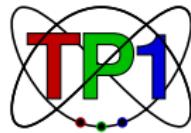


# Lecture 3

## Hot Topics: Hints to new Physics”?

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School on “Frontiers in QCD”

Tblisi, 23.09. - 25.09.2019

# Contents

- 1 Introduction
- 2 Anomalies
  - Landscape of Anomalies
  - $b \rightarrow s\ell\ell$  anomalies
  - Non-Leptoquark Anomalies
- 3 Conclusion

# Introduction

Flavour has attracted renewed attention:

- Various measurements showing “anomalies”
- ... unlike at the high energy frontier
- A large set of these anomalies allow for a consistent interpretation (Leptoquarks,  $Z'$ )
- **However, be cautious!!**

Where do we stand?

# Landscape of Anomalies

Seven “sets” of anomalies:

- Branching ratios of  $b \rightarrow s\mu\mu$  processes
- Angular distributions in  $b \rightarrow s\mu\mu$  processes
- Ratios of  $b \rightarrow s ee$  versus  $b \rightarrow s\mu\mu$  (LUV)
- Ratios of exclusive  $b \rightarrow c\tau\bar{\nu}$  versus  $b \rightarrow c\ell\bar{\nu}$  (LUV)
- CP Violation:  $\Delta a_{CP}$  in Charm and Kaon  $\epsilon'/\epsilon$
- Exclusive versus inclusive  $V_{xb}$
- Anomalous magnetic moment of the muon

Leptoquark Anomalies

Non-Leptoquark Anomalies

# A closer look at $b \rightarrow s\ell\ell$

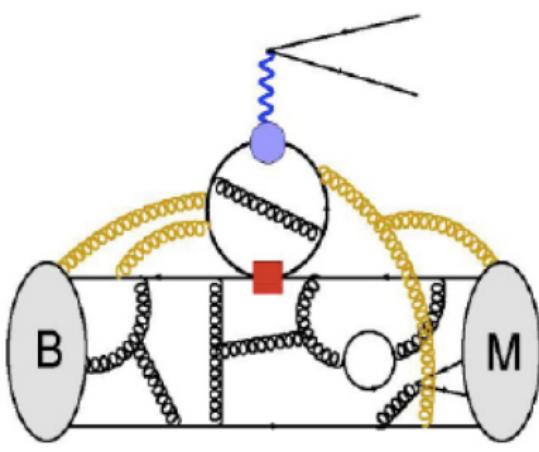
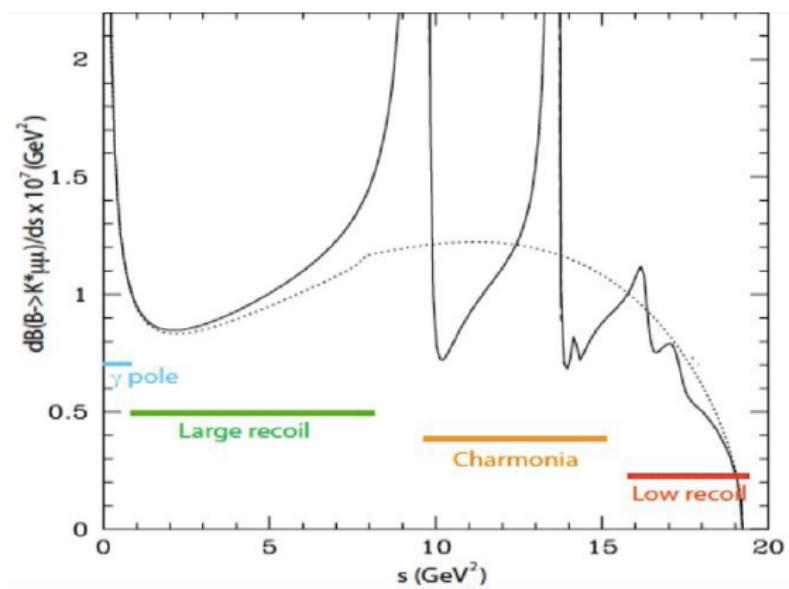
Compute the matrix element of  $H_{\text{eff}}$

$$\langle B | H_{\text{eff}} | K^{(*)} \ell \ell \rangle = \frac{4G_F}{\sqrt{2}} \lambda_{\text{CKM}} \sum_k C_k(\Lambda/\mu) \langle B | \mathcal{O}_k(\mu) | K^{(*)} \ell \ell \rangle$$

