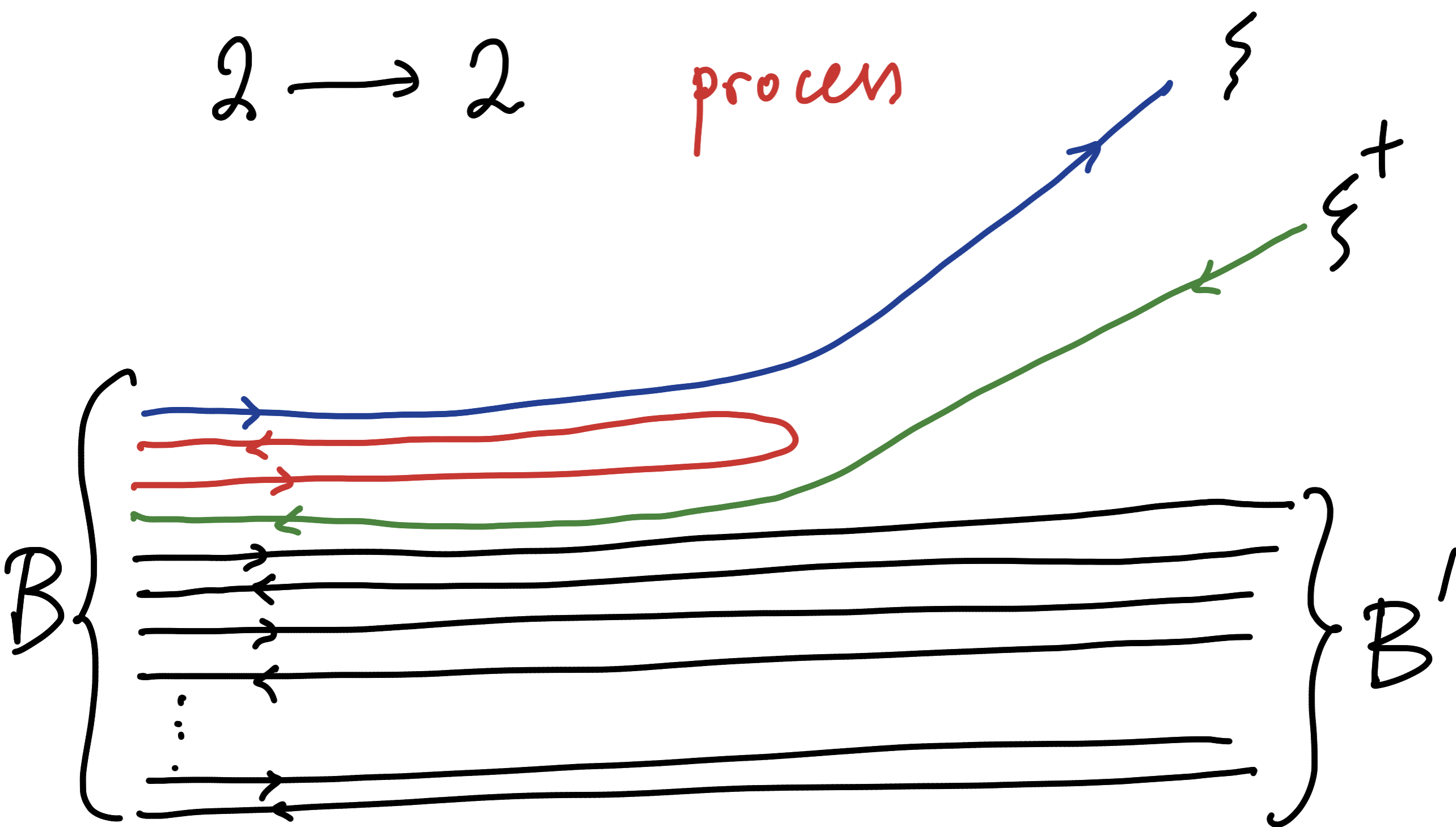
$1 \rightarrow 2$ process:



$$\Gamma \sim \frac{1}{R} \underbrace{\alpha \cdot N}_{\text{emission}} \sim \frac{1}{R}$$

Recall, $\alpha N = 1$

2 \rightarrow 2 process



$$\Gamma \sim \frac{1}{R} \alpha^2 N^2 \sim \frac{1}{R}$$

$\alpha N = 1$ \leftarrow saturation condition.

Thus, a satoron evaporates
as a black hole with
thermal-like rate



In reality the state is
pure: Information is carried

by $\frac{1}{N} \sim \frac{1}{S}$ corrections.

Quantum information is stored in flavor content of the bound state.

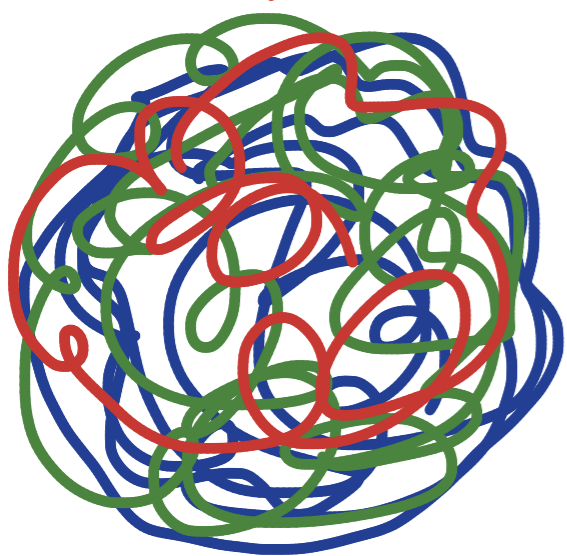
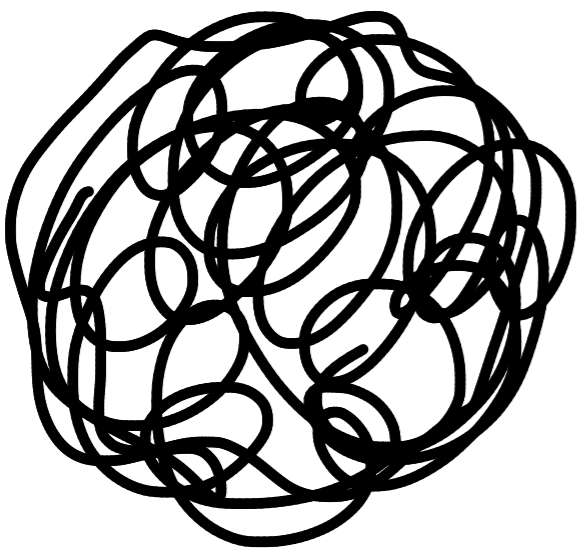
In the semi-classical limit: $N \rightarrow \infty$, $\alpha \rightarrow 0$,

$$(N\alpha) = 1 \quad R = \text{finite}.$$

It is unreadable: Saturn has information horizon.

