



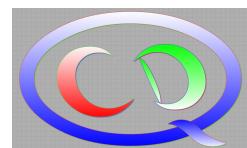
Lectures on **Chiral Perturbation Theory**

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STRUCTURE of the LECTURES

I) Short Introduction

II) Chiral Symmetry in QCD

III) Chiral Perturbation Theory: Goldstone Bosons

IV) Chiral Perturbation Theory: Pions and Nucleons

V) Testing Chiral Dynamics in Hadron-Hadron Scattering

- more emphasis on the foundations rather than on specific calculations

Introduction

FORCES in NATURE

type	gauge boson	spin [\hbar]	range [m]	strength @ hadronic scale
gravity	graviton	2	∞	10^{-40}
weak int.	W,Z-bosons	1	10^{-17}	10^{-5}
EM int.	photon	1	∞	$1/137$
strong int.	gluons	1	10^{-15}	~ 1

$SU(3)_C \times SU(2)_L \times U(1)_Y$

- electro-weak interactions are perturbative at hadronic scales
- strong interactions are really **strong** → non-perturbative

QCD LAGRANGIAN

- $$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} G_{\mu\nu}^a G^{\mu\nu,a} + \sum_f \bar{q}_f (i\gamma_\mu D^\mu - \mathcal{M}) q_f + \dots$$

$$G_{\mu\nu}^a = \underbrace{\partial_\mu A_\nu^a - \partial_\nu A_\mu^a - ig[A_\mu^b, A_\nu^c]}_{\text{gluon field strength tensor}}, \quad D_\mu = \underbrace{\partial_\mu + igA_\mu^a \lambda^a/2}_{\text{covariant derivative}}$$

$$f = (u, d, s, c, b, t) \quad , \quad \mathcal{M} = \underbrace{\text{diag}(m_u, m_d, m_s, m_c, m_b, m_t)}_{\text{quark mass matrix}}$$

flavors

- local color gauge invariance $SU(3)_C$



- non-linear couplings:

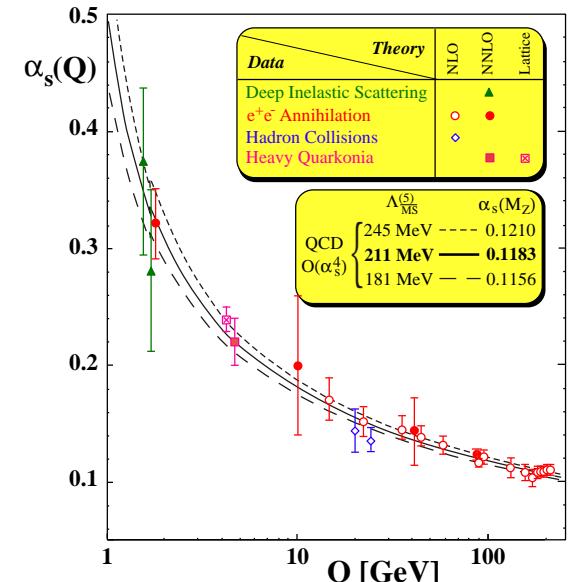
- running of $\alpha_s = g^2/4\pi$

- light (u,d,s) and heavy (c,b,t) quark flavors:

$$m_{\text{light}} \ll \Lambda_{\text{QCD}}, m_{\text{heavy}} \gg \Lambda_{\text{QCD}}$$

$$\Lambda_{\text{QCD}} \simeq 250 \text{ MeV}$$

Note: θ -term not discussed here



- quarks and gluons are **confined** within hadrons & nuclei
→ concentrate on the light quark sector here (pions, nucleons)

QCD chiral dynamics

INTRO: CHIRAL SYMMETRY

- Massless fermions exhibit **chiral symmetry**:

$$\mathcal{L} = i\bar{\psi}\gamma_\mu\partial^\mu\psi$$

- left/right-decomposition:

$$\psi = \frac{1}{2}(1 - \gamma_5)\psi + \frac{1}{2}(1 + \gamma_5)\psi = P_L\psi + P_R\psi = \psi_L + \psi_R$$

- projectors:

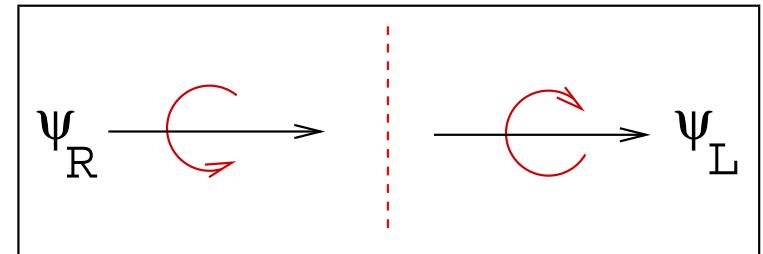
$$P_L^2 = P_L, \quad P_R^2 = P_R, \quad P_L \cdot P_R = 0, \quad P_L + P_R = \mathbb{1}$$

- helicity eigenstates:

$$\frac{1}{2}\hat{h}\psi_{L,R} = \pm\frac{1}{2}\psi_{L,R} \quad \hat{h} = \vec{\sigma} \cdot \vec{p}/|\vec{p}|$$

- L/R fields do **not** interact \rightarrow conserved L/R currents

$$\mathcal{L} = i\bar{\psi}_L\gamma_\mu\partial^\mu\psi_L + i\bar{\psi}_R\gamma_\mu\partial^\mu\psi_R$$



- mass terms break chiral symmetry: $\bar{\psi}\mathcal{M}\psi = \bar{\psi}_R\mathcal{M}\psi_L + \bar{\psi}_L\mathcal{M}\psi_R$

CHIRAL SYMMETRY of QCD

- Three flavor QCD:

$$\boxed{\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{QCD}}^0 - \bar{q} \mathcal{M} q}, \quad q = \begin{pmatrix} u \\ d \\ s \end{pmatrix}, \quad \mathcal{M} = \begin{pmatrix} m_u & & \\ & m_d & \\ & & m_s \end{pmatrix}$$

- $\mathcal{L}_{\text{QCD}}^0$ is invariant under **chiral $SU(3)_L \times SU(3)_R$** (split off U(1)'s)

$$\mathcal{L}_{\text{QCD}}^0(G_{\mu\nu}, q', D_\mu q') = \mathcal{L}_{\text{QCD}}^0(G_{\mu\nu}, q, D_\mu q)$$

$$q' = R P_R q + L P_L q = R q_R + L q_L \quad R, L \in SU(3)_{R,L}$$

- conserved L/R-handed [vector/axial-vector] Noether currents:

$$J_{L,R}^{\mu,a} = \bar{q}_{L,R} \gamma^\mu \frac{\lambda^a}{2} q_{L,R}, \quad a = 1, \dots, 8$$

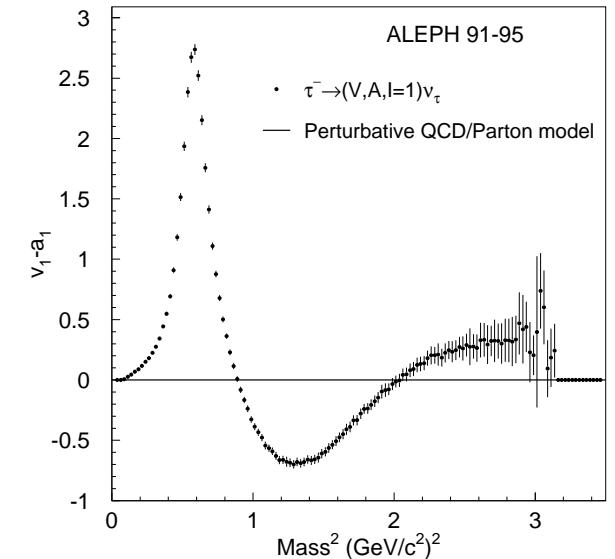
$$\partial_\mu J_{L,R}^{\mu,a} = 0 \quad [\text{or } V^\mu = J_L^\mu + J_R^\mu, \quad A^\mu = J_L^\mu - J_R^\mu]$$

- Is this symmetry reflected in the vacuum structure/hadron spectrum?

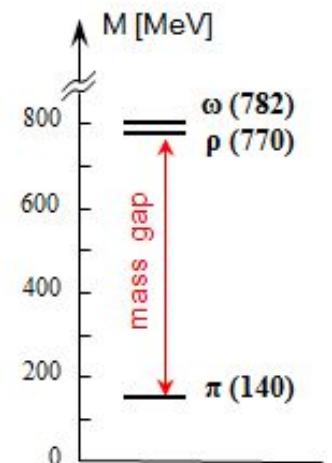
THE FATE of QCD's CHIRAL SYMMETRY

- the chiral symmetry is not “visible” (spontaneously broken)

- no parity doublets
- $\langle 0|AA|0 \rangle \neq \langle 0|VV|0 \rangle$
- scalar condensate $\bar{q}q$ acquires v.e.v.
- Vafa-Witten theorem [NPB 234 (1984) 173]
- (almost) massless pseudoscalar bosons



- the chiral symmetry is realized in the Nambu-Goldstone mode
 - weakly interacting massless pseudoscalar excitations
 - approximate symmetry (small quark masses)
→ π, K, η as Pseudo-Goldstone Bosons
 - calls for an effective field theory
⇒ Chiral Perturbation Theory



THE FATE of QCD's CHIRAL SYMMETRY II

- Wigner mode $Q_5^a |0\rangle = Q^a |0\rangle = 0$ ($a = 1, \dots, 8$) ?
- parity doublets: $dQ_5^a/dt = 0 \rightarrow [H, Q_5^a] = 0$

single particle state: $H|\psi_p\rangle = E_p|\psi_p\rangle$

axial rotation: $H(e^{iQ_5^a}|\psi_p\rangle) = e^{iQ_5^a}H|\psi_p\rangle = \underbrace{E_p(e^{iQ_5^a}|\psi_p\rangle)}$
same mass but opposite parity

- VV and AA spectral functions (without pion pole):

$$\begin{aligned} \langle 0|VV|0\rangle &= \langle 0|(L+R)(L+R)|0\rangle = \langle 0|L^2 + R^2 + 2LR|0\rangle = \langle 0|L^2 + R^2|0\rangle \\ &\quad \| \\ \langle 0|AA|0\rangle &= \langle 0|(L-R)(L-R)|0\rangle = \langle 0|L^2 + R^2 - 2LR|0\rangle = \langle 0|L^2 + R^2|0\rangle \end{aligned}$$

since L and R are orthogonal

PROPERTIES of GOLDSSTONE BOSONS

- GBs are massless [no explicit symmetry breaking]

consider a broken generator $[Q, H] = 0$ but $Q|0\rangle \neq 0$

define $|\psi\rangle \equiv Q|0\rangle$

$$\rightarrow H|\psi\rangle = HQ|0\rangle = QH|0\rangle = 0$$

$$\rightarrow \text{not only G.S. } |0\rangle \text{ has } E = 0$$

There exist massless excitations, non-interacting as $E, p \rightarrow 0$

[NB: proper argumentation requires more precise use of the infinite volume]

- explicit symmetry breaking, perturbative [small parameter ε]

Goldstone bosons acquire a small mass $M_{\text{GB}}^2 \sim \varepsilon$

In QCD, this symmetry breaking is given in terms of the light quark masses

$$\Rightarrow M_\pi^2 \sim (m_u + m_d)$$

Chiral Perturbation Theory: Goldstone Bosons

CHIRAL EFT of QCD

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Gasser, Leutwyler, Weinberg, van Kolck, Kaplan, Savage, Wise, Bernard, Kaiser, M., . . .

- Starting point: CHIRAL LAGRANGIAN (two flavors)

$$\mathcal{L}_{\text{QCD}} \rightarrow \mathcal{L}_{\text{EFF}} = \mathcal{L}_{\pi\pi} + \mathcal{L}_{\pi N} \quad [+ \mathcal{L}_{NN} + \dots]$$

- dofs: quark & gluon fields \rightarrow pions, nucleons, external sources
- Spontaneous chiral symmetry breaking of QCD \rightarrow pions are Goldstone bosons
- Systematic expansion in powers of q/Λ_χ & M_π/Λ_χ , with $\Lambda_\chi \simeq 1 \text{ GeV}$
- pion and pion-nucleon sectors are perturbative in $q \rightarrow$ chiral perturbation theory
- Parameters in $\mathcal{L}_{\pi\pi}$ and $\mathcal{L}_{\pi N}$ known from CHPT studies \rightarrow low-energy constants

[• \mathcal{L}_{NN} collects short-distance contact terms, to be fitted]

[• NN interaction requires non-perturbative resummation]

[\rightarrow chirally expand V_{NN} , use in regularized LS equation]

STRUCTURE of the chiral EFT

- Energy expansion [derivative/momenta/...]

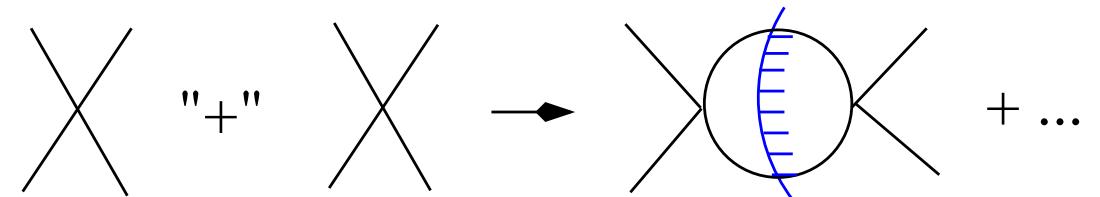
dimensional analysis:

- (a) derivatives \rightarrow powers of q [small scale]
- (b) be Λ the hard [limiting] scale
 - \rightarrow any derivative $\partial \sim q/\Lambda$
 - $\rightarrow N$ derivative vertex $\sim q^N/\Lambda^N$
 - \rightarrow for $E[q] \ll \Lambda$, terms w/ more derivatives are suppressed

- Energy expansion = Loop expansion

interactions generate loops

loops generate imaginary parts



\Rightarrow all this is contained in the *power counting*, which assigns a dimension [not the canonical one] to each diagram

POWER COUNTING THEOREM

- Consider $\mathcal{L}_{\text{eff}} = \sum_d \mathcal{L}^{(d)}$, d bounded from below
- for interacting Goldstone bosons, $d \geq 2$ and $iD(q) = \frac{1}{q^2 - M^2}$
- consider an L -loop diagram with I internal lines and V_d vertices of order d

$$Amp \propto \int (d^4 q)^L \frac{1}{(q^2)^I} \prod_d (q^d)^{V_d}$$

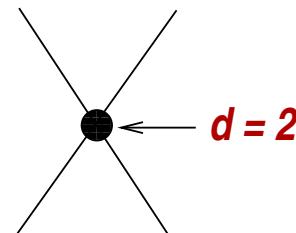
- let $Amp \sim q^\nu \rightarrow \nu = 4L - 2I + \sum_d dV_d$
- topology: $L = I - \sum_d V_d + 1$
- eliminate I : $\rightarrow \boxed{\nu = 2 + 2L + \sum_d V_d(d-2)}$ ✓
- Important: construct all possible terms for a given ν

POWER COUNTING for PION-PION SCATTERING

- $\pi(p_1) + \pi(p_2) \rightarrow \pi(p_3) + \pi(p_4)$
leading interaction $\sim \partial\pi \partial\pi \Rightarrow d = 2$