Relevant matrix elements

- $\langle B | \mathcal{O}_{7,8}(\mu) | K^{(*)} \ell \ell \rangle$ : Tensor Form Factor
- $\langle B | \mathcal{O}_{9,10}(\mu) | K^{(*)} \ell \ell \rangle$ : Vector/Axial Vector Form Factor
- $\langle B | \mathcal{O}_{1,2}(\mu) | K^{(*)} \ell \ell \rangle$ : Non-local matrix elements

$$T_\mu(q) = \int d^4x e^{iqx} T[J_\mu^{\text{em}}(x)(C_1 O_1(0) + C_2 O_2(0))]$$



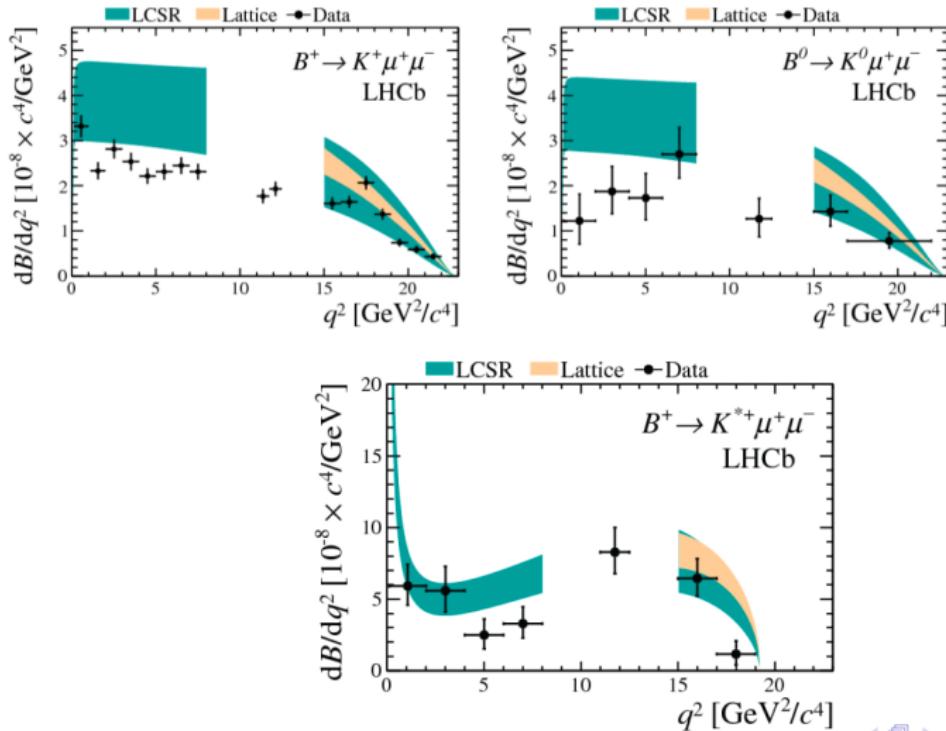
## The hadronic Caveat:

- Form factor matrix elements: Lattice QCD
- Non-local matrix elements: QCD sum rule estimates  
hard to make a statement about the precision

## Remarks

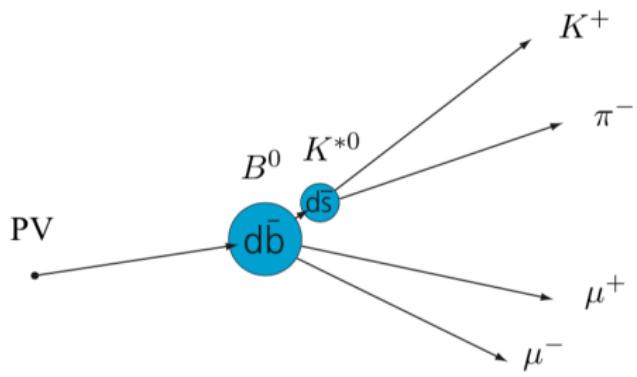
- Cut out the resonance regions,  
remainig effects from the tails?
- Below charm threshold: Reliable QCD calculations  
based on OPE
- Above the  $J/\psi$  and below the  $D\bar{D}$  threshold:  
QCD sum rules
- Above the  $D\bar{D}$  threshold: very difficult