For $N = \text{finite}$, the information is readable after some time.

Time scale of the start of
information retrieval:



$$t_{\min} \sim \frac{R}{\alpha} \sim \frac{R}{c} \sim \frac{R^3}{G_{\text{Gold}}}$$

identical to
Page's time for
a black hole

Black hole / sataron
correspondence:

$$G_N \longrightarrow G_{\text{Gold}}$$

All characteristics are
identical:

α_{gr}	\longleftrightarrow	α
S_{BH}	\longleftrightarrow	S
T_{H}	\longleftrightarrow	T
t_{Page}	\longleftrightarrow	t_{min}

	Saturon bubble	Black hole
Maximal Spin	$M^2 G_{\text{bol}}$	$M^2 G_{\text{Gold}}$
Entropy S	$M^2 G_{\text{Gold}}$	$M^2 G_{\text{Gold}}$

This offers an interpretation that a black hole reaches maximal spin when graviton condensate develops vorticity.

(Black hole vorticity can have potentially observable consequences.)

What happens if we push entropy beyond the bound?

$$S \rightarrow S \Rightarrow \frac{\text{Area}}{G_{\text{Gold}}} \quad ?$$

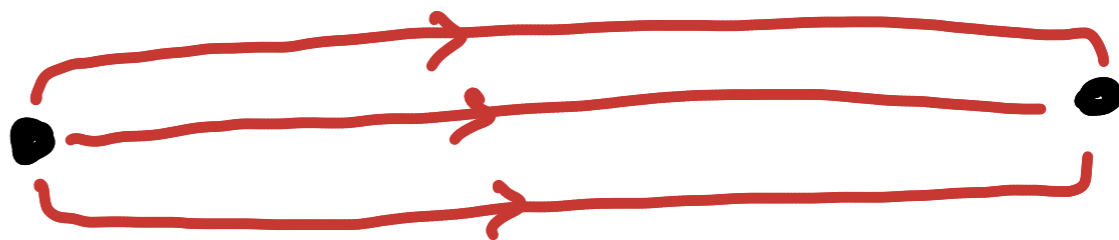
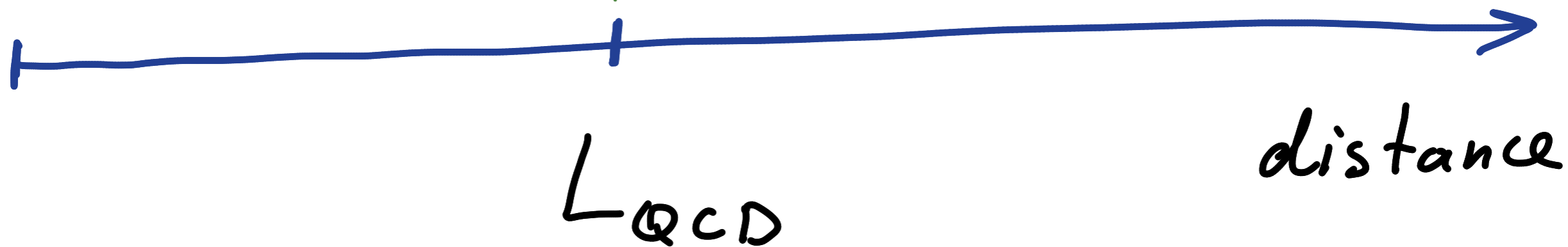
Change of degrees of freedom.