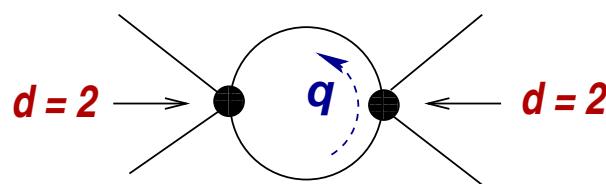
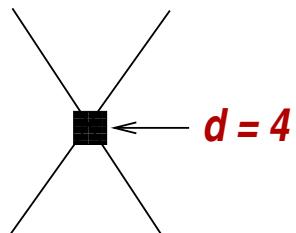
- leading order (LO)

$$d = 2, N_L = 0 \Rightarrow D = 2$$



- next-to-leading order (NLO)

$$a) d = 4, N_L = 0 \Rightarrow D = 4$$



$$\sim \int d^4 q \frac{q_1 \cdot q_2 \ q_3 \cdot q_4}{(q^2 - M_\pi^2)(q^2 - M_\pi^2)} \sim \mathcal{O}(q^4)$$

LOW-ENERGY CONSTANTS (LECs)

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- consider a covariant & parity-invariant theory of Goldstone bosons parameterized in some matrix-valued field U

$$\begin{aligned}\mathcal{L}_{\text{eff}} = g_2 \text{Tr}(\partial_\mu U \partial^\mu U^\dagger) + g_4^{(1)} [\text{Tr}(\partial_\mu U \partial^\mu U^\dagger)]^2 \\ + g_4^{(2)} \text{Tr}(\partial_\mu U \partial^\nu U^\dagger) \text{Tr}(\partial_\nu U \partial^\mu U^\dagger) + \dots\end{aligned}$$

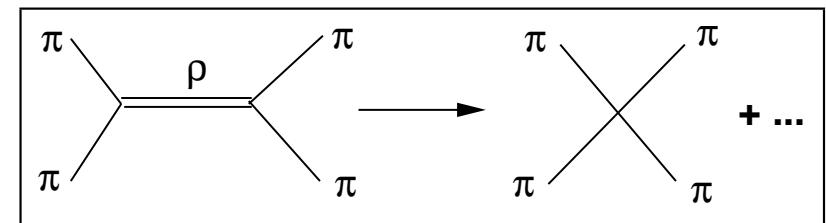
- couplings = **low-energy constants** (LECs)

$g_2 \neq 0$ spontaneous chiral symmetry breaking (cf also the $g_{>2}^{(i)}$)

$g_4^{(1)}, g_4^{(2)}, \dots$ must be fixed from data (or calculated from the underlying theory)

- calculations in EFT: fix the LECs from some processes, then make **predictions**
- LECs encode information about the high mass states that are integrated out

$$\frac{g_{\rho\pi\pi}^2}{M_\rho^2 - q^2} \xrightarrow{q^2 \ll M_\rho^2} \frac{g_{\rho\pi\pi}^2}{M_\rho^2} \left(1 + \frac{q^2}{M_\rho^2} + \dots \right)$$

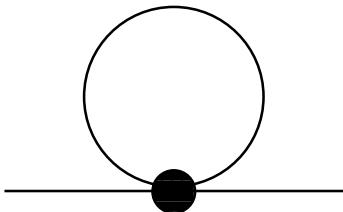


LOOPS and DIVERGENCES

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- Loop diagrams generate imag. parts, but are mostly **divergent**

⇒ choose a mass-independent & symmetry-preserving regularization scheme
[like dimensional regularization] → consider tadpole as example:



$$\begin{aligned}
 &= -i\Delta_\pi(0) = \frac{-i}{(2\pi)^d} \int d^d p \frac{1}{M^2 - p^2 - i\varepsilon} \quad [\text{d space-time dim.}] \\
 &= (2\pi)^{-d} \int d^d k \frac{1}{M^2 + k^2} \quad \text{with } p_0 = ik_0, \quad -p^2 = k_0^2 + \vec{k}^2 \\
 &= (2\pi)^{-d} \int d^d k \int_0^\infty d\lambda \exp(-\lambda(M^2 + k^2)) \\
 &= (2\pi)^{-d} \int_0^\infty d\lambda \exp(-\lambda M^2) \underbrace{\int d^d k \exp(-\lambda k^2)}_{(\pi/\lambda)^{d/2}} \\
 &= (4\pi)^{-d} M^{d-2} \Gamma\left(1 - \frac{d}{2}\right) \quad \text{has a pole at } d = 4
 \end{aligned}$$

⇒ absorb in LECs: $g_i \rightarrow g_i^{\text{ren}} + \beta_i \frac{1}{d-4}$ always possible!

CHIRAL PERTURBATION THEORY

- Consider first the mesonic chiral effective Lagrangian

$$\mathcal{L}_{\text{eff}} = \mathcal{L}^{(2)} + \mathcal{L}^{(4)} + \mathcal{L}^{(6)} + \dots$$

$$\mathcal{L}^{(2)} = \frac{F_\pi^2}{4} \langle D_\mu U D^\mu U^\dagger + \chi U^\dagger + \chi^\dagger U \rangle \quad [U^\dagger U = U U^\dagger = 1, U \rightarrow L U R^\dagger]$$

$$U = \exp(i\Phi/F_\pi), \quad \Phi = \sqrt{2} \begin{pmatrix} \pi^0/\sqrt{2} + \eta/\sqrt{6} & \pi^+ & K^+ \\ \pi^- & -\pi^0/\sqrt{2} + \eta/\sqrt{6} & K^0 \\ K^- & \bar{K}^0 & -2\eta/\sqrt{6} \end{pmatrix}$$

$$\chi = 2B\mathcal{M} + \dots, B = |\langle 0 | \bar{q}q | 0 \rangle| / F_\pi^2 \leftarrow \text{scalar quark condensate}$$

- Two parameters:

$F_\pi \simeq 92 \text{ MeV}$ = pion decay constant (GB coupling to the vacuum)

$B \simeq 2 \text{ GeV}$ = normalized vacuum condensate

- Goldstone boson masses: $M_{\pi^+}^2 = (m_u + m_d)B$, $M_{K^+}^2 = (m_d + m_s)B, \dots$
- has been extended to two loops $\mathcal{O}(q^6)$ in many cases

FROM QUARK to MESON MASSES

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- Explicit symmetry breaking Lagrangian:

$$\mathcal{L}_{\text{SB}} = \mathcal{M} \times f(U, \partial_\mu U, \dots), \quad \mathcal{M} = \text{diag}(m_u, m_d)$$

- LO invariants: $\text{Tr}(\mathcal{M}U^\dagger)$, $\text{Tr}(U\mathcal{M}^\dagger)$

$$\begin{aligned} \Rightarrow \mathcal{L}_{\text{SB}} &= \frac{1}{2} F_\pi^2 \left\{ B \text{Tr}(\mathcal{M}U^\dagger + U\mathcal{M}^\dagger) \right\} \quad B \text{ is a real constant if CP is conserved} \\ &= (m_u + m_d) B \left[F_\pi^2 - \frac{1}{2}\pi^2 + \frac{\pi^4}{24F_\pi^2} + \dots \right] \quad [\text{expand } U = \exp(i\vec{\tau} \cdot \vec{\pi}/F_\pi)] \end{aligned}$$

First term (vacuum): $\left. \frac{\partial \mathcal{L}_{\text{QCD}}}{\partial m_q} \right|_{m_q=0} = -\bar{q}q$

$$\Rightarrow \langle 0|\bar{u}u|0\rangle = \langle 0|\bar{d}d|0\rangle = -BF_\pi^2 (1 + \mathcal{O}(\mathcal{M}))$$

Second term (pion mass): $-\frac{1}{2}M_\pi^2\pi^2 \Rightarrow M_\pi^2 = (m_u + m_d)B$

combined: $M_\pi^2 = -(m_u + m_d) \langle 0|\bar{u}u|0\rangle / F_\pi^2$ Gell-Mann–Oakes–Renner rel.

repeat for SU(3) $\Rightarrow 3M_\eta^2 = 4M_K^2 - M_\pi^2$ Gell-Mann–Okubo relation

MESON MASSES → QUARK MASS RATIOS

- lowest order: $M_{\pi^+}^2 = (m_u + m_d)B \simeq (0.140 \text{ GeV})^2$

$$M_{K^0}^2 = (m_u + m_s)B \simeq (0.494 \text{ GeV})^2$$

$$M_{K^+}^2 = (m_d + m_s)B \simeq (0.497 \text{ GeV})^2$$

$\xrightarrow{\text{ratios}}$ $\frac{m_u}{m_d} = 0.66$, $\frac{m_s}{m_d} = 20.1$, $\frac{\hat{m}}{m_s} = \frac{1}{24.2}$ [$\hat{m} = \frac{1}{2}(m_u + m_d)$]

- corrections: next-to-leading order and beyond

electromagnetism

Weinberg, Gasser, Leutwyler, ...

\longrightarrow
$$\boxed{\frac{m_u}{m_d} = 0.553 \pm 0.043, \quad \frac{m_s}{m_d} = 18.9 \pm 0.8, \quad \frac{\hat{m}}{m_s} = \frac{1}{24.4 \pm 1.5}}$$

lattice QCD can now get these ratios with better precision [strong]

no large isospin violation since $m_u - m_d$ so small vs hadronic scale

Chiral Perturbation Theory: Pions and Nucleons

CHIRAL EFFECTIVE PION-NUCLEON THEORY

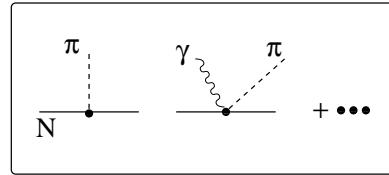
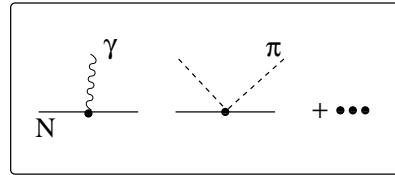
- view nucleon as matter fields [from now on, SU(2) only]
- chiral symmetry *dictates* the couplings to pions & external sources



a few steps well documented in the literature

$$\mathcal{L} = \mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{\pi N}^{(2)} + \mathcal{L}_{\pi N}^{(3)} + \mathcal{L}_{\pi N}^{(4)} + \dots$$

$$\mathcal{L}_{\pi N}^{(1)} = \bar{\psi} (iD - m_N + \frac{1}{2} g_A \gamma_\mu \gamma_5 u^\mu) \psi$$



- tree calculations: tree graphs w/ insertions from $\mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{\pi N}^{(2)}$
- one-loop calculations: tree graphs w/ insertions from $\mathcal{L}_{\pi N}^{(1)} + \dots + \mathcal{L}_{\pi N}^{(4)}$
plus loop graphs w/ (one) insertion(s) from $\mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{\pi N}^{(2)}$

EFFECTIVE LAGRANGIAN AT ONE LOOP

- Pion-nucleon Lagrangian:

$$\mathcal{L}_{\pi N} = \mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{\pi N}^{(2)} + \mathcal{L}_{\pi N}^{(3)} + \mathcal{L}_{\pi N}^{(4)}$$

with

$[^{(n)} = \text{chiral dimension}]$

$$\begin{aligned} \mathcal{L}_{\pi N}^{(1)} &= \bar{\Psi} \left(i \not{D} - m_N + \frac{1}{2} g_A \not{u} \gamma_5 \right) \Psi & [u_\mu \sim \partial_\mu \phi] \\ \mathcal{L}_{\pi N}^{(2)} &= \sum_{i=1}^7 c_i \bar{\Psi} O_i^{(2)} \Psi = \bar{\Psi} \left(\color{red} c_1 \langle \chi_+ \rangle + \color{blue} c_2 \left(-\frac{1}{8m_N^2} \langle u_\mu u_\nu \rangle D^{\mu\nu} + \text{h.c.} \right) + \color{blue} c_3 \frac{1}{2} \langle u \cdot u \rangle \right. \\ &\quad \left. + \color{blue} c_4 \frac{i}{4} [u_\mu, u_\nu] \sigma^{\mu\nu} + \color{red} c_5 \tilde{\chi}_+ + c_6 \frac{1}{8m_N} F_{\mu\nu}^+ \sigma^{\mu\nu} + c_7 \frac{1}{8m_N} \langle F_{\mu\nu}^+ \rangle \sigma^{\mu\nu} \right) \Psi \end{aligned}$$

- dynamical LECs $g_A \sim \partial_\mu \phi$, and $c_2, c_3, c_4 \sim \partial_\mu^2 \phi, \partial_\mu \partial_\nu \phi$
- symmetry breaking LECs $c_1 \sim m_u + m_d$, $c_5 \sim m_u - m_d$
- external probe LECs $c_6, c_7 \sim e Q A_\mu$

$$\mathcal{L}_{\pi N}^{(3)} = \sum_{i=1}^{23} d_i \bar{\Psi} O_i^{(3)} \Psi, \quad \mathcal{L}_{\pi N}^{(4)} = \sum_{i=1}^{118} e_i \bar{\Psi} O_i^{(4)} \Psi$$

for details, see [Fettes et al., Ann. Phys. 283 \(2000\) 273 \[hep-ph/0001308\]](#)

POWER-COUNTING in the PION-NUCLEON THEORY

- nucleon mass $m_N \sim 1 \text{ GeV} \rightarrow$ only three-momenta can be soft
 \rightarrow complicates the power counting (see fig.)

Gasser, Sainio, Svarc, Nucl. Phys. B 307 (1988) 779

- solutions:

(1) Heavy-baryon approach

Jenkins, Manohar; Bernard, Kaiser, M., . . .