# Branching ratios of $b \rightarrow s\mu\mu$ processes

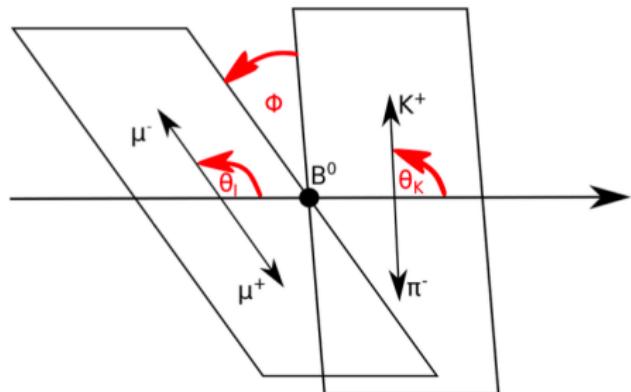


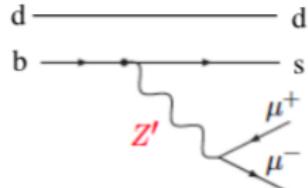
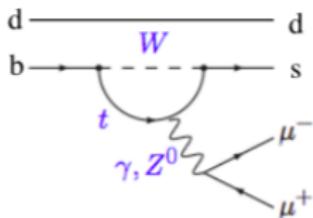
# Angular Distributions in $b \rightarrow s\mu\mu$ processes

## Decay Topology



## Decay Angles





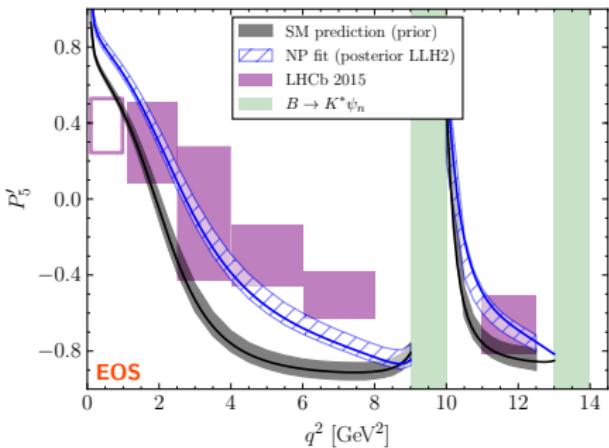
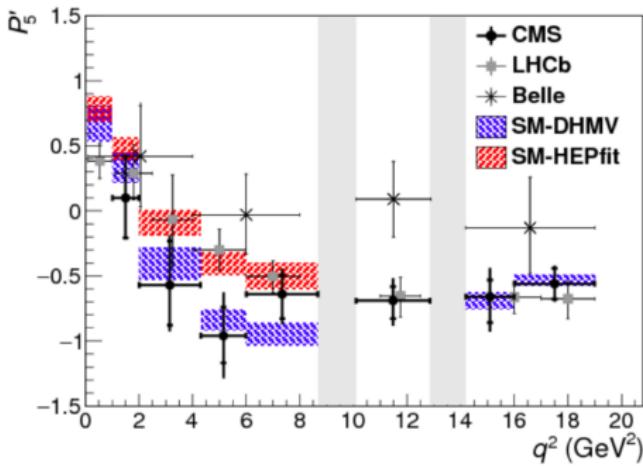
$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \left. \frac{d^3(\Gamma + \bar{\Gamma})}{d\vec{\Omega}} \right|_P = \frac{9}{32\pi} \left[ \frac{3}{4} (1 - [F_L] \sin^2 \theta_K + [F_L] \cos^2 \theta_K + \right. \\ + \frac{1}{4} (1 - [F_L] \sin^2 \theta_K \cos 2\theta_l - [F_L] \cos^2 \theta_K \cos 2\theta_l + S_3 \sin^2 \theta_K \sin^2 \theta_l \cos 2\phi \right. \\ \left. + S_4 \sin 2\theta_K \sin 2\theta_l \cos \phi + [S_5] \sin 2\theta_K \sin \theta_l \cos \phi \right. \\ \left. + \frac{4}{3} [A_{FB}] \sin^2 \theta_K \cos \theta_l + S_7 \sin 2\theta_K \sin \theta_l \sin \phi \right. \\ \left. + S_8 \sin 2\theta_K \sin 2\theta_l \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_l \sin 2\phi \right]$$