The theory will change
a regime

Saturation and Confinement in QCD

gluons,
quarks

Composites: gluoballs,
mesons, baryons

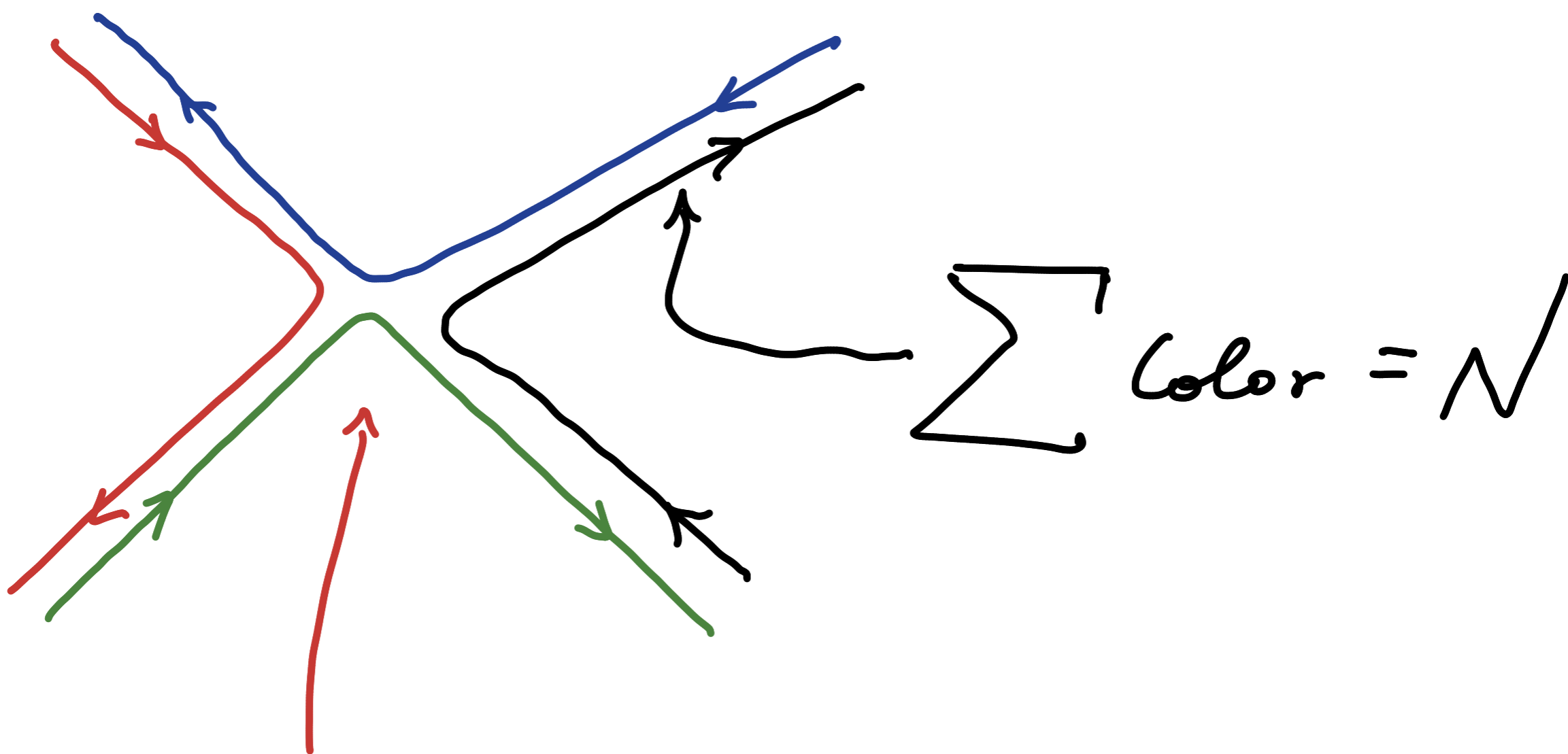


Flux tube

$SU(N)$ - color

't Hooft

Couplings of gluons for $N \gg 1$



$$\lambda \equiv \alpha_{\text{QCD}} \cdot N$$

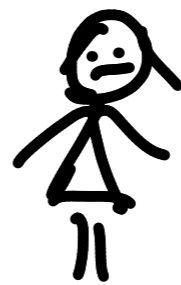
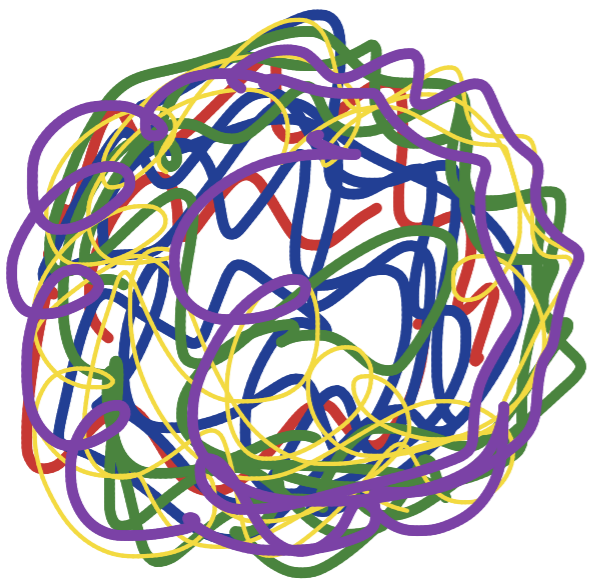
running coupling.

Theory confines at the
scale L_{QCD} where

$$\lambda \sim 1$$

Remarkably, at the same
scale a high occupation
gluon state saturates
the entropy bound.

Gluon saturation



Entropy

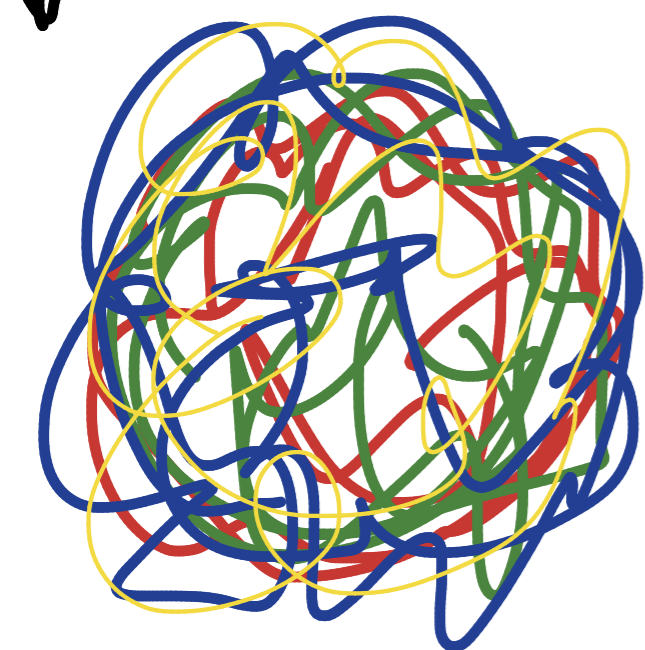
$$S = \frac{\text{Area}}{G_{\text{Gold}}} (1 + \ln(2))$$

Saturates the bound for $\lambda \sim 1$.

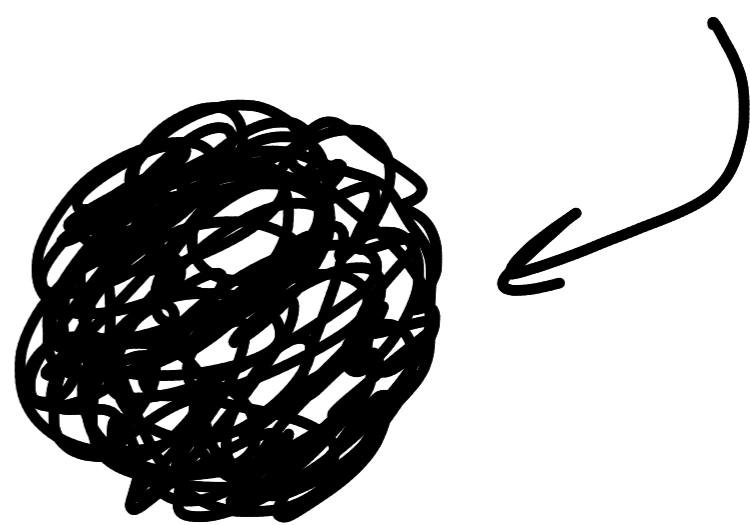
Further growth is prevented
by confinement!