$1/m_N$ expansion a la Foldy-Wouthuysen of the Lagrangian

m_N only appears in vertices, no longer in the propagator

(2) Infrared Regularization [or variants thereof like EOMS]

Becher, Leutwyler; Kubis, M.; Gegelia, Scherer, . . .

extraction of the soft parts from the loop integrals

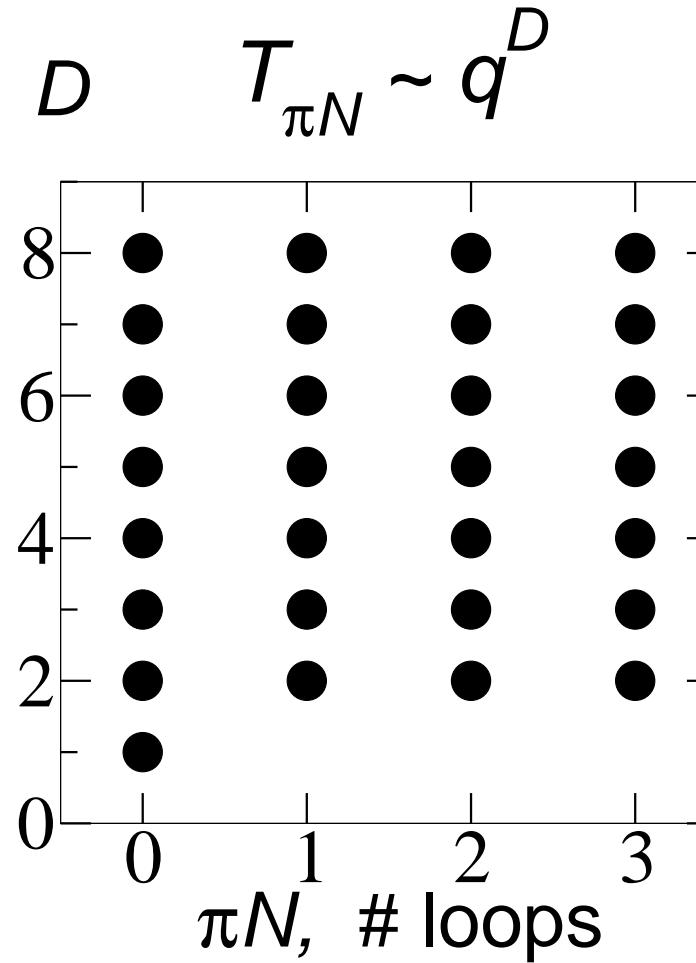
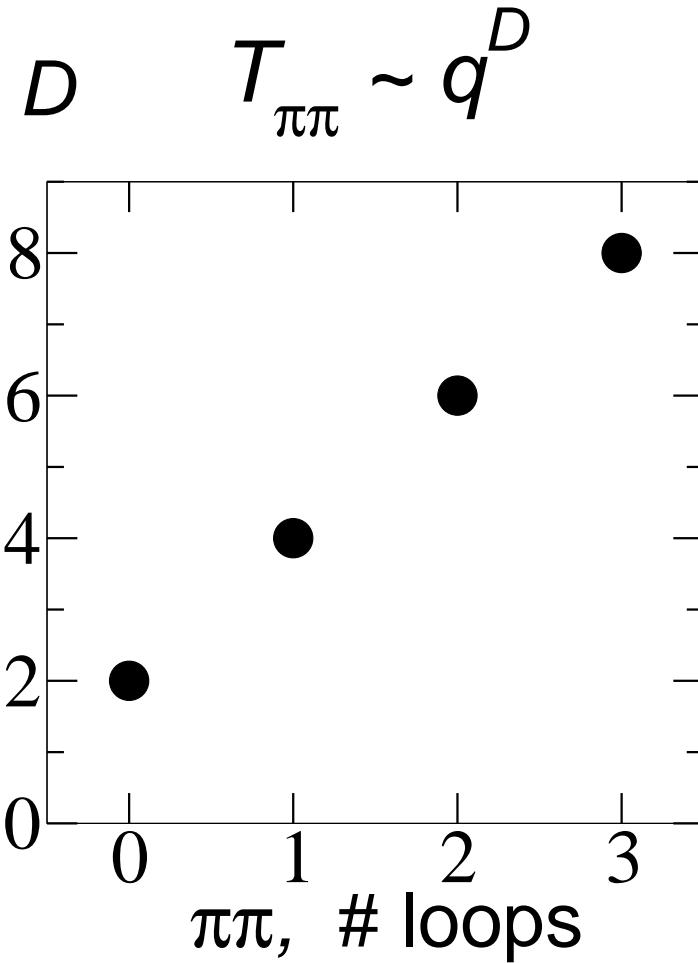
easier to retain proper analytic structure

- most calculations at one loop, only two at two loop accuracy (g_A, m_N)

Bernard, M.; Schindler, Scherer, Gegelia

FAILURE of the POWER-COUNTING

- naive extension of loop graphs from the pion to the pion-nucleon sector



HEAVY BARYON APPROACH I

- consider the nucleon as a static, heavy source \rightarrow four-velocity v_μ :

Jenkins, Manohar 1991

$$\boxed{p_\mu = m_N v_\mu + \ell_\mu}, \quad v^2 = 1, \quad p^2 = m_N^2, \quad v \cdot \ell \ll m_N$$

- velocity-projection: $\Psi(x) = \exp(-im_N v \cdot x) [H(x) + h(x)]$

with

$$\not{v} H = H, \quad \not{v} h = -h \quad \text{[“large/small” components]}$$

- H - and h -components decouple, separated by large mass gap $2m_N$:

$$\mathcal{L}_{\pi N}^{(1)} = \bar{\Psi} \left(i \not{D} - m_N + \frac{1}{2} g_A \not{v} \gamma_5 \right) \Psi$$

$$\begin{aligned} u_\mu &= i u^\dagger \nabla_\mu U u^\dagger, \quad U = u^2 \\ \nabla_\mu U &= \partial_\mu U - ie A_\mu [Q, U], \quad Q = \text{diag}(1, 0) \\ D_\mu \Psi &= \partial_\mu \Psi + \frac{1}{2} (u^\dagger (\partial_\mu - ie A_\mu Q) u \\ &\quad + u (\partial_\mu - ie A_\mu Q) u^\dagger) \Psi \end{aligned}$$

$$\rightarrow \boxed{\mathcal{L}_{\pi N}^{(1)} = \bar{H} (iv \cdot D + g_A S \cdot u) H + \mathcal{O} \left(\frac{1}{m_N} \right)}$$

HEAVY BARYON APPROACH II

- covariant spin-vector à la Pauli-Lubanski:

$$S_\mu = \frac{i}{2} \gamma_5 \sigma_{\mu\nu} v^\nu, \quad S \cdot v = 0, \quad \{S_\mu, S_\nu\} = \frac{1}{2}(v_\mu v_\nu - g_{\mu\nu}), \quad S^2 = \frac{1-d}{4}$$

- the Dirac algebra simplifies considerably (only v_μ and S_μ):

$$\bar{H} \gamma_\mu H = v_\mu \bar{H} H, \quad \bar{H} \gamma_5 H = \mathcal{O}(\frac{1}{m_N}), \quad \bar{H} \gamma_\mu \gamma_5 H = 2 \bar{H} S_\mu H, \dots$$

- propagator:

$$S(\omega) = \frac{i}{\omega + i\eta}, \quad \omega = v \cdot \ell, \quad \eta \rightarrow 0^+$$

- mass scale moved from the propagator to $1/m_N$ suppressed vertices
→ power counting
- can be systematically extended to arbitrary orders in $1/m_N$

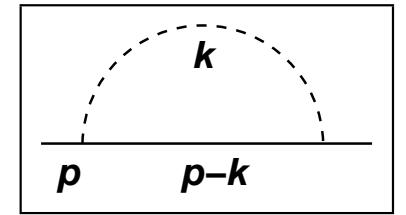
Bernard, Kaiser, Kambor, M., 1992

INFRARED REGULARIZATION I

- relativistic calculation of the nucleon self-energy:

Gasser, Sainio, Švarč, 1988, Becher, Leutwyler 1999

$$H(p^2) = \frac{1}{i} \int \frac{d^d k}{(2\pi)^d} \frac{1}{M_\pi^2 - k^2} \frac{1}{m_N - (p - k)^2}$$



$$\rightarrow H(s_0) = c(d) \frac{M_\pi^{d-3} + m_N^{d-3}}{M_\pi + m_N} = \textcolor{red}{I} + R, \quad s_0 = (M_\pi + m_N)^2$$

infrared singular piece I : generated by momenta of the order M_π
contains the chiral physics like chiral logs etc.

infrared regular piece R : generated by momenta of the order m_N
leads to the violation of the power counting
polynomial in external momenta and quark masses
→ can be absorbed in the LECs of the eff. Lagr.

INFRARED REGULARIZATION II

Becher, Leutwyler 1999

- this symmetry-preserving splitting can be *uniquely* defined for any one-loop graph
- method to separate the infrared singular and regular parts
(end-point singularity at $z = 1$):

$$\begin{aligned}
 H &= \int \frac{d^d k}{(2\pi)^d} \frac{1}{AB} = \int_0^1 dz \int \frac{d^d k}{(2\pi)^d} \frac{1}{[(1-z)A + zB]^2} \\
 &= \left\{ \int_0^\infty - \int_1^\infty \right\} dz \int \frac{d^d k}{(2\pi)^d} \frac{1}{[(1-z)A + zB]^2} = \textcolor{red}{I} + \textcolor{red}{R}
 \end{aligned}$$

$$A = M_\pi^2 - k^2 - i\eta, \quad B = m^2 - (p - k)^2 - i\eta, \quad \eta \rightarrow 0^+$$

- preserves the low-energy analytic structure of any one-loop graph
- extension to higher loop graphs difficult but doable

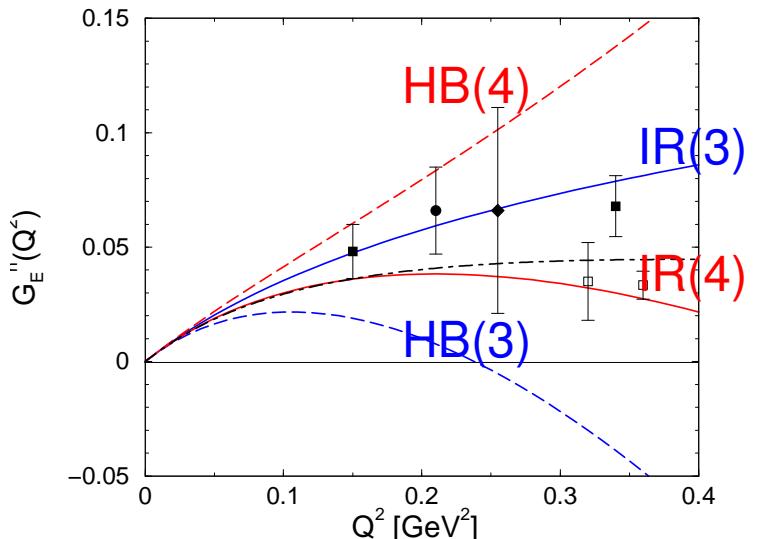
Lehmann, Prezeau, 2002

HEAVY BARYON vs INFRARED REGULARIZATION

- Heavy baryon (HB) is algebraically much simpler than infrared regularization (IR)
- HB can be extended to higher loop orders (IR requires modifications)
- Strict HB approach sometimes at odds with the analytic structure, IR not,
e.g. anomalous threshold in triangle diagram (isovector em form factors)

$$t_c = 4M_\pi^2 - M_\pi^4/m_N^2 \stackrel{HB}{=} 4M_\pi^2 + \mathcal{O}(1/m_N^2)$$

- IR resums kinetic energy insertions
→ sometimes improves convergence
e.g. neutron electric ff $G_E^n(Q^2)$
Kubis, M., 2001
- for a detailed discussion, see the review
Bernard, Prog. Nucl. Part. Phys. **60** (2008) 82



EOMS REGULARIZATION I

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Fuchs, Gegelia, Japaridze, Scherer 2003

- Extended-on-mass-shell scheme (EOMS), consider the chiral limit $M = 0$:

$$H(p^2, m_N^2, 0; d) = \frac{1}{i} \int \frac{d^d k}{(2\pi)^d} \frac{1}{[k^2 + i\varepsilon]} \frac{1}{[(p - k)^2 - m_N^2 + i\varepsilon]}$$

→ modify the integrand by subtracting suitable counterterms:

$$\begin{aligned} & \sum_{\ell=0}^{\infty} \frac{p^2 - m_N^2}{\ell!} \left[\left(\frac{1}{p^2} p_\mu \frac{\partial}{\partial p_\mu} \right)^\ell \frac{1}{[k^2 + i\varepsilon]} \frac{1}{[((p^2 - m_N^2) + k^2 - 2k \cdot p + i\varepsilon)]} \right]_{p^2=m_N^2} \\ &= \frac{1}{(k^2 + i\varepsilon)(k^2 - 2k \cdot p + i\varepsilon)} \Big|_{p^2=m_N^2} \\ &+ (p^2 - m_N^2) \left[\frac{1}{2m_N^2} \frac{1}{(k^2 - 2k \cdot p + i\varepsilon)^2} - \frac{1}{2m_N^2} \frac{1}{(k^2 + i\varepsilon)(k^2 - 2k \cdot p + i\varepsilon)} \right. \\ &\quad \left. - \frac{1}{(k^2 + i\varepsilon)(k^2 - 2k \cdot p + i\varepsilon)^2} \right] + (p^2 - m_N^2)^2 \times \dots \end{aligned}$$

EOMS REGULARIZATION II

Fuchs, Gegelia, Japaridze, Scherer 2003

- Formal definition of the EOMS scheme:

→ subtract from the integrand of those terms of the series which violate the p. c.

- ↪ These terms are always analytic in the small parameter
- ↪ They do not contain infrared singularities
- ↪ EOMS acts on the integrand, not on the integration boundaries

- Nucleon self-energy: only subtract the first term on the r.h.s.

e.g. the second term (last summand) is IR singular as k^3/k^4

- Can be formulated more elegantly using the generating functional and utilizing heat kernel regularization

Du, Guo, UGM, 2016

POWER COUNTING in the PION-NUCLEON SYSTEM II

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- consider the nucleon mass being eliminated, e.g. in the heavy baryon scheme $S(q) \sim 1/(v \cdot q)$ and vertices with $d \geq 1$
- Goldstone bosons as before, $d \geq 2$ and $D(q) \sim 1/(q^2 - M^2)$
- consider an L -loop diagram with I_B internal baryon lines, I_M internal meson lines, V_d^M mesonic vertices and V_d^{MB} meson-nucleon vertices of order d

$$Amp \propto \int (d^4 q)^L \frac{1}{(q^2)^{I_M}} \frac{1}{(q)^{I_B}} \prod_d (q^d)^{(V_d^M + V_d^{MB})}$$

- let $Amp \sim q^\nu \rightarrow \nu = 4L - 2I_M + I_B + \sum_d d(V_d^M + V_d^{MB})$
- topology: $L = I_M + I_B - \sum_d (V_d^m + V_d^{MB}) + 1$
and one baryon line through the diagram: $\sum_d V_d^{MB} = I_B + 1$

$$\bullet \text{ eliminate } I_M: \quad \boxed{\nu = 1 + 2L + \sum_d V_d^m (d-2) + \sum_d (d-1) V_d^{MB}}$$

$$\rightarrow \nu \geq 1$$

STRUCTURE of the PION-NUCLEON INTERACTION

- Pion-nucleon scattering in chiral perturbation theory

Leading order (LO) ($\nu = 1$):

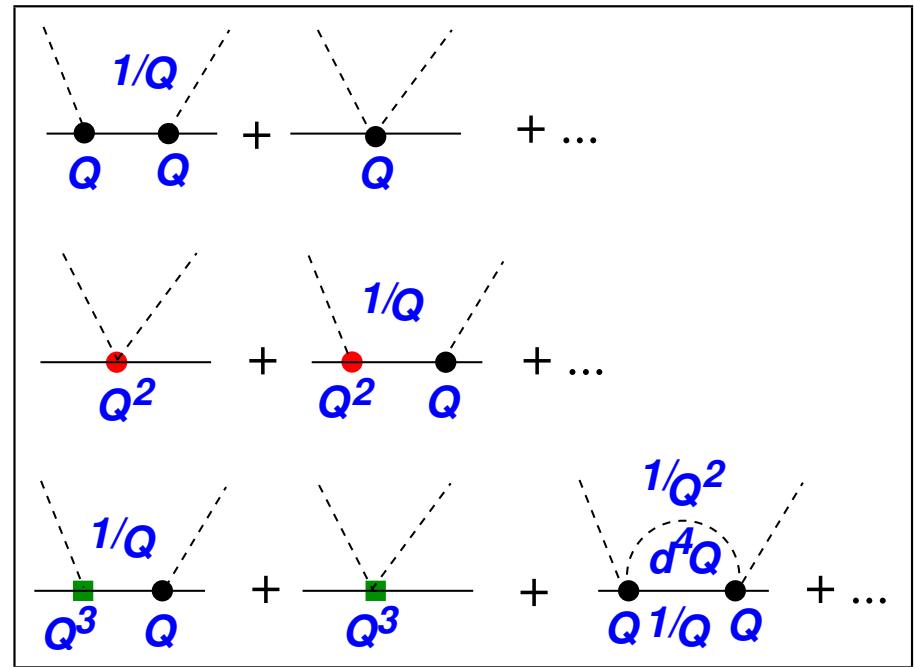
tree graphs w/ insertions with $d = 1$

Next-to-leading order (NLO) ($\nu = 2$):

tree graphs w/ insertions with $d = 1, 2$

Next-to-next-to-leading order (NNLO) ($\nu = 3$):

tree graphs w/ insertions with $d = 1, 2, 3$
and one-loop graphs w/insertion with $d = 1$