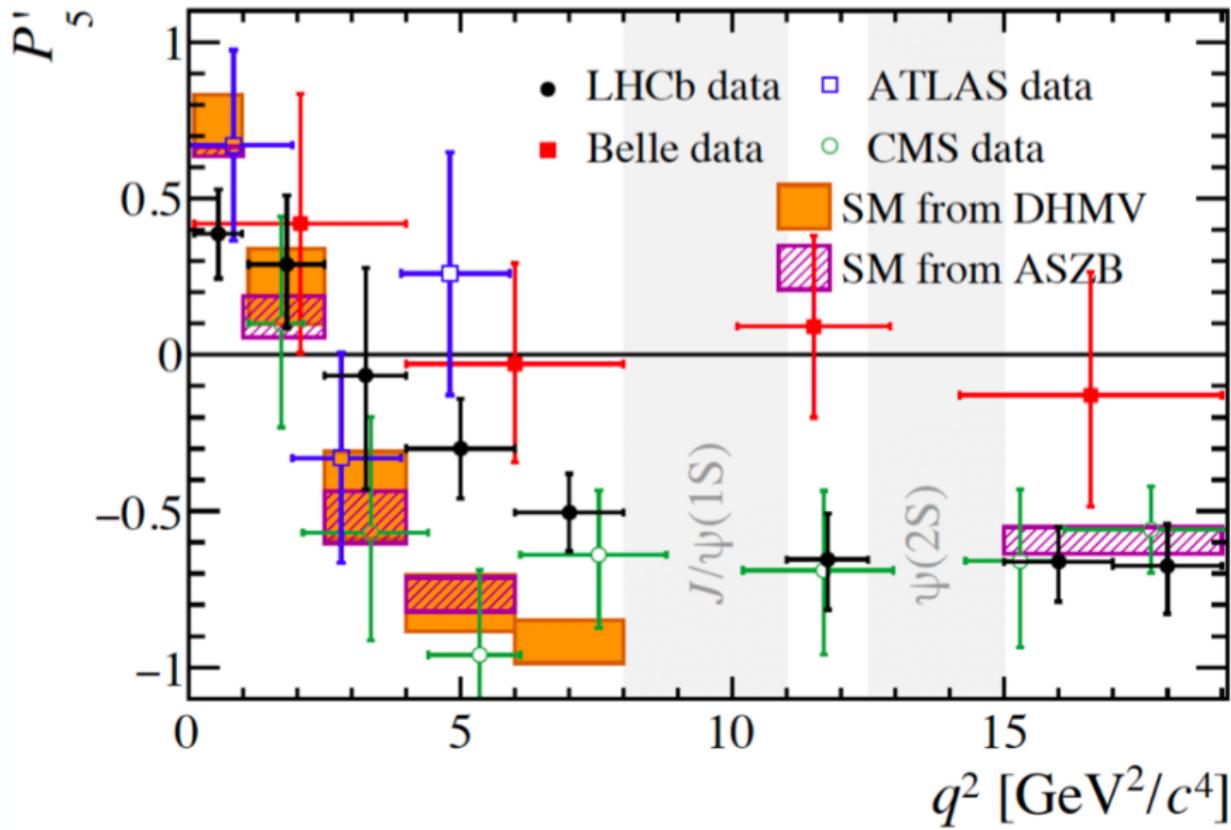
fraction of longitudinal polarisation of the  $K^*$  →  $[F_L]$