Confinement as self defence
mechanism against the
violation of entropy bound

Colored
gluon states



Colorless
bound states



L_{QCD}



$$S < S_{MAX}$$

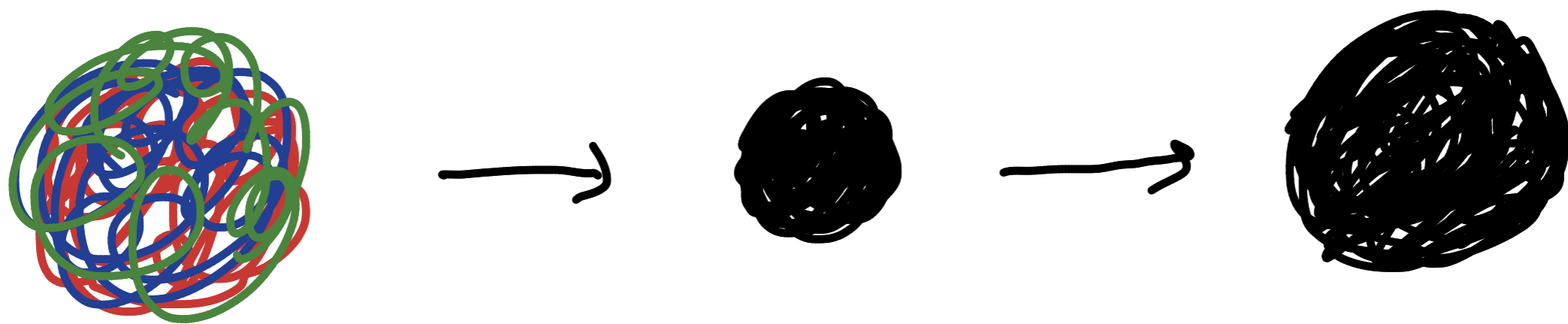


$$S_{MAX} = \frac{Area}{G_{Gold}}$$

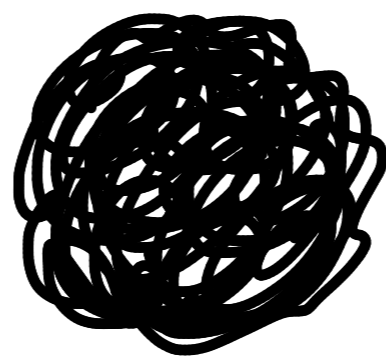
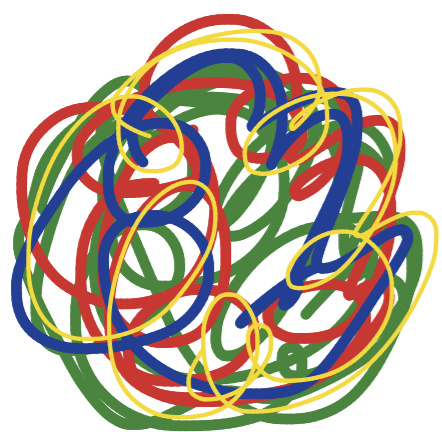
If we push

$$\mathcal{S} \rightarrow \mathcal{S} > \mathcal{S}_{\max} = \frac{\text{Area}}{G_{\text{Gold}}}$$

① Gravity will form a larger black hole



② QCD simply confines



gluonball, meson, ...

Perhaps a most exciting
candidate is CGC in
real QCD

G.D., Venugopalan '21

CGC is a saturated state

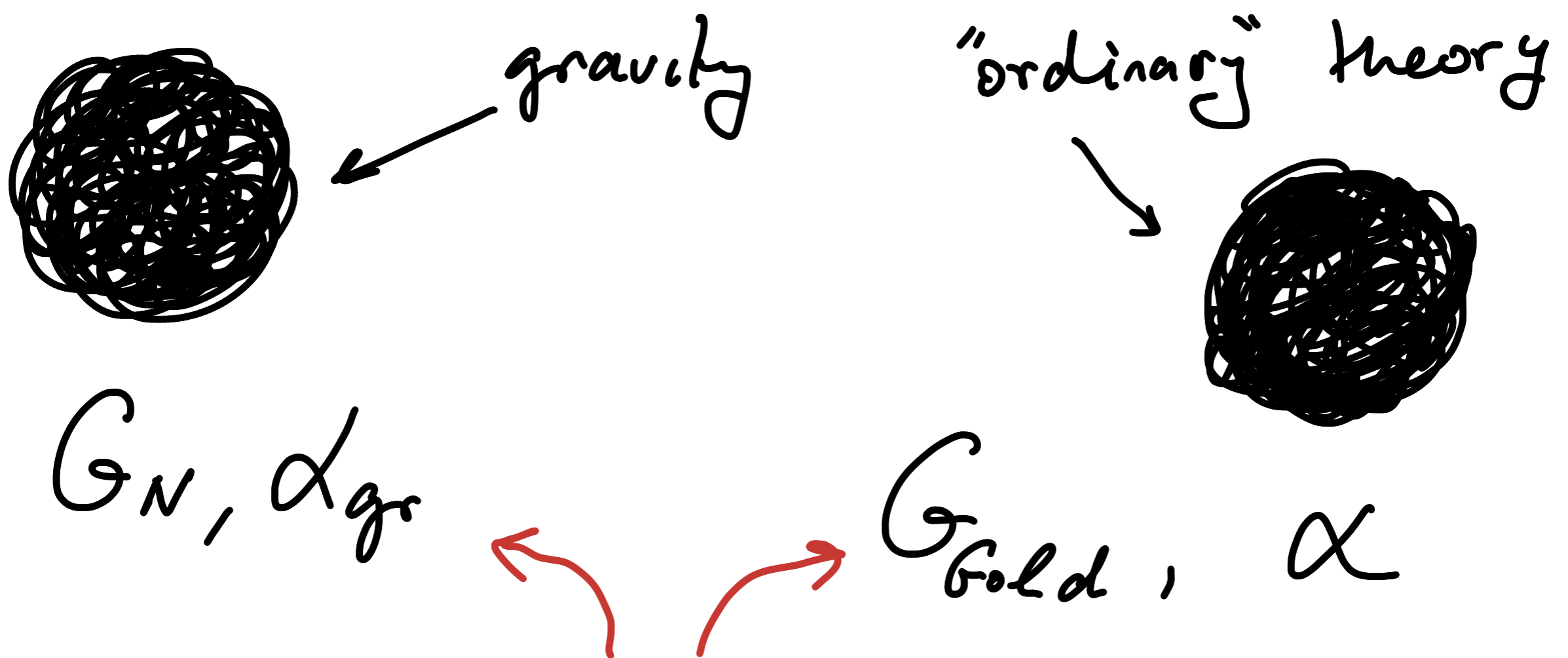
$$N_{\text{glue}} = \frac{1}{\alpha_{\text{QCD}}}$$

of gluons in ordinary QCD

Iancu, McLerran, Venugopalan
Korner, Korchev, Balitsky
Lipatov . . .

Black hole/saturn correspondence
is independent of dimensionality
and other details of the
theory.

It is correspondance between
the states in different theories



Trans-theoretic parameters

Saturons exist in $d=2$ Gross-Neveu model:

The bound state of maximal degeneracy is a saturon and exhibits properties of a black hole
G.D., Sakhelashvili '21

Very exciting candidate is
Color Glass Condensate in ordinary
QCD

G.D., Venugopalan '21

We have seen that mysterious black hole properties are not rooted in gravity.