- calculations have been performed up to $\nu = 4$ (NNNLO = complete one-loop):

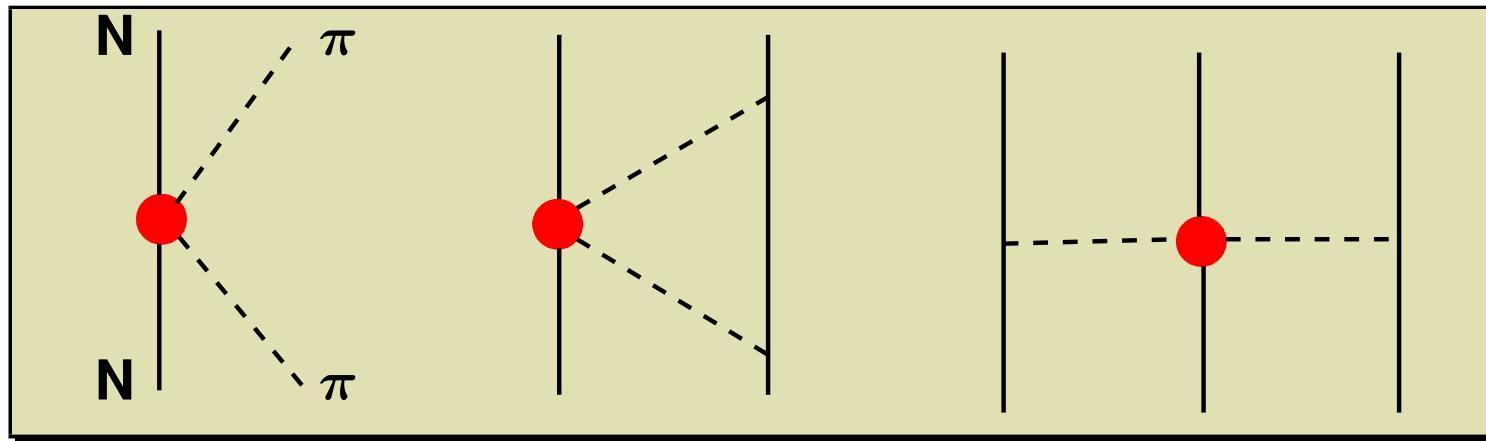
heavy-baryon scheme Fettes, M., Nucl. Phys. A **676** (2000) 311, Krebs et al., Phys. Rev. C**85** (2012) 054006

infrared-regularization scheme Becher, Leutwyler, JHEP **06** (2001) 017

covariant EOMS scheme Alarcon et al., Phys. Rev. C**83** (2011) 055205; Siemens et al., Phys. Rev. C**94** (2016) 014620

APPLICATION: DIMENSION-TWO LECS

- Low-energy constants (LECs) relate *many* processes
- e.g. the dimension-two LECs c_i in $\pi N, NN, NNN, \dots$



● = operator from $\mathcal{L}_{\pi N}^{(2)} \propto c_i$ ($i = 1, 2, 3, 4$)

- Here:
- determine the c_i from the purest process $\pi N \rightarrow \pi N$
 - later use in the calculation of nuclear forces

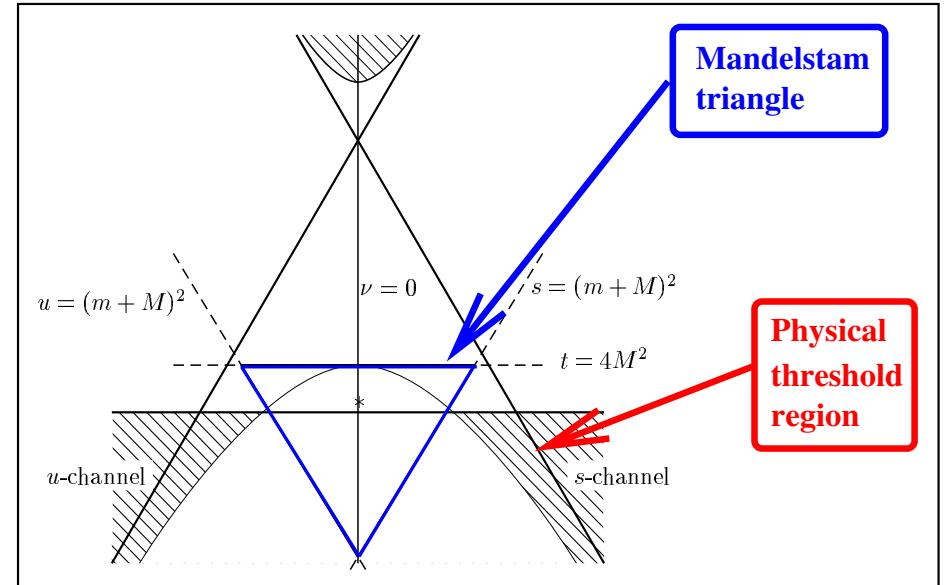
DETERMINATION OF THE LECs

- πN scattering data can be explored in different ways (CHPT or disp. rel.):
- πN scattering inside the Mandelstam triangle:
 - best convergence, relies on dispersive analysis
 - not sensitive to all LECs, esp. c_2

Büttiker, M., Nucl. Phys. A 668 (2000) 97 [hep-ph/9908247]

- πN scattering in the threshold region:
 - large data basis, not all consistent
 - use threshold parameters and global fits
 - sizeable uncertainties remain in some LECs
- πN scattering from Roy-Steiner equations:
 - hyperbolic partial-wave dispersion relations (unitarity & analyticity & crossing symmetry)
 - most accurate representation of the πN amplitudes

Hoferichter, Ruiz de Elvira, Kubis, UGM, Phys. Rev. Lett. 115 (2015) 092301; Phys. Rev. Lett. 115 (2015) 192301; Phys. Rept. 625 (2016) 1



Fettes, M., Steininger, Nucl. Phys. A 640 (1998) 119 [hep-ph/9803266]

Fettes, M., Nucl. Phys. A 676 (2000) 311 [hep-ph/0002182]

Becher, Leutwyler, JHEP 0106 (2001) 017 [arXiv:hep-ph/0103263]

RESULTS for the LECs

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- Chiral expansion expected to work best at the subthreshold point (polynomial, maximal distance to singularities)
- Express subthreshold parameters in terms of LECs → invert system
- LECs c_i of the dimension two chiral effective πN Lagrangian:

LEC	RS	KGE 2012	UGM 2005
$c_1 \text{ [GeV}^{-1}]$	-1.11 ± 0.03	$-1.13 \dots - 0.75$	$-0.9^{+0.2}_{-0.5}$
$c_2 \text{ [GeV}^{-1}]$	3.13 ± 0.03	$3.49 \dots 3.69$	3.3 ± 0.2
$c_3 \text{ [GeV}^{-1}]$	-5.61 ± 0.06	$-5.51 \dots - 4.77$	$-4.7^{+1.2}_{-1.0}$
$c_4 \text{ [GeV}^{-1}]$	4.26 ± 0.04	$3.34 \dots 3.71$	$-3.5^{+0.5}_{-0.2}$

Krebs, Gasparyan, Epelbaum, Phys. Rev. C85 (2012) 054006
UGM, PoS LAT2005 (2006) 009

- also results for pertinent dimension three and four LECs

INTERMEDIATE SUMMARY

- QCD has a chiral symmetry in the light quark sector (neglecting quark masses)
- Chiral symmetry is *spontaneously* and *explicitely* broken
 - appearance of almost massless Goldstone bosons (π, K, η)
 - Goldstone boson interactions vanish as $E, p \rightarrow 0$
- Chiral perturbation theory is the EFT of QCD that explores chiral symmetry
- Meson sector: only even powers in small momenta, many successes
- Single nucleon sector: odd & even powers, quite a few successes
- Low-energy constants relate many processes (in particular the c_i)
- Isospin-breaking can be systematically incorporated → spares
- NREFT can be set up for hadronic atoms → extraction of scattering lengths

Testing chiral dynamics in hadron-hadron scattering

WHY HADRON-HADRON SCATTERING?

- Weinberg's 1966 paper "Pion scattering lengths"

Weinberg, Phys. Rev. Lett. **17** (1966) 616

- pion scattering on a target with mass m_t and isospin T_t :

$$a_T = -\frac{L}{1 + M_\pi/m_t} [T(T+1) - T_t(T_t+1) - 2]$$

- pion scattering on a pion ["the more complicated case"]:

$$a_0 = \frac{7}{4}L, \quad a_2 = -\frac{1}{2}L$$

$$L = \frac{g_V^2 M_\pi}{8\pi F_\pi^2} \simeq 0.1 M_\pi^{-1}$$

[$F_\pi = 92.1$ MeV]

- amazing predictions - witness to the power of chiral symmetry
- what have we learned since then?

Example 1

ELASTIC PION-PION SCATTERING

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- Purest process in two-flavor chiral dynamics (really light quarks)
- scattering amplitude at threshold: two numbers (a_0, a_2)
- History of the prediction for a_0 :

$$\text{LO (tree): } a_0 = 0.16 \quad \text{Weinberg 1966}$$

$$\text{NLO (1-loop): } a_0 = 0.20 \pm 0.01 \quad \text{Gasser, Leutwyler 1983}$$

$$\text{NNLO (2-loop): } a_0 = 0.217 \pm 0.009 \quad \text{Bijnens et al. 1996}$$

- even better: match 2-loop representation to Roy equation solution

$$\text{Roy + 2-loop: } a_0 = 0.220 \pm 0.005 \quad \text{Colangelo et al. 2000}$$

⇒ this is an *amazing* prediction!

- same precision for a_2 , but corrections very small . . .

HOW ABOUT EXPERIMENT?

- Kaon decays (K_{e4} and $K^0 \rightarrow 3\pi^0$): most precise
- Lifetime of pionium: experimentally more difficult

Kaon decays:

$$a_0^0 = 0.2210 \pm 0.0047_{\text{stat}} \pm 0.0040_{\text{sys}}$$

$$a_0^2 = -0.0429 \pm 0.0044_{\text{stat}} \pm 0.0028_{\text{sys}}$$

J. R. Batley et al. [NA48/2 Coll.] EPJ C 79 (2010) 635

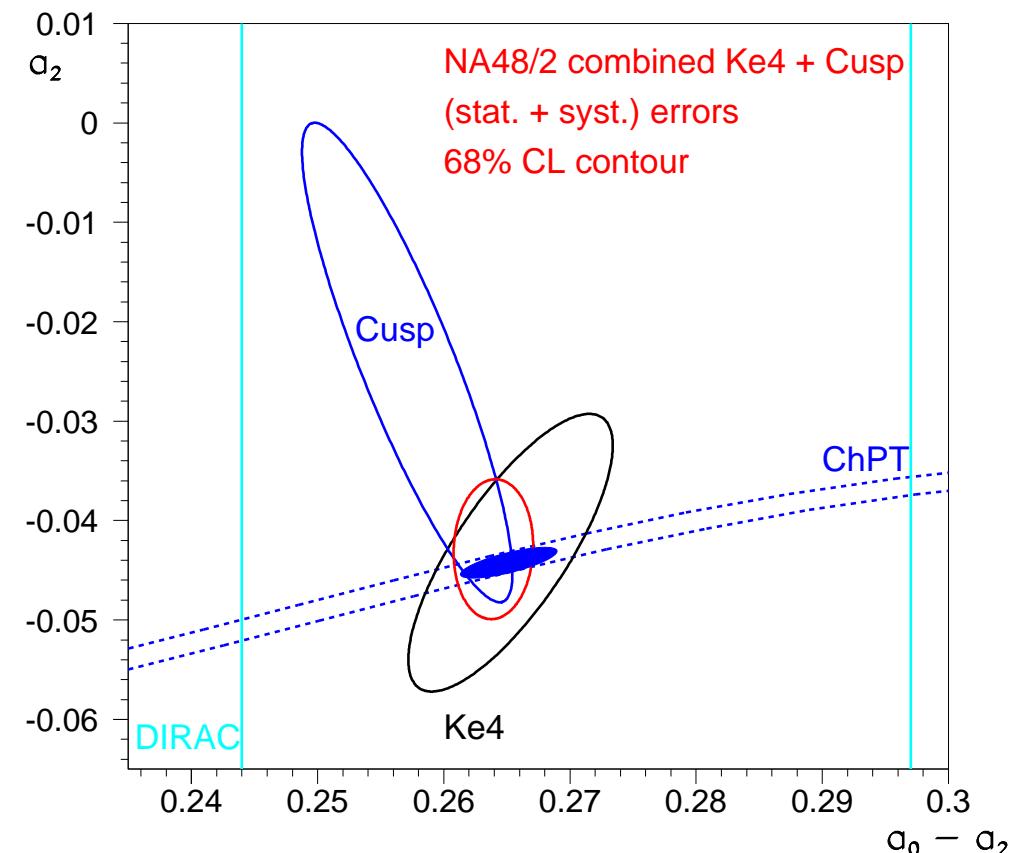
Pionium lifetime:

$$|a_0^0 - a_0^2| = 0.264^{+0.033}_{-0.020}$$

B. Adeva et al. [DIRAC Coll.] PL B 619 (2005) 50

- and how about the lattice?