forward-backward asymmetry of the dilepton system →  $[A_{FB}]$ ,  $[S_5]$

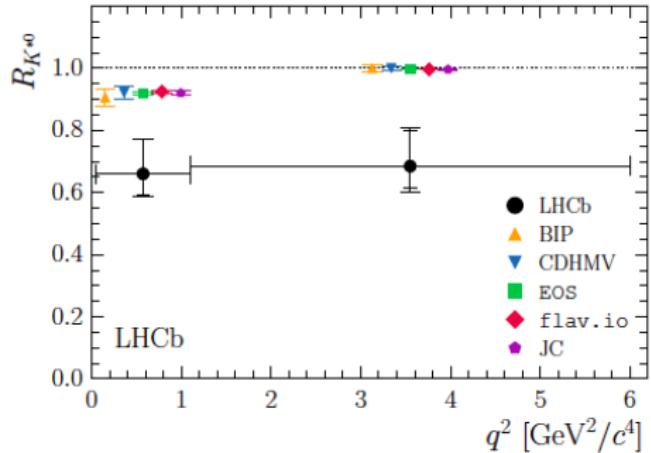
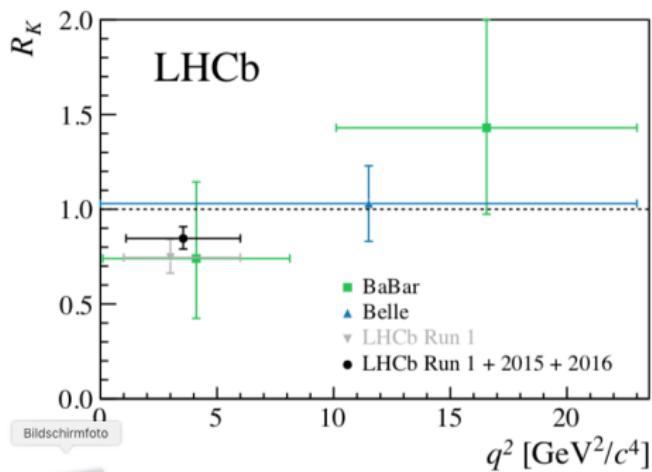
# Angular Distributions in $b \rightarrow s\mu\mu$ processes

$$P'_5 = S_5 / \sqrt{F_L(1 - F_L)}$$





# Ratios of $b \rightarrow s e^+ e^-$ and $b \rightarrow s \mu^+ \mu^-$ rates



Bildschirmfoto

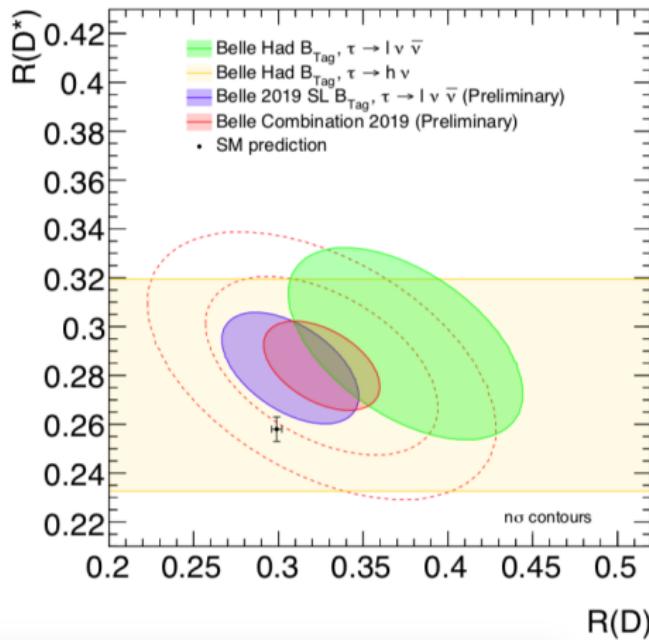


# Anomalies in charged currents

- Precise prediction for exclusive decays
- Use the measurements for the light leptons
- Use HQE to predict the form factor  $f_0$  which appears only in  $B \rightarrow D^{(*)}\tau\bar{\nu}$
- Comparison to inclusive rates calculated in HQE

$$R(D) = \frac{\Gamma(B \rightarrow D\tau\bar{\nu})}{\Gamma(B \rightarrow D\ell\bar{\nu})} \quad R(D^*) = \frac{\Gamma(B \rightarrow D^*\tau\bar{\nu})}{\Gamma(B \rightarrow D^*\ell\bar{\nu})}$$