Rather, they represent generic features of states that saturate unitarity bound on entropy, saturons.

There exist many examples even in ordinary renormalizable theories, which can be reliably studied at weak coupling.

From there, we can predict new features for black holes.

Observing saturons in calculable theories, we see what are the wrong assumptions made in ordinary (semi-classical) treatment of black holes:

⊛ Evaporation is never thermal for finite S . $1/S$ -corrections break thermality.

⊛ Black hole evaporation is not self-similar.

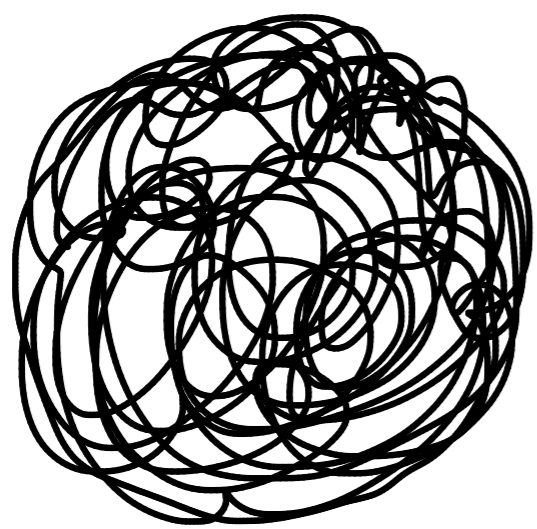


is broken by "memory burden" effect.

Some interpretations.

Since all known saturated states are states with critical occupation number of quanta,

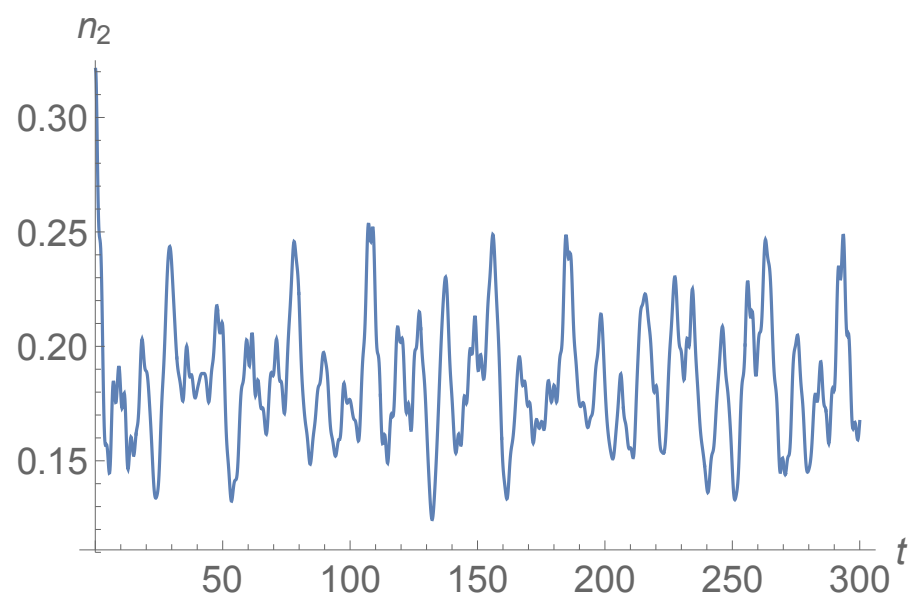
this suggests that black holes are saturated states of gravitons.



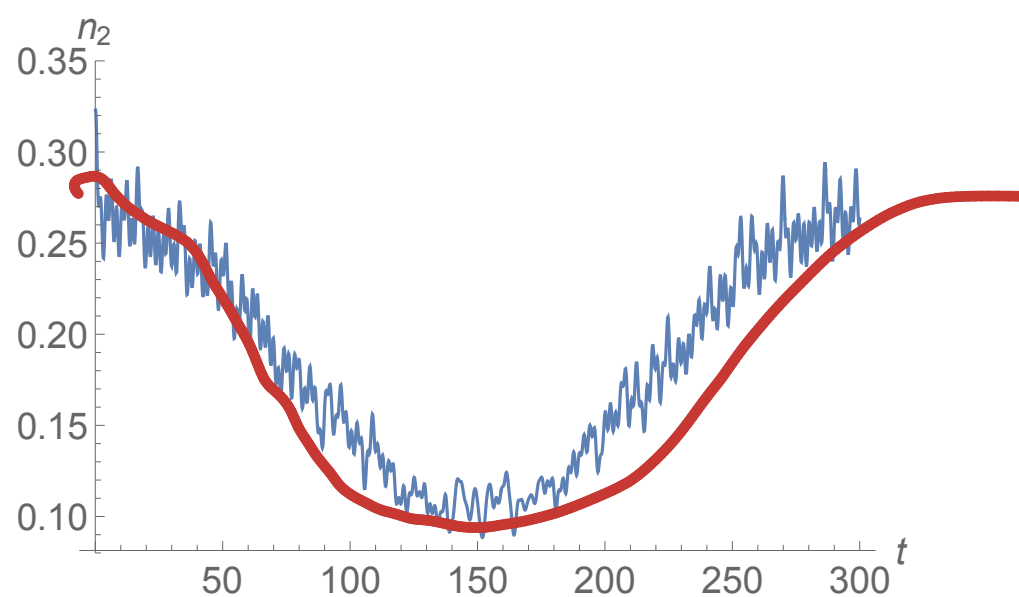
$$\leftarrow n \sim \frac{1}{\alpha_{\text{gravity}}}$$

"BH N-portrait" G.D. Gomez '11.