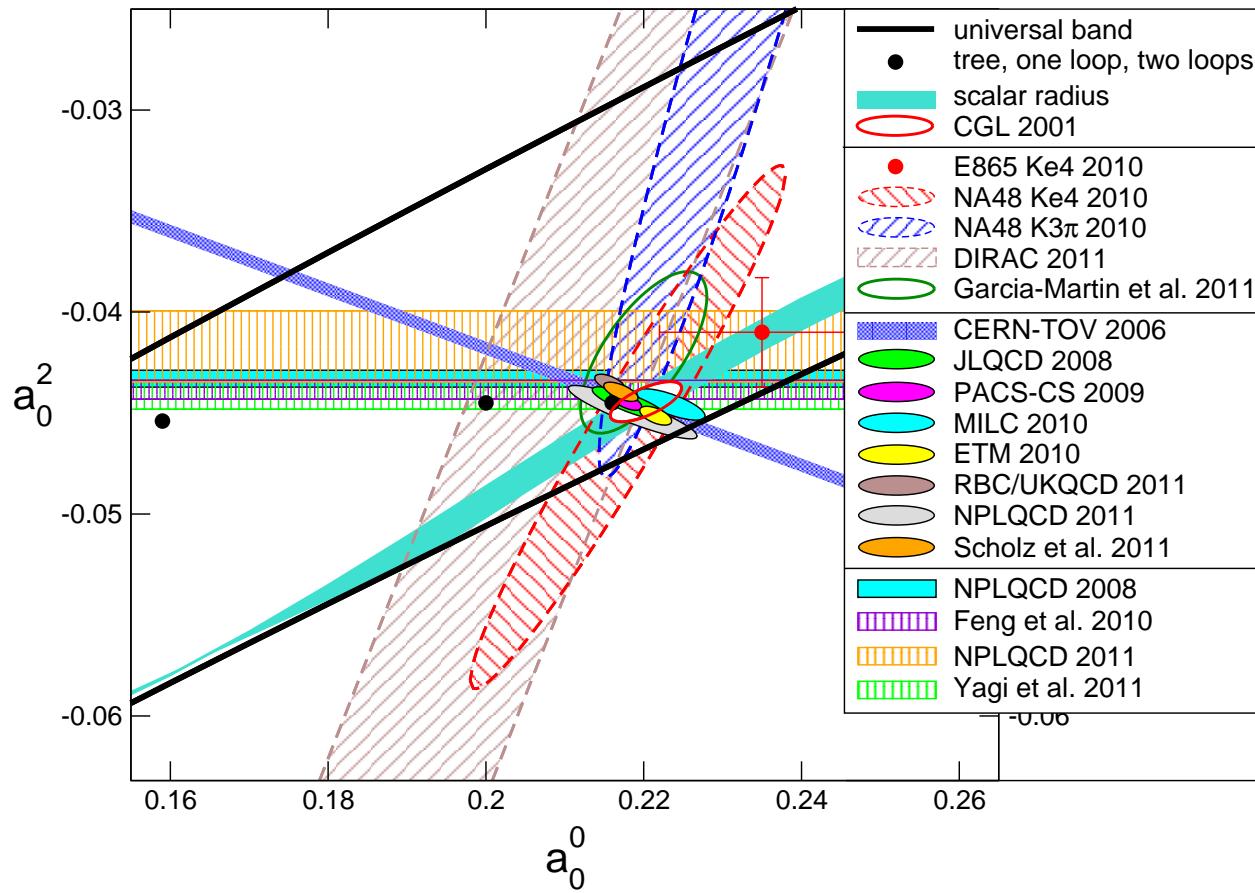
⇒ direct and indirect determinations of the scattering lengths



THE GRAND PICTURE

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Fig. courtesy Heiri Leutwyler 2012

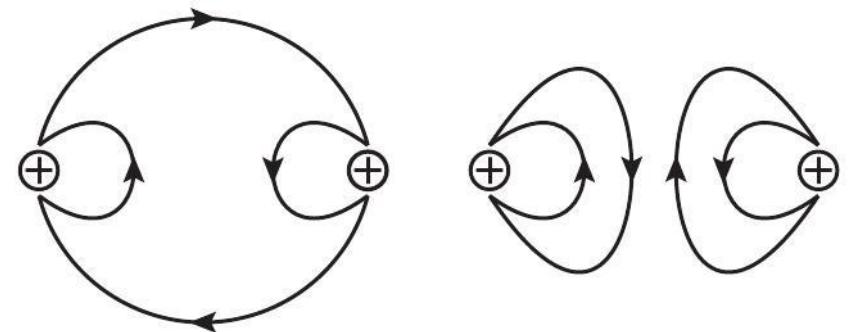


- one of the finest tests of the Standard Model

(what about lattice a_0 calcs?)

ELASTIC PION-PION SCATTERING – LATTICE a_0

- Only a few lattice determinations of a_0
 - ↪ disconnected diagrams difficult
 - ↪ quantum numbers of the vacuum
- only a few results:



Author(s)	a_0	Fermions	Pion mass range
Fu	0.214(4)(7)	asqtad staggered	240 - 430 MeV
ETMC	0.198(9)(6)	twisted mass	250 - 320 MeV
GWU	0.213(1)	LW + nHYP	224 - 315 MeV

Fu, PRD87 (2013) 074501; Liu et al. [ETMC], PRD96 (2017) 054516; Mai et al. (GWU), arXiv:1909.05749

→ use EFT of PQQCD to investigate these contributions

Acharya, Guo, UGM, Seng, Nucl.Phys. B922 (2017) 480, JHEP 1904 (2019) 165

→ more LQCD calculations needed!

Example 2

STRANGE QUARK MYSTERIES

48

- Is the strange quark really light?

$$m_s \sim \Lambda_{\text{QCD}}$$

→ expansion parameter: $\xi_s = \frac{M_K^2}{(4\pi F_\pi)^2} \simeq 0.18$ [SU(2): $\xi = \frac{M_\pi^2}{(4\pi F_\pi)^2} \simeq 0.014$]

- many predictions of SU(3) CHPT work quite well, but:

↪ indications of bad convergence in some lattice calculations:

★ masses and decay constants

Allton et al. 2008

★ $K_{\ell 3}$ -decays

Boyle et al. 2008

↪ suppression of the three-flavor condensate?

★ sum rule: $\Sigma(3) = \Sigma(2)[1 - 0.54 \pm 0.27]$

Moussallam 2000

★ lattice: $\Sigma(3) = \Sigma(2)[1 - 0.23 \pm 0.39]$

Fukuya et al. 2011

ELASTIC PION-KAON SCATTERING

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- Purest process in three-flavor chiral dynamics
- scattering amplitude at threshold: two numbers ($a_0^{1/2}$, $a_0^{3/2}$)
- History of the chiral predictions:

	CA [1]	1-loop [2]	2-loop [3]
$a_0^{1/2}$	0.14	0.18 ± 0.03	$0.220 [0.17 \dots 0.225]$
$a_0^{3/2}$	-0.07	-0.05 ± 0.02	$-0.047 [-0.075 \dots -0.04]$

[1] Weinberg 1966, Griffith 1969 [2] Bernard, Kaiser, UGM 1990 [3] Bijnens, Dhonte, Talavera 2004

- match 1-loop representation to Roy-Steiner equation solution

$$a_0^{1/2} = 0.224 \pm 0.022, \quad a_0^{3/2} = -0.0448 \pm 0.0077$$

Büttiker et al. 2003

- constrained forward dispersion relations:

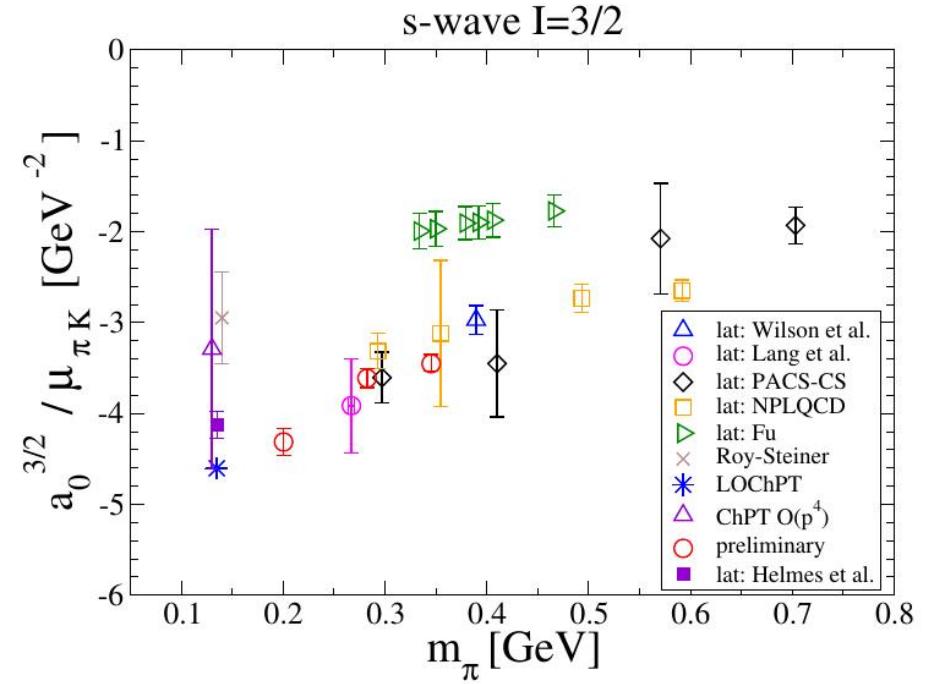
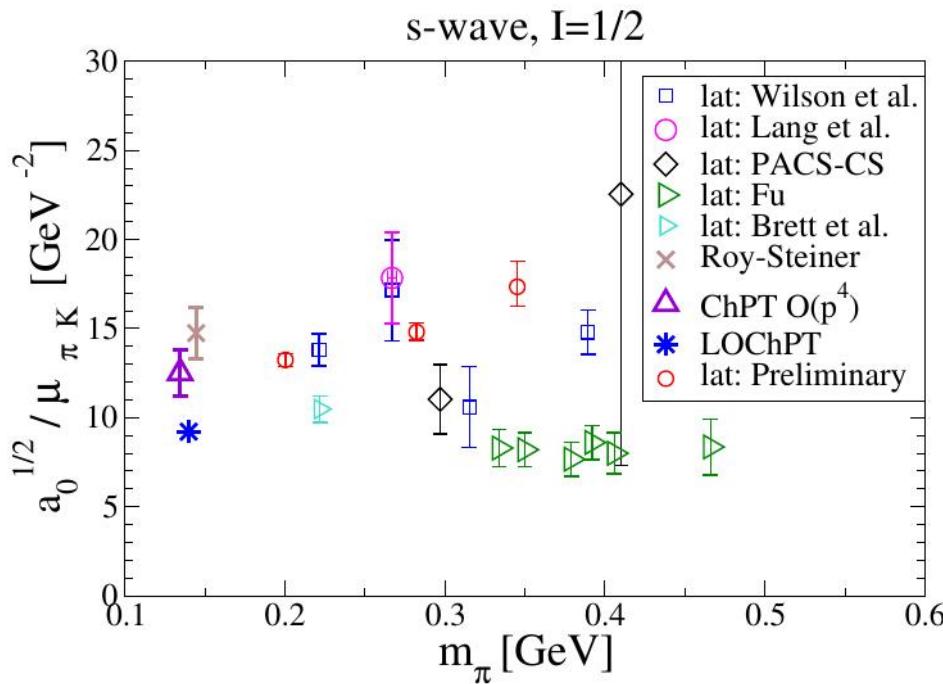
$$a_0^{1/2} = 0.22 \pm 0.01, \quad a_0^{3/2} = -0.054^{+0.010}_{-0.014}$$

Pelaez, Rodas 2016

THE GRAND PICTURE

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Fig. courtesy Daniel Mohler



- tension between lattice results and/or Roy-Steiner
- need improved lattice results (more direct calculations)
- see also Pion-Kaon Interactions Workshop at JLab website

⇒ work required

<https://www.jlab.org/conferences/pki2018/program.html> [arXiv:1804.06528]

Example 3

PION-NUCLEON SCATTERING

- simplest scattering process involving nucleons
- intriguing LO prediction for isoscalar/isovector scattering length:

$$a_{\text{CA}}^+ = 0, \quad a_{\text{CA}}^- = \frac{1}{1 + M_\pi/m_p} \frac{M_\pi^2}{8\pi F_\pi^2} = 79.5 \cdot 10^{-3}/M_\pi,$$

- chiral corrections:

- chiral expansion for a^- converges fast Bernard, Kaiser, UGM 1995
- large cancellations in a^+ , even sign not known from scattering data

	$\mathcal{O}(q)$	$\mathcal{O}(q^2)$	$\mathcal{O}(q^3)$	$\mathcal{O}(q^4)$
fit to KA85	0.0	0.46	-1.00	-0.96
fit to EM98	0.0	0.24	0.49	0.45
fit to SP98	0.0	1.01	0.14	0.27

Fettes, UGM 2000

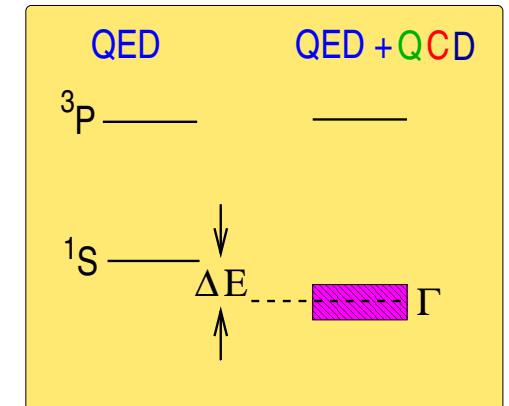
A WONDERFUL ALTERNATIVE: HADRONIC ATOMS

- Hadronic atoms: bound by the static Coulomb force (QED)
- Many species: $\pi^+\pi^-$, $\pi^\pm K^\mp$, π^-p , π^-d , K^-p , K^-d , ...
- Observable effects of QCD: strong interactions as **small** perturbations

★ energy shift ΔE

★ decay width Γ

⇒ access to scattering at zero energy!
= S-wave scattering lengths



- can be analyzed in suitable NREFTs

Pionic hydrogen

Gasser, Rusetsky, ... 2002

Pionic deuterium

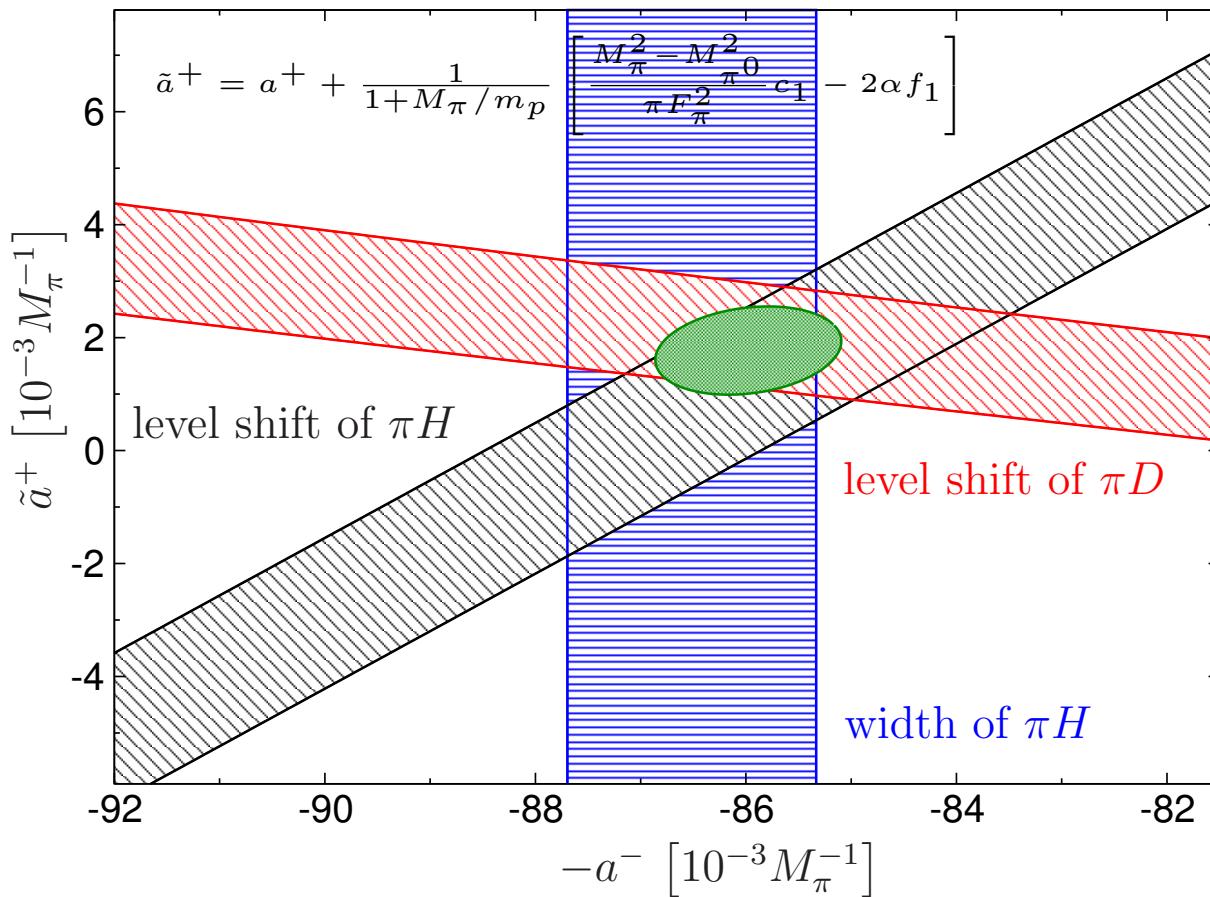
Baru, Hoferichter, Kubis ... 2011

PION-NUCLEON SCATTERING LENGTHS

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- Superb experiments performed at PSI Gotta et al.
- Hadronic atom theory (Bern, Bonn, Jülich) Gasser et al., Baru et al.