# Ratios of $b \rightarrow c\tau\bar{\nu}$ and $b \rightarrow c\ell\bar{\nu}$ rates



# Effective Field Theory Analysis

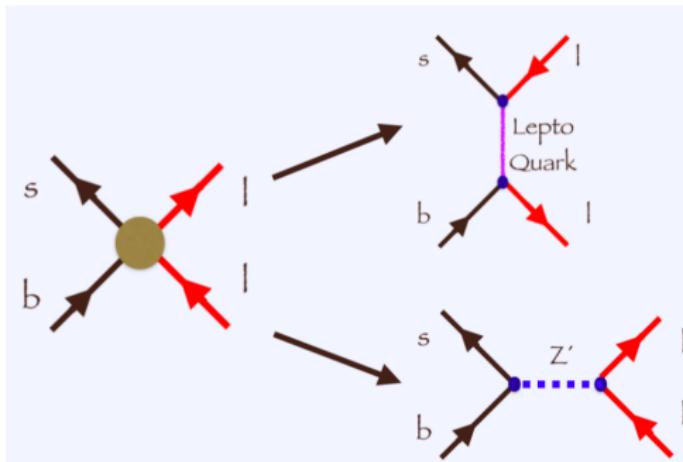
Effective Hamiltonian at the bottom scale:

$$H_{\text{eff}} = \frac{G_F}{\sqrt{2}} \lambda_{\text{CKM}} \sum_i C_i O_i + \frac{1}{\Lambda_{\text{NP}}^2} \sum_i C_i^{\text{NP}} O_i^{\text{NP}}$$

All the current anomalies can be incorporated by a shift in the coefficients  $C_{9(\prime)}$  and  $C_{10(\prime)}$  for the Muon channel

$$\begin{aligned} \mathcal{O}_{9\ell} &= \frac{e^2}{16\pi^2} (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \ell), & \mathcal{O}_{10\ell} &= \frac{e^2}{16\pi^2} (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \gamma_5 \ell), \\ \mathcal{O}_{9\ell'} &= \frac{e^2}{16\pi^2} (\bar{s}\gamma_\mu P_R b)(\bar{\ell}\gamma^\mu \ell), & \mathcal{O}_{10\ell'} &= \frac{e^2}{16\pi^2} (\bar{s}\gamma_\mu P_R b)(\bar{\ell}\gamma^\mu \gamma_5 \ell), \end{aligned}$$

# Interpretation in simplified models



- Introduce a  $Z'$
- Introduce a Leptoquark

→ Leptoquark seems to be more promising!

## Conclusions

- *Semi-leptonic  $B$ -decay data still displays a clear preference for new effects in 4-f semi-leptonic operators w/ LH quarks*
- *The solution with muonic  $C_g = -C_{10}$  now favoured over pure  $C_g$   
Welcome news from the standpoint of UV completions*
- *Even better description of data obtained by allowing for additional  $\underline{C_g^{univ.}}$*
- *Interestingly, such effect is RG-generated from 4-f operators above the EW scale, in particular semi-tauonic ones, able to explain  $b \rightarrow c$  discrepancies*
- *Also interestingly, this whole picture finds a natural realization in the  $U_1$ -LQ model*

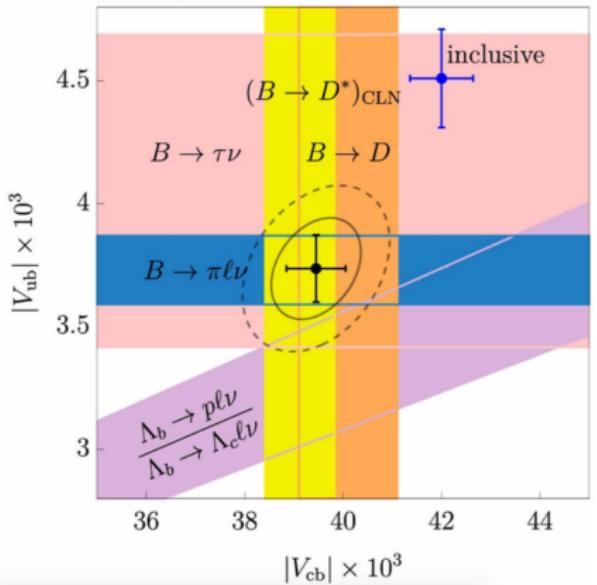


# Inclusive versus Exclusive $V_{xb}$

Charged Current Semileptonics are under scrutiny:

- Tensions between inclusive and exclusive determinations of  $V_{cb}$
- Tensions between inclusive and exclusive determinations of  $V_{ub}$

FLAG2018



Exclusive  $V_{cb}$  has been discussed recently

- CLN form factor parametrization is too simple
- More sophisticated BGL parametrization

$$|V_{cb}| = (42.5 \pm 0.3 \pm 0.7 \pm 0.6) \times 10^{-3} \quad \text{Exclusive } |V_{cb}| \text{ (BGL)}$$

$$|V_{cb}| = (38.4 \pm 0.2 \pm 0.6 \pm 0.6) \times 10^{-3} \quad \text{Exclusive } |V_{cb}| \text{ (CLN)} \quad (2018!!)$$

some people (including me) have already declared the  $V_{cb}$  problem to be solved, but ...