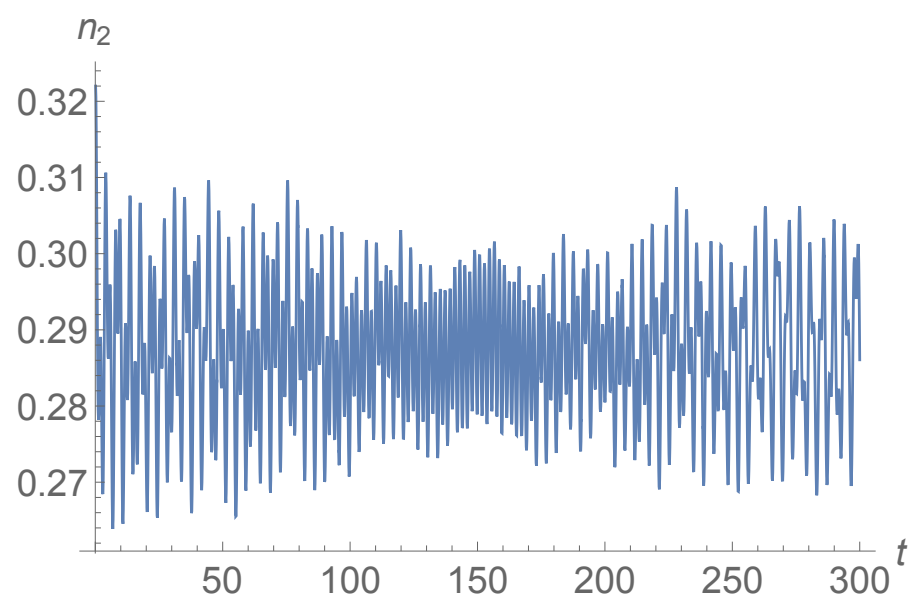
"Saturns" in cold bosons



(a) $\lambda = 1.9$



(b) $\lambda = 2.083$



(c) $\lambda = 2.2$

Figure 6: Time evolution of the quantum state $|\Phi_{\text{inf}}\rangle$, which corresponds to the inflection point of the Bogoliubov Hamiltonian. The value of $n_2(t)$ is plotted for $N = 60$. We observe that lower frequencies dominate around $\lambda \approx 2.083$.

Dvali, Michel, Zell, EPJ Quant. Technology
6 (2019) 1

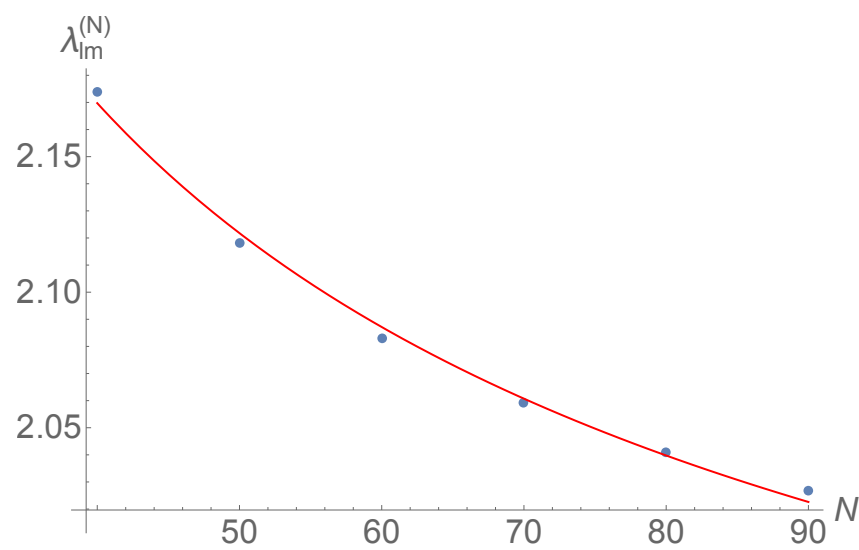


Figure 8: Critical value $\lambda_{lm}^{(N)}$ as a function of particle number N . The positions obtained from numerical simulations are plotted in blue. The fitted function (42) is shown in red.

*degenerate
microstates*

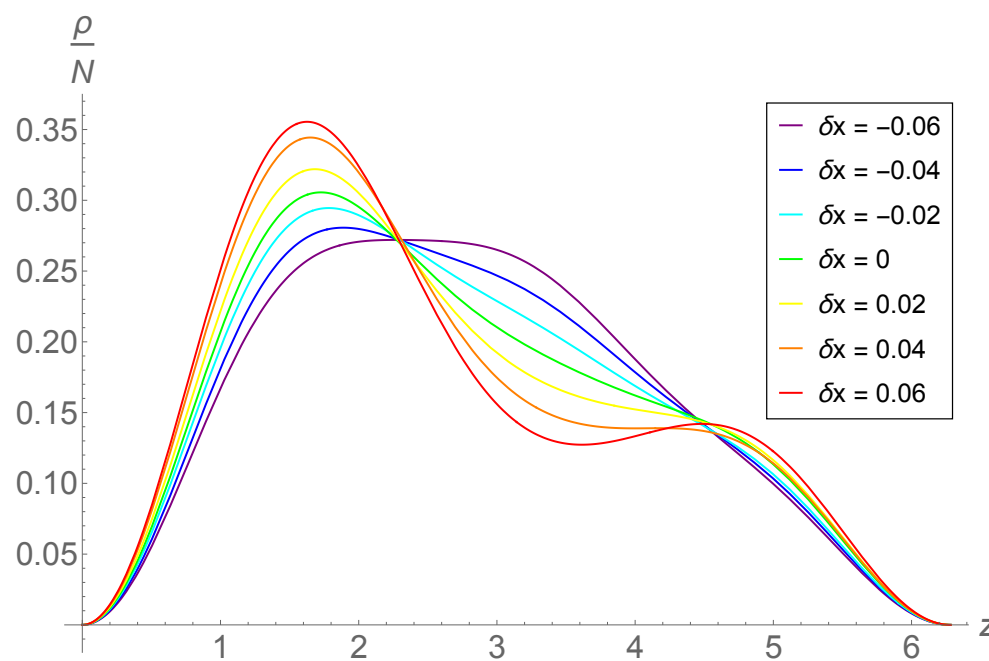


Figure 9: Variations of the critical state at $\lambda = 2.083$ for $N = 60$ in position space. The relative particle density ρ/N is plotted. The green line corresponds to the critical state $|\Phi_{\text{inf}}\rangle$ itself and the adjacent lines are variations of it, which we obtained by slightly changing the value of x used in the minimization procedure that determines the quantum state: $x_i = x_{\text{inf}}(\lambda) + \delta x_i$. The values of δx_i are indicated in the plot.

quantum state: $x_i = x_{\text{inf}}(\lambda) + \delta x_i$. This determines a family of quantum states $|\Phi_{\text{inf}, i}\rangle$, where $|\Phi_{\text{inf}, i}\rangle$ is a state of minimal energy subject to the constraint that its relative occupation of the 2-mode is x_i . Their particles densities are also shown in Fig. 9.

4.3 Comparison with Goldstone Phenomenon

It may be useful to compare our effect with the well-known phenomenon of appearance of gapless excitations in the form of Goldstone bosons. The latter modes emerge as a result of a phase transition with the spontaneous breaking of a global symmetry. The crucial difference is that Goldstone modes consistently exist in a domain past the critical phase. This is not the case in the present model. Our gapless modes only exist at the critical point and they appear due to cancellation between the positive kinetic energy and a

Other implications of Saturos
for cosmology, LHC physics,
BSM, Quantum information, ...