Baru, Hoferichter, Hanhart, Kubis, Nogga, Phillips, Nucl. Phys. A 872 (2011) 69



- πH level shift $\Rightarrow \pi^- p \rightarrow \pi^- p$
- πD level shift
 \Rightarrow isoscalar $\pi^- N \rightarrow \pi^- N$
- πH width $\Rightarrow \pi^- p \rightarrow \pi^0 n$



$$a^+ = (7.6 \pm 3.1) \cdot 10^{-3} / M_\pi$$

$$a^- = (86.1 \pm 0.9) \cdot 10^{-3} / M_\pi$$

\Rightarrow very precise value for a^- & first time definite sign for a^+

ROLE of the PION-NUCLEON σ -TERM

- Scalar couplings of the nucleon:

$$\langle N | m_q \bar{q} q | N \rangle = f_q^N m_N \quad (N = p, n) \\ (q = u, d, s)$$

↪ Dark Matter detection

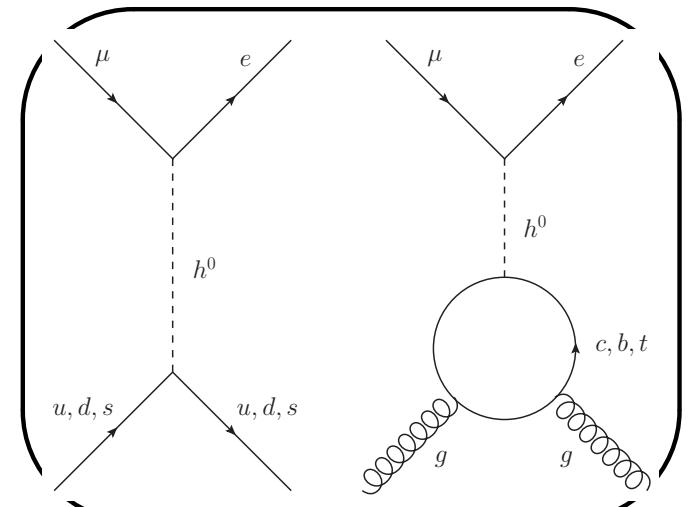
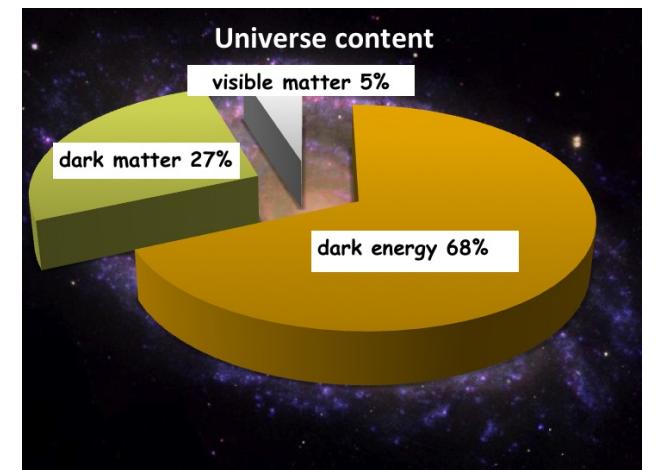
↪ $\mu \rightarrow e$ conversion in nuclei

- Condensates in nuclear matter

$$\frac{\langle \bar{q}q \rangle(\rho)}{\langle 0 | \bar{q}q | 0 \rangle} = 1 - \frac{\rho \sigma_{\pi N}}{F_\pi^2 M_\pi^2} + \dots$$

- CP-violating πN couplings

↪ hadronic EDMs (nucleon, nuclei)



Crivellin, Hoferichter, Procura

RESULTS for the SIGMA-TERM

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- Basic formula:

$$\sigma_{\pi N} = F_\pi^2 (d_{00}^+ + 2M_\pi^2 d_{01}^+) + \Delta_D - \Delta_\sigma - \Delta_R$$

- Subthreshold parameters output of the RS equations:

$$d_{00}^+ = -1.36(3) M_\pi^{-1} \quad [\text{KH: } -1.46(10) M_\pi^{-1}]$$

$$d_{01}^+ = 1.16(3) M_\pi^{-3} \quad [\text{KH: } 1.14(2) M_\pi^{-3}]$$

- $\Delta_D - \Delta_\sigma = (1.8 \pm 0.2) \text{ MeV}$ Hoferichter, Ditsche, Kubis, UGM (2012)

- $\Delta_R \lesssim 2 \text{ MeV}$ Bernard, Kaiser, UGM (1996)

- Isospin breaking in the CD theorem shifts $\sigma_{\pi N}$ by $+3.0 \text{ MeV}$

⇒ Final result:

$$\sigma_{\pi N} = (59.1 \pm 1.9_{\text{RS}} \pm 3.0_{\text{LET}}) \text{ MeV} = (59.1 \pm 3.5) \text{ MeV}$$

- consistent with scattering data analysis: $\sigma_{\pi N} = 58 \pm 5 \text{ MeV}$ Ruiz de Elvira, Hoferichter, Kubis, UGM (2018)
- recover $\sigma_{\pi N} = 45 \text{ MeV}$ if KH80 scattering lengths are used

RESULTS for the SIGMA-TERM

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- Recent results from various LQCD collaborations:

collaboration	$\sigma_{\pi N}$ [MeV]	reference	tension to RS
BMW	38(3)(3)	Dürr et al. (2015)	3.8σ
χ QCD	45.9(7.4)(2.8)	Yang et al. (2015)	1.5σ
ETMC	41.6(3.8)	Alexandrou et al. (2019)	5.0σ
CRC 55	35(6)	Bali et al. (2016)	4.0σ

- We seem to have a problem - do we? [we = RS folks]
- Robust prediction of the RS analysis:

$$\sigma_{\pi N} = (59.1 \pm 3.1) \text{ MeV} + \sum_{I_s} c_{I_s} (a^{I_s} - \bar{a}^{I_s}) \quad (I_s = \frac{1}{2}, \frac{3}{2})$$

$$c_{1/2} = 0.242 \text{ MeV} \times 10^3 M_\pi, \quad c_{3/2} = 0.874 \text{ MeV} \times 10^3 M_\pi$$

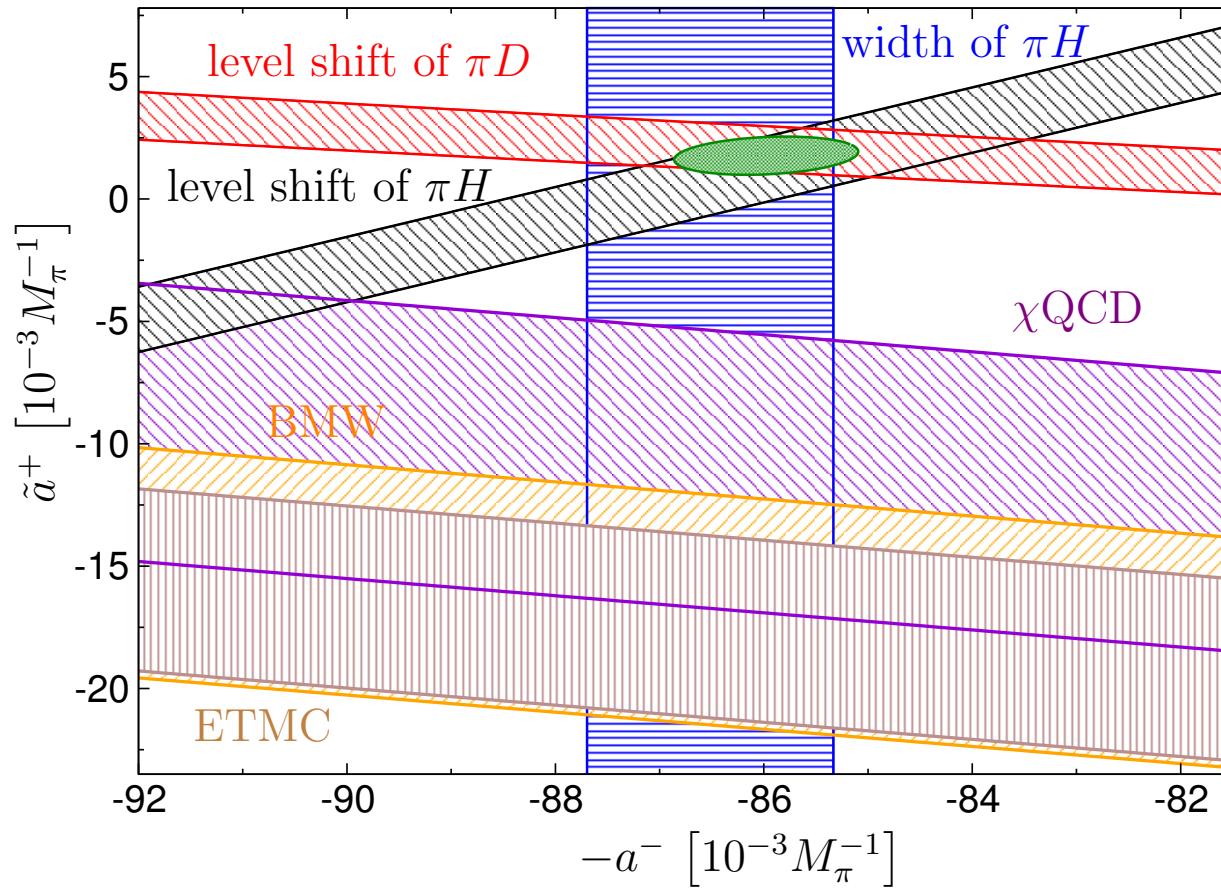
$$\bar{a}^{1/2} = (169.8 \pm 2.0) \times 10^{-3} M_\pi^{-1}, \quad \bar{a}^{3/2} = (-86.3 \pm 1.8) \times 10^{-3} M_\pi^{-1}$$

→ expansion around the reference values from πH and πD

RESULTS for the SIGMA-TERM

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- Apply this linear expansion to the lattice data:



⇒ Lattice results clearly at odds with empirical information on the scattering lengths!

⇒ scattering lengths to [5 ... 10]% → $\delta\sigma_{\pi N} = [5.0 \dots 8.5]$ MeV

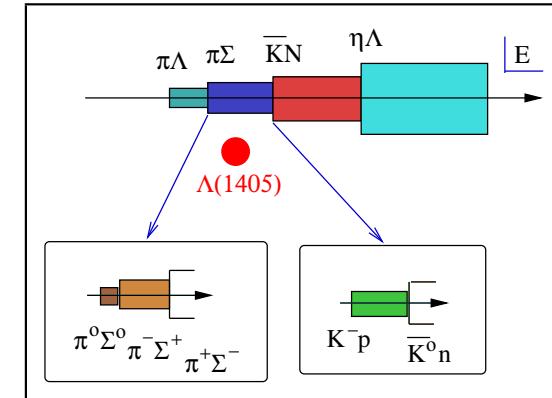
Example 4

ANTIKAON-NUCLEON SCATTERING

- $K^- p \rightarrow K^- p$: fundamental scattering process with strange quarks
- coupled channel dynamics
- dynamic generation of the $\Lambda(1405)$

Dalitz, Tuan 1960

- major playground of **unitarized CHPT**
- chiral Lagrangian + unitarization leads to generation of certain resonances like e.g. the $\Lambda(1405)$, $S_{11}(1535)$, $S_{11}(1650)$, ...



Kaiser, Siegel, Weise, Oset, Ramos, Oller, UGM, Lutz, ...

- two-pole scenario of the $\Lambda(1405)$ emerges Oller, UGM 2001
- loopholes: convergence a posteriori, crossing symmetry, on-shell approximation, unphysical poles, ...

A PUZZLE RESOLVED

- DEAR data inconsistent with scattering data

UGM, Raha, Rusetsky 2004

⇒ waste number of papers . . .

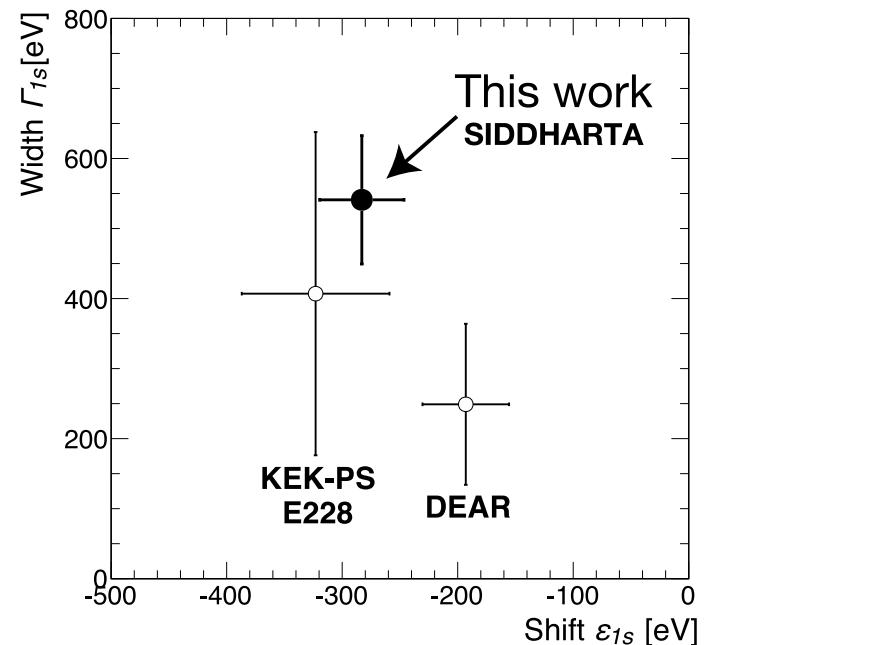
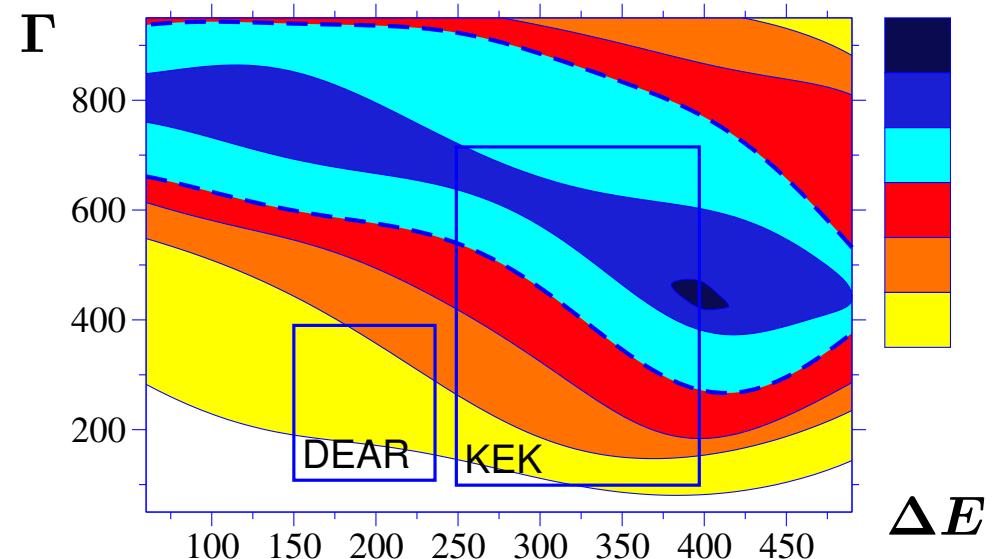
- SIDDHARTA to the rescue