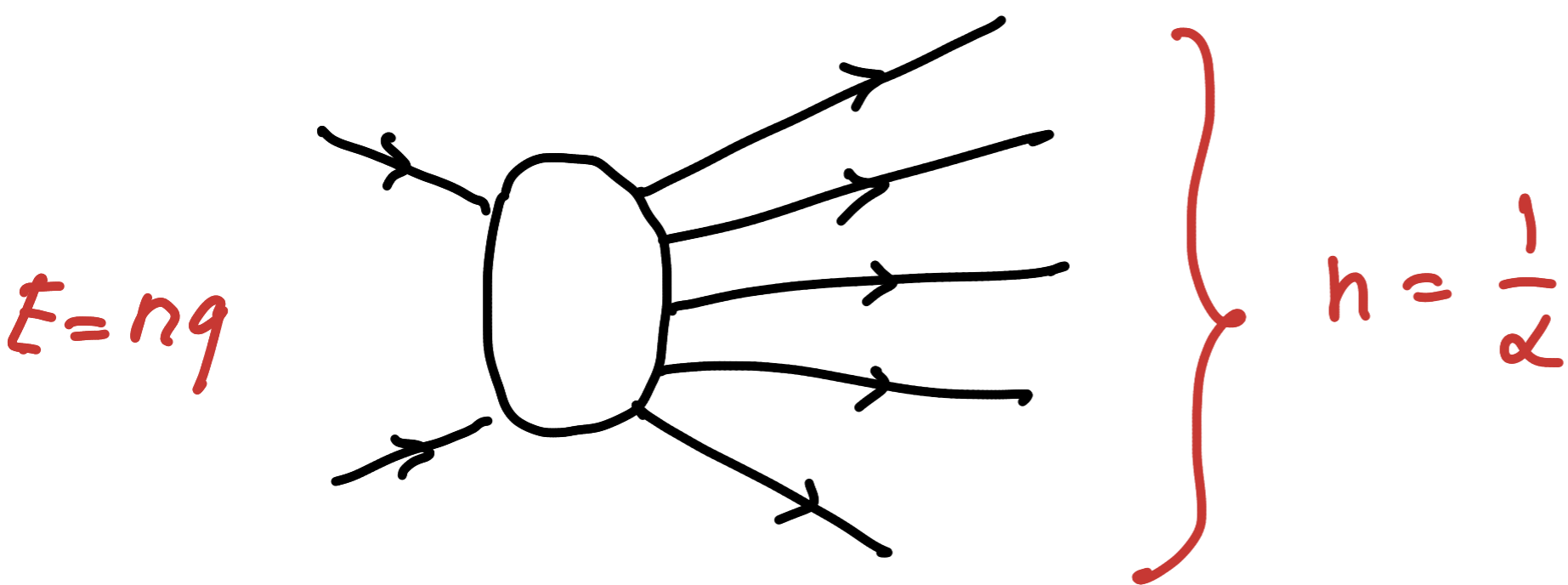
Thank You!

Saturation of these entropy bounds is in one-to-one correspondence with saturation of unitarity by

$2 \rightarrow n$ scattering amplitudes

for $n = \frac{1}{\alpha}$

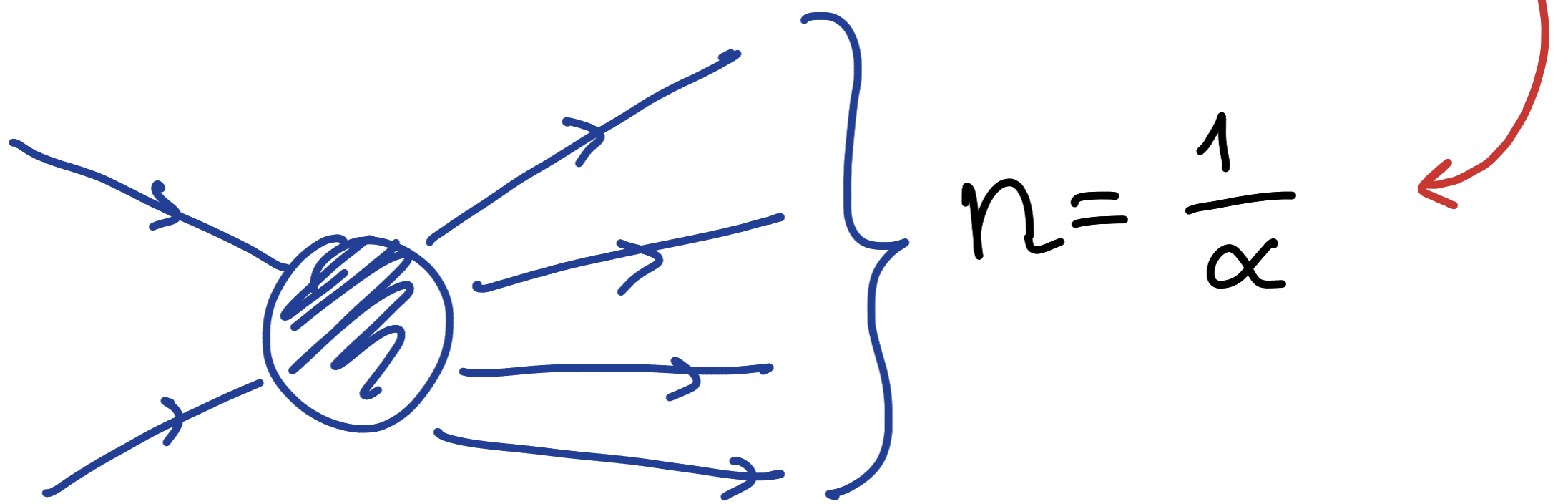
The point of optimal truncation.



momentum-transfer $q = \frac{1}{R}$

Cross section of $2 \rightarrow n$ scattering:

Optimal truncation



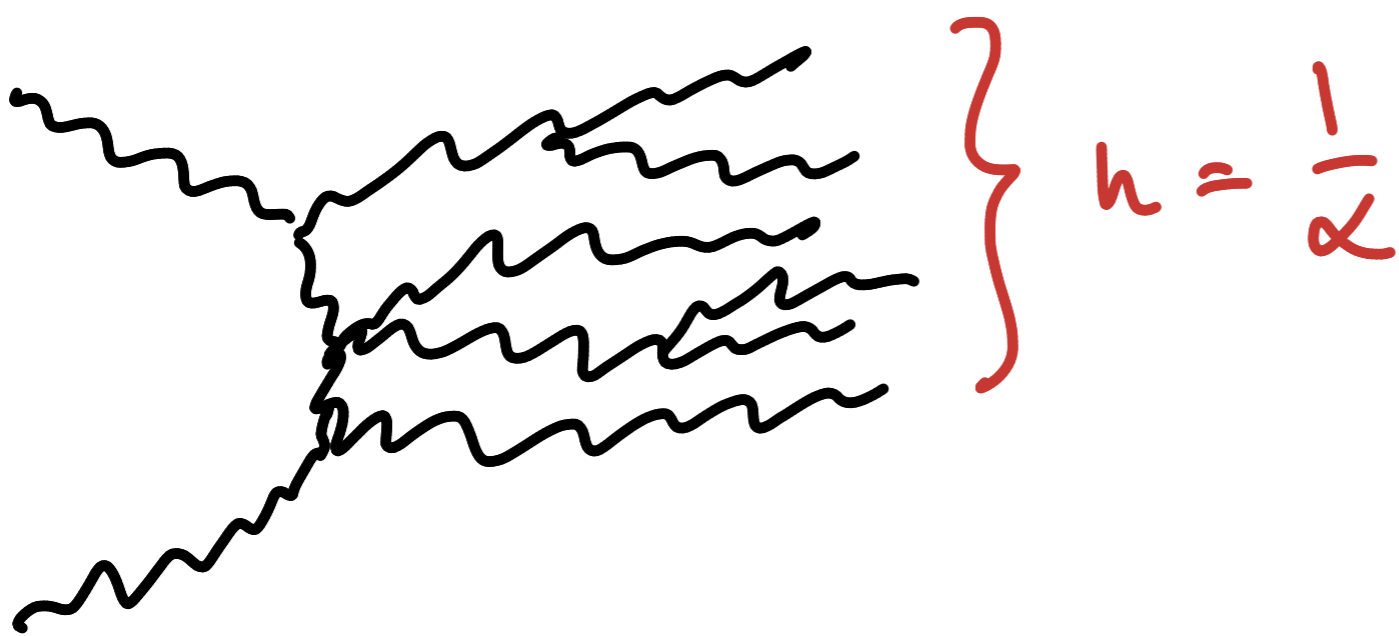
$$\sigma_{2 \rightarrow n} = e^{-\frac{1}{\alpha} + S}$$

Unitarity bound on entropy:

$$S \leq S_{\text{MAX}} = \frac{1}{\alpha}$$

$$S = ER = \frac{1}{\alpha} = (Rf)^2$$

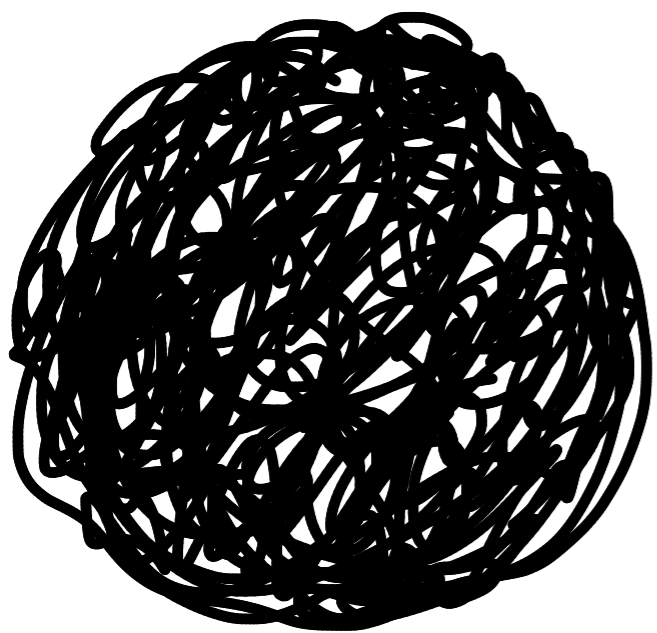
Is correlated to saturation of
unitarity by $2 \rightarrow n = \frac{1}{\alpha}$ graviton (closed string)
amplitudes



$$\sigma = \bullet n! \alpha^n e^S = e^{-\frac{1}{\alpha} + S}$$

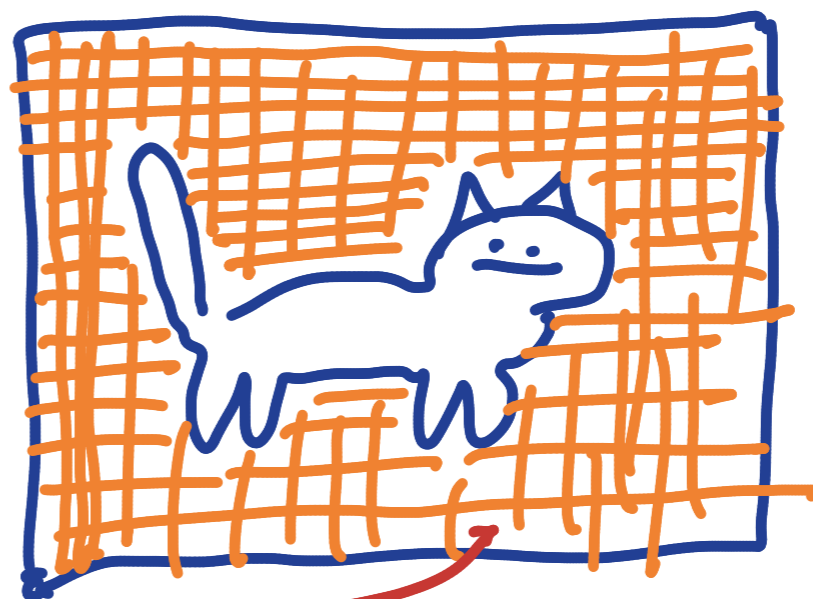
G.D, Gomer, Isermann, Lüst,
Stieberger '14;
Addazi, Bianchi, Veneziano '16.

For example:



$\leftarrow 10\text{cm} \rightarrow$

$\leftarrow 10\text{cm} \rightarrow$



Pixel $\sim 10^{-66} \text{cm}^2$

Energy cost of
a pattern written
in a black hole

$E_{\text{cat}}^{\text{BH}}$

$\sim 10^{-68}$

E_{cat}

Energy cost
of the same
pattern outside

Due to memory burden effect
it is impossible to extrapolate
Hawking's regime for
the later stages of
black hole decay.

After $t \sim t_{\min}$ S R radiation
is fully non-thermal.