Bazzi et al. 2011

⇒ more precise, consistent with KpX

$$\epsilon_{1s} = -283 \pm 36(\text{stat}) \pm 6(\text{syst}) \text{ eV}$$

$$\Gamma_{1s} = 541 \pm 89(\text{stat}) \pm 22(\text{syst}) \text{ eV}$$

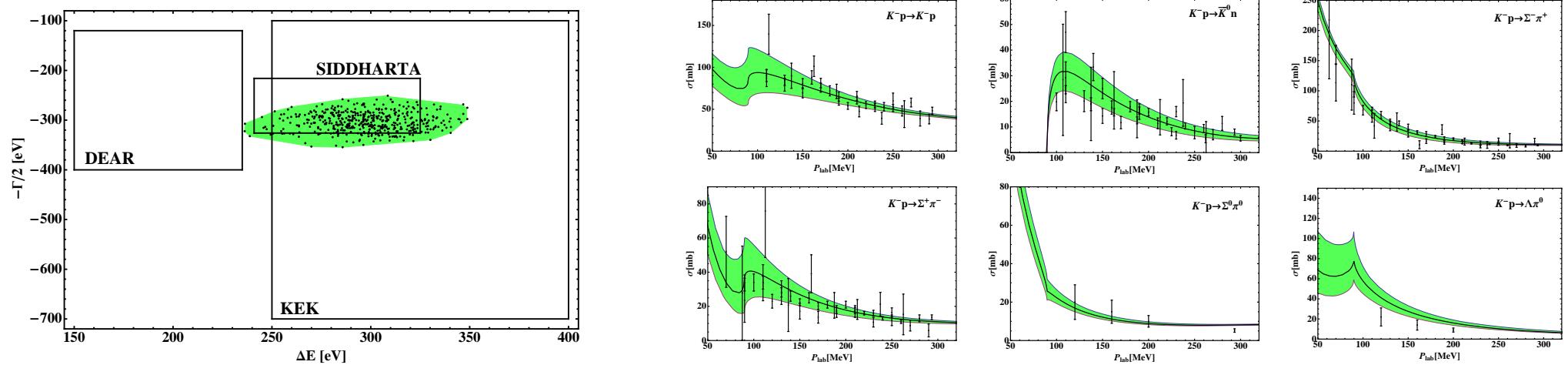


CONSISTENT ANALYSIS

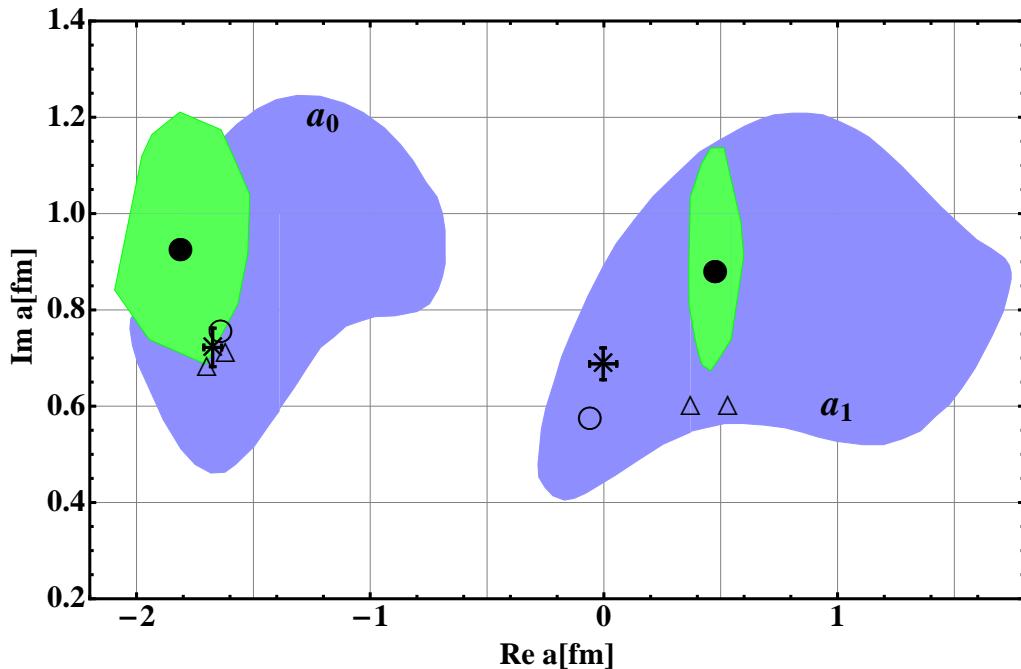
- kaonic hydrogen + scattering data can now be analyzed consistently
- use the chiral Lagrangian at NLO, three groups (different schemes)

Ikeda, Hyodo, Weise 2011; UGM, Mai 2012, Guo, Oller 2012

- 14 LECs and 3 subtraction constants to fit
- ⇒ simultaneous description of the SIDDHARTA and the scattering data



KAON-NUCLEON SCATTERING LENGTHS



$$a_0 = -1.81_{-0.28}^{+0.30} + i 0.92_{-0.23}^{+0.29} \text{ fm}$$

$$a_1 = +0.48_{-0.11}^{+0.12} + i 0.87_{-0.20}^{+0.26} \text{ fm}$$

$$a_{K^- p} = -0.68_{-0.17}^{+0.18} + i 0.90_{-0.13}^{+0.13} \text{ fm}$$

SIDDHARTA only:

$$a_{K^- p} = -0.65_{-0.15}^{+0.15} + i 0.81_{-0.18}^{+0.18} \text{ fm}$$

- clear improvement compared to scattering data only

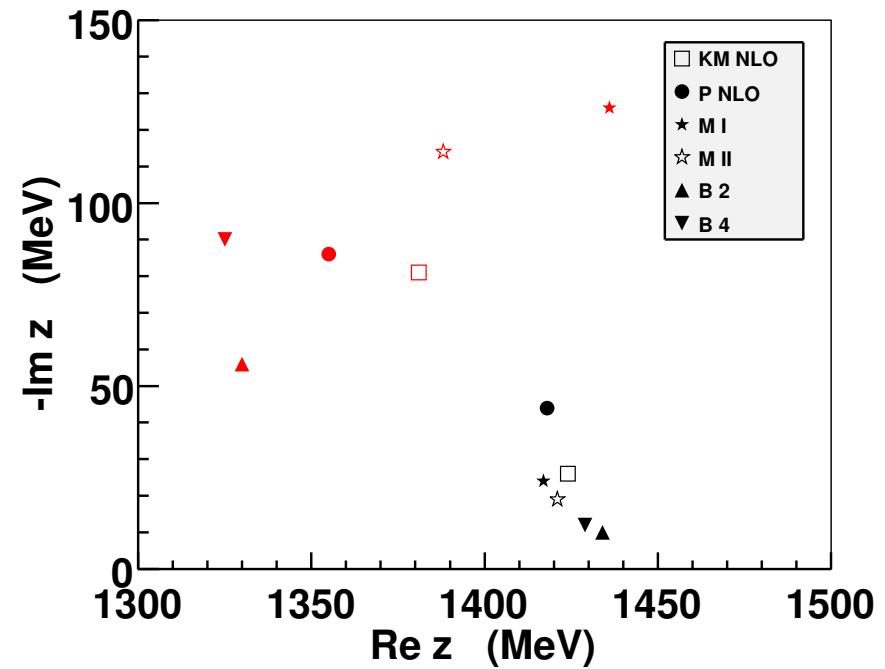
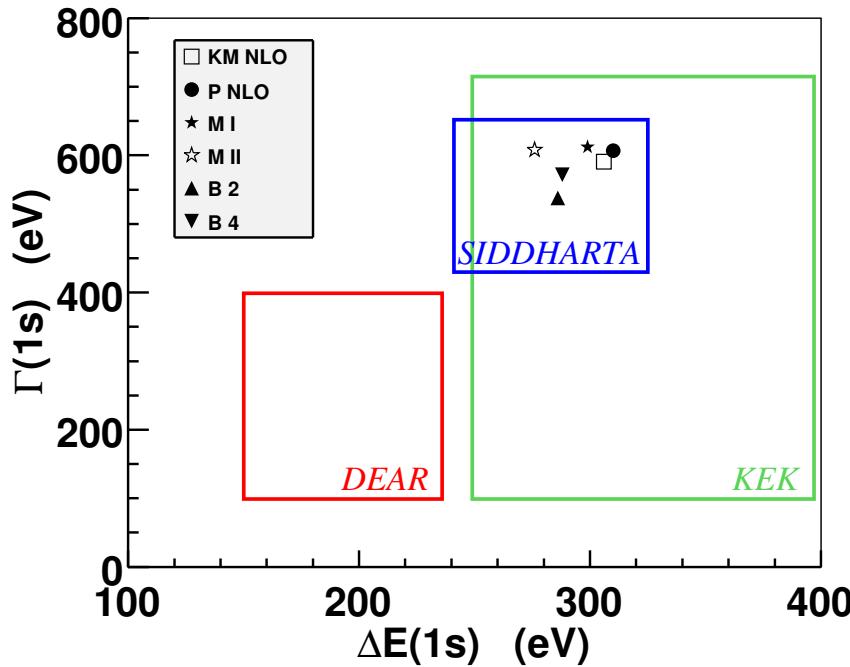
⇒ fundamental parameters to within about 15% accuracy

COMPARISON of VAROIJUS APPROACHES

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- systematic study of the two-pole scenario of the $\Lambda(1405)$ using various approaches

Cieply, Mai, UGM, Smejkal, Nucl. Phys. A954 (2016) 17



- higher/lower pole well/not well determined
- some solutions also include precise data on $\gamma p \rightarrow \Sigma K \pi$ from JLab
- need more data on the $\pi \Sigma$ mass distribution from various reactions

INTERMEDIATE SUMMARY

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- Hadron-hadron scattering: important role of chiral symmetry (CHPT)
 - combine with dispersion relations, unitarization, lattice
- Pion-pion scattering
 - a fine test of the Standard Model
- Pion-kaon scattering
 - tension between lattice and Roy-Steiner solution
- Pion-nucleon scattering
 - superb accuracy from EFTs for pionic hydrogen/deuterium
 - $\sigma_{\pi N}$: tension between lattice and Roy-Steiner
 - lattice determination of scattering lengths required!
- Antikaon-nucleon scattering
 - consistent determination of the scattering lengths possible

SPARES

Isospin symmetry and isospin violation

ISOSPIN SYMMETRY

- For $m_u = m_d$, QCD is invariant under $SU(2)$ *isospin* transformations:

$$q \rightarrow q' = Uq, \quad q = \begin{pmatrix} u \\ d \end{pmatrix}, \quad U = \begin{pmatrix} a^* & b^* \\ -b & a \end{pmatrix}, \quad |a|^2 + |b|^2 = 1$$

– NB: Charge symmetry = 180° rotation in iso-space

- Rewriting of the QCD quark mass term:

$$\mathcal{H}_{\text{QCD}}^{\text{SB}} = m_u \bar{u}u + m_d \bar{d}d = \underbrace{\frac{1}{2}(m_u + m_d)(\bar{u}u + \bar{d}d)}_{I=0} + \underbrace{\frac{1}{2}(m_u - m_d)(\bar{u}u - \bar{d}d)}_{I=1}$$

Strong isospin violation (IV)

- Competing effect: QED → can be treated in CHPT
- Standard situation: small IV on top of large iso-symmetric background,
requires precise machinery to perform accurate calculations

Gasser, Urech, Steininger, Fettes, M., Knecht, Kubis, . . .

ISOSPIN VIOLATION - PIONS & KAONS

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Weinberg, Gasser, Leutwyler, Urech, Neufeld, Knecht, M., Müller, Steininger, . . .

- SU(2) effective Lagrangian w/ virtual photons to leading order:

$Q = \text{quark charge matrix}$

$$\mathcal{L}^{(2)} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{\lambda}{2}(\partial_\mu A^\mu)^2 + \frac{F_\pi^2}{4}\langle D_\mu UD^\mu U^\dagger + \chi U^\dagger + \chi^\dagger U \rangle + C\langle QUQU^\dagger \rangle$$

- ★ pion mass difference of em origin, $M_{\pi^+}^2 - M_{\pi^0}^2 = 2Ce^2/F_\pi^2$
- ★ no strong isospin breaking at LO, absence of D-symbol
- ★ strong and em corrections at NLO worked out

M., Müller, Steininger, Phys. Lett. B 406 (1997) 154

- Three-flavor chiral perturbation theory:

- ★ for $m_u = m_d \Rightarrow M_{K^+}^2 - M_{K^0}^2 = M_{\pi^+}^2 - M_{\pi^0}^2 = \frac{2Ce^2}{F_\pi^2}$ – Dashen's theorem
- ★ for $m_u \neq m_d \Rightarrow$ leading order strong kaon mass difference:

$$(M_{K^0}^2 - M_{K^+}^2)^{\text{strong}} = (m_u - m_d)B_0 + \mathcal{O}(m_q^2)$$

$$B_0 = |\langle 0|\bar{q}q|0\rangle|/F_\pi^2$$

- ★ strong and em corrections at NLO incl. leptons worked out

Urech, Nucl. Phys. B 433 (1995) 234
Knecht, Neufeld, Rupertsberger, Talavera, Eur. Phys. J. C 12 (2000) 469

ISOSPIN VIOLATION - NUCLEONS

Weinberg, . . . , Fettes, M., Müller, Steininger

- Effective Lagrangian for isospin violation (to leading order):

$$\mathcal{L}_{\pi N}^{(2,IV)} = \bar{N} \left\{ \underbrace{\textcolor{red}{c}_5 (\chi_+ - \frac{1}{2} \langle \chi_+ \rangle)}_{\sim m_u - m_d} + \underbrace{\textcolor{red}{f}_1 \langle \hat{Q}_+^2 - Q_-^2 \rangle}_{\sim q_u - q_d} + \underbrace{\textcolor{red}{f}_2 \hat{Q}_+ \langle Q_+ \rangle}_{\sim q_u - q_d} \right\} N + \mathcal{O}(q^3)$$

- Three LECs parameterize the leading strong ($\textcolor{red}{c}_5$) & em ($\textcolor{red}{f}_1, \textcolor{red}{f}_2$) IV effects
- These LECs link various observables/processes:

$$m_n - m_p = 4 \textcolor{red}{c}_5 B_0 (m_u - m_d) + 2 e^2 \textcolor{red}{f}_2 F_\pi^2 + \dots \quad \text{fairly well known}$$

Gasser, Leutwyler, . . .

$$a(\pi^0 p) - a(\pi^0 n) = \text{const } (-4 \textcolor{red}{c}_5 B_0 (m_u - m_d)) + \dots$$

extremely hard to measure

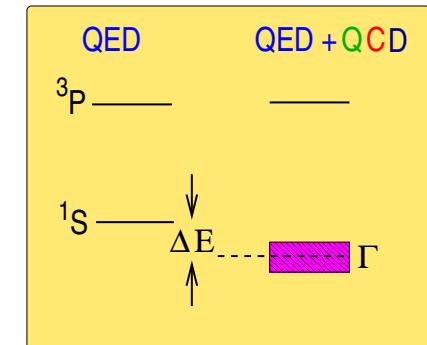
Weinberg, M., Steininger

- IV in πN scattering analyzed in CHPT \rightarrow intriguing results
- Fettes & M., Nucl. Phys. A 693 (2001) 693; Hoferichter, Kubis, M., Phys. Lett. B 678 (2009) 65

- also access to IV in $np \rightarrow d\pi^0$ and $dd \rightarrow \alpha\pi^0$ (spin-isospin filter)
 \rightarrow need to develop a high-precision EFT for few-nucleon systems

BOUND STATE EFT: HADRONIC ATOMS

- Hadronic atoms are bound by the static Coulomb force (QED)
- Many species: $\pi^+\pi^-$, $\pi^\pm K^\mp$, $\pi^- p$, $\boxed{\pi^- d, K^- p, K^- d, \dots}$
- Bohr radii \gg typical scale of strong interactions
- Small average momenta \Rightarrow non-relativistic approach
- Observable effects of QCD
 - ★ energy shift ΔE from the Coulomb value
 - ★ decay width Γ



\Rightarrow access to scattering at zero energy! = S-wave scattering lengths

- These scattering lengths are very sensitive to the chiral & isospin symmetry breaking in QCD
Weinberg, Gasser, Leutwyler, . . .
- can be analyzed systematically & consistently in the framework of low-energy Effective Field Theory (including virtual photons)

EFFECTIVE FIELD THEORY for HADRONIC ATOMS

- Three step procedure utilizing *nested* effective field theories

- Step 1:

Construct non-relativistic effective Lagrangian (complex couplings)
& solve Coulomb problem exactly, corrections in perturbation theory

- Step 2: *matching*

relate complex couplings of \mathcal{L}_{eff} to QCD parameters, e.g. scattering lengths
& express complex energy shift in terms of QCD parameters

- Step 3:

extract scattering length(s) from the measured complex energy shift

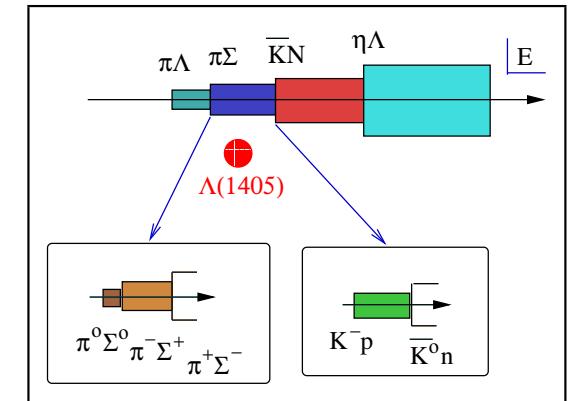
⇒ most precise way of determining hadron-hadron scattering lengths

→ study kaonic hydrogen as one example

FEATURES OF KAONIC HYDROGEN

- Strong ($K^- p \rightarrow \pi^0 \Lambda, \pi^\pm \Sigma^\mp, \dots$) and weaker electromagnetic ($K^- p \rightarrow \gamma \Lambda, \gamma \Sigma^0, \dots$) decays
→ complicated (interesting) analytical structure
- Average momentum $\langle p^2 \rangle = \alpha \mu \simeq 2 \text{ MeV}$
→ highly non-relativistic
- Bohr radius $r_B = 1/(\alpha \mu) \simeq 100 \text{ fm}$
- Binding energy $E_{1s} = \frac{1}{2} \alpha^2 \mu + \dots \simeq 8 \text{ keV}$
- Width $\Gamma_{1s} \simeq 250 \text{ eV} \ll E_{1s}$
- $\mathcal{M} = m_n + M_{K^0} - m_p + M_{K^-} > 0 \Rightarrow$ unitary cusp
- Isospin breaking, small parameter $\delta \sim \alpha \sim (m_d - m_u)$

$$\Delta E = \underbrace{\delta^3}_{\text{LO}} + \underbrace{\delta^4}_{\text{NLO}} + \dots$$



NON-RELATIVISTIC EFFECTIVE LAGRANGIAN

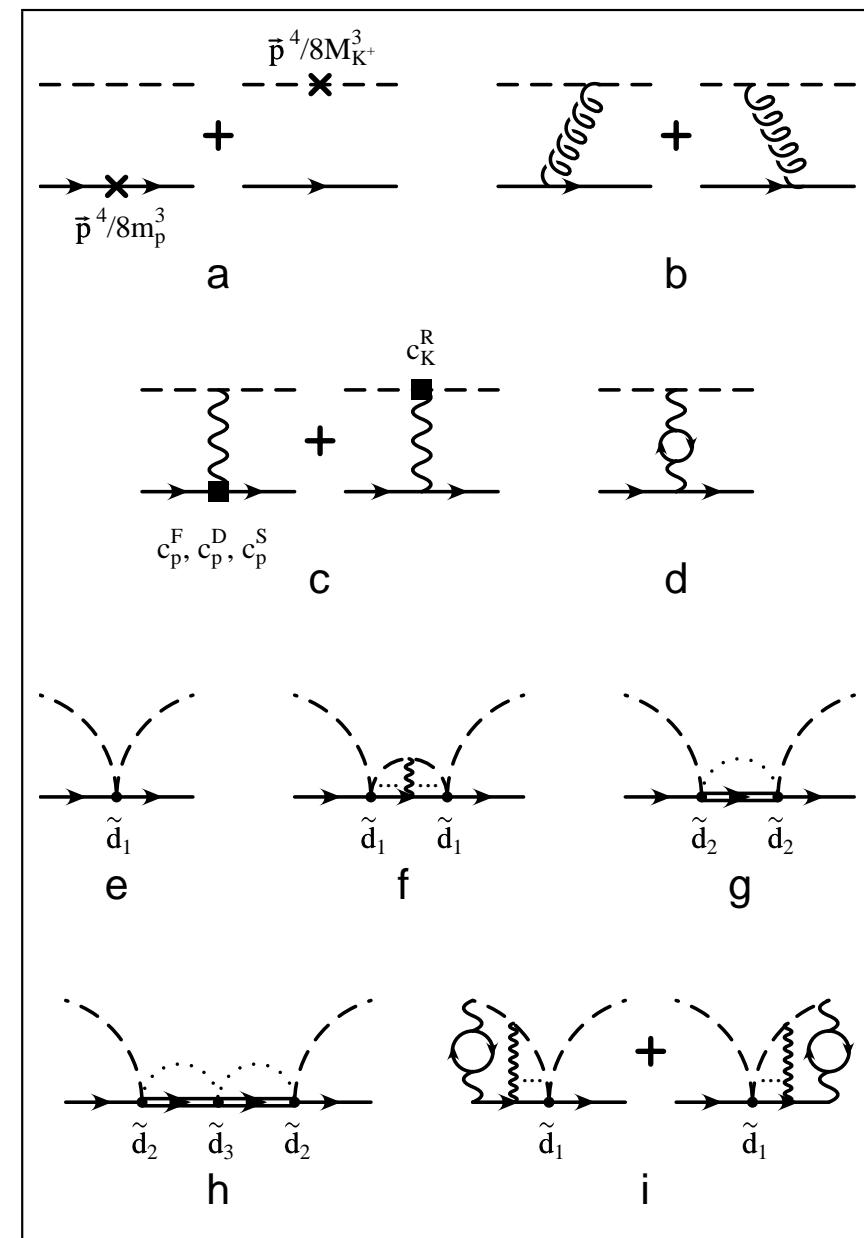
$$\begin{aligned}
\mathcal{L} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \psi^\dagger \left\{ i\mathcal{D}_t - m_p + \frac{\mathcal{D}^2}{2m_p} + \frac{\mathcal{D}^4}{8m_p^3} + \dots \right. \\
& - \mathbf{c}_p^F \frac{e\sigma \mathbf{B}}{2m_p} - \mathbf{c}_p^D \frac{e(\mathcal{D}\mathbf{E} - \mathbf{E}\mathcal{D})}{8m_p^2} - \mathbf{c}_p^S \frac{ie\sigma(\mathcal{D} \times \mathbf{E} - \mathbf{E} \times \mathcal{D})}{8m_p^2} + \dots \left. \right\} \psi \quad \text{proton} \\
& + \chi^\dagger \left\{ i\partial_t - m_n + \frac{\nabla^2}{2m_n} + \frac{\nabla^4}{8m_n^3} + \dots \right\} \chi \quad \text{neutron} \\
& + \sum_{\pm} (K^\pm)^\dagger \left\{ iD_t - M_{K^\pm} + \frac{\mathbf{D}^2}{2M_{K^\pm}} + \frac{\mathbf{D}^4}{8M_{K^\pm}^3} + \dots \mp \mathbf{c}_K^R \frac{e(\mathbf{DE} - \mathbf{ED})}{6M_{K^\pm}^2} + \dots \right\} K^\pm \\
& + (\bar{K}^0)^\dagger \left\{ i\partial_t - M_{\bar{K}^0} + \frac{\nabla^2}{2M_{\bar{K}^0}} + \frac{\nabla^4}{8M_{\bar{K}^0}^3} + \dots \right\} \bar{K}^0 \quad \text{kaons} \\
& + \tilde{\mathbf{d}}_1 \psi^\dagger \psi (K^-)^\dagger K^- + \tilde{\mathbf{d}}_2 (\psi^\dagger \chi (K^-)^\dagger \bar{K}^0 + h.c.) + \tilde{\mathbf{d}}_3 \chi^\dagger \chi (\bar{K}^0)^\dagger \bar{K}^0 + \dots .
\end{aligned}$$

→ calculate electromagnetic levels and the strong shift (note: \tilde{d}_i complex!)

ENERGY SHIFT in KAONIC HYDROGEN

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- a) recoil corrections
- b) transverse photon exchange
- c) finite size corrections
- d) vacuum polarisation
- e) leading $K^- p$ interaction
- f) $K^- p$ interaction w/ Coulomb ladders
- g) leading $\bar{K}^0 n$ intermediate state
- h) iterated $\bar{K}^0 n$ intermediate state
- i) Coulomb ladders in the $K^- p$ interaction

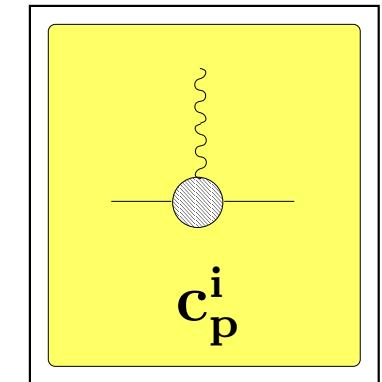


MATCHING CONDITIONS

- Electromagnetic form factors

$$c_p^F = 1 + \mu_p, c_p^D = 1 + 2\mu_p + \frac{4}{3} m_p^2 \langle r_p^2 \rangle, c_p^S = 1 + 2\mu_p$$

$$c_K^R = M_{K^+}^2 \langle r_K^2 \rangle$$



- Kaon–nucleon scattering amplitude

matching allows to express the complex strong energy shift in terms of the threshold amplitude (kaon-nucleon scattering lengths a_0 and a_1)

$$\Delta E_n^s - \frac{i}{2} \Gamma_n = -\frac{\alpha^3 \mu_c^3}{2\pi M_{K^+} n^3} \mathcal{T}_{KN} \left\{ 1 - \frac{\alpha \mu_c^2}{4\pi M_{K^+}} \mathcal{T}_{KN} (s_n(\alpha) + 2\pi i) + \delta_n^{\text{vac}} \right\}$$

with $\mathcal{T}_{KN} = 4\pi \left(1 + \frac{M_{K^+}}{m_p} \right) \frac{1}{2} (a_0 + a_1) + O(\sqrt{\delta})$

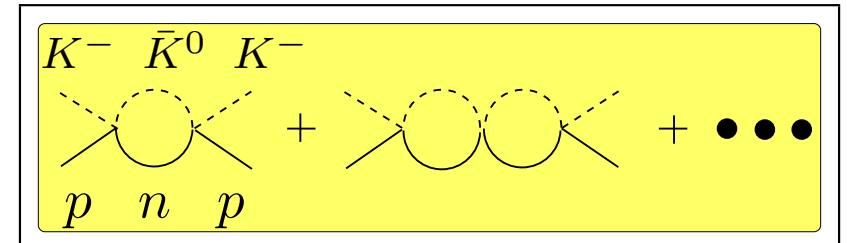
$$s_n(\alpha) = 2(\psi(n) - \psi(1) - \frac{1}{n} + \ln \alpha - \ln n)$$

⇒ correct, but not sufficiently accurate

UNITARY CUSP

- Corrections at $\mathcal{O}(\sqrt{\delta})$ can be expressed entirely in terms of a_0 and a_1

→ resum the fundamental bubble
to account for the unitary cusp



$$\mathcal{T}_{KN}^{(0)} = 4\pi \left(1 + \frac{M_{K^+}}{m_p}\right) \frac{\frac{1}{2}(a_0+a_1)+q_0 a_0 a_1}{1+\frac{q_0}{2}(a_0+a_1)}, \quad q_0 = \sqrt{2\mu_0 \Delta \mathcal{M}}$$

- ★ agrees with R.H. Dalitz and S.F.Tuan, Ann. Phys. 3 (1960) 307
- ★ all corrections at $\mathcal{O}(\sqrt{\delta})$ included

$$\mathcal{T}_{KN} = \mathcal{T}_{KN}^{(0)} + \frac{i\alpha\mu_c^2}{2M_{K^+}} (\mathcal{T}_{KN}^{(0)})^2 + \underbrace{\delta \mathcal{T}_{KN}}_{\mathcal{O}(\delta)} + o(\delta)$$

⇒ These further $\mathcal{O}(\delta)$ corrections are expected to be small

FINAL FORMULA to ANALYZE the DATA

$$\Delta E_n^s - \frac{i}{2} \Gamma_n = -\frac{\alpha^3 \mu_c^3}{2\pi M_{K^+} n^3} (\mathcal{T}_{KN}^{(0)} + \delta \mathcal{T}_{KN}) \left\{ 1 - \frac{\alpha \mu_c^2 s_n(\alpha)}{4\pi M_{K^+}} \mathcal{T}_{KN}^{(0)} + \delta_n^{\text{vac}} \right\}$$

- $\mathcal{O}(\sqrt{\delta})$ and $\mathcal{O}(\delta \ln \delta)$ terms:
 - ★ Parameter-free, expressed in terms of a_0 and a_1
 - ★ Numerically by far dominant
- Estimate of $\delta \mathcal{T}_{KN}$ in CHPT
 - ★ $\delta \mathcal{T}_{KN}/\mathcal{T}_{KN} = (-0.5 \pm 0.4) \cdot 10^{-2}$ at $\mathcal{O}(p^2)$
 - ★ should be improved (loops, unitarization, influence of $\Lambda(1405)$, etc.)
- vacuum polarization calculation: $\delta_n^{\text{vac}} \simeq 1\%$
 - D. Eiras and J. Soto, Phys. Lett. **B 491** (2000) 101 [hep-ph/0005066]