- There were problems with the above BELLE analysis
- Recent Babar paper based on BGL (arXiv:1903.10002 [hep-ex])

$$|V_{cb}| = (38.36 \pm 0.90) \times 10^{-3}$$

- New BELLE re-analysis, also based on BGL  
(arXiv: 1809.03290v3 [hep-ex])

$$|V_{cb}| = (38.3 \pm 0.3 \pm 0.7 \pm 0.6) \times 10^{-3}$$

- Uses  $\mathcal{F}(1) = 0.906 \pm 0.013$

The  $V_{cb}$  problem still exists!

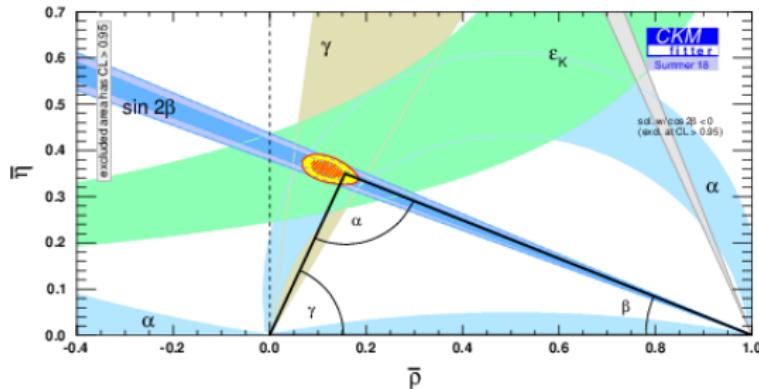
but it may be a problem in  $\mathcal{F}(1)$ .

# A comment on $V_{ub}$

- Inclusive  $V_{ub}$  is more difficult than Inclusive  $V_{cb}$
- Shape function-dependent methods GGOU and BLNP
- **Update for BLNP urgently needed**
- Most precise  $V_{ub}$  from  $B \rightarrow \pi \ell \bar{\nu}$
- Method is Lattice QCD  $\otimes$  Light Cone sum rules
- **Need for  $V_{ub}$  extraction from other exclusive channels**

# CP Violation

- ... in Bottom decays



- ... in Charm decays: New  $\Delta a_{CP}$  from LHCb
- ... in Kaon Decays:  $\epsilon'/\epsilon$

# CP Violation in Charm Decays

- Experimental results
  - note that while the new result does constitute an observation of CP-violation in the difference...

$$\Delta a_{CP}^{dir} = a_{CP}(K^- K^+) - a_{CP}(\pi^- \pi^+) = (-0.156 \pm 0.029)\% \quad \text{LHCb 2019}$$

- ... it is not yet so for the individual decay asymmetries

$$a_{CP}(K^- K^+) = (0.04 \pm 0.12 \text{ (stat)} \pm 0.10 \text{ (syst)})\%,$$

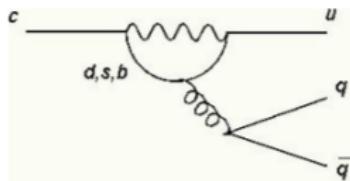
LHCb 2017

$$a_{CP}(\pi^- \pi^+) = (0.07 \pm 0.14 \text{ (stat)} \pm 0.11 \text{ (syst)})\%.$$

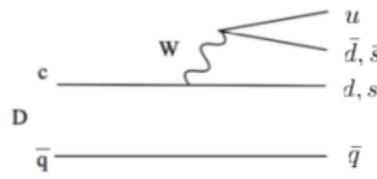
- Need confirmation from other experiments (Belle II)
- What does this result mean? New Physics? Standard Model?

# Generic Expectation

- ★ Generic expectation is that CP-violating observables in the SM are small  
 $\Delta c = 1$  amplitudes allow to reach third -generation quarks!



"Penguin" amplitude



"Tree" amplitude

- ★ The Unitarity Triangle relation for charm:

$$V_{ud} V_{cd}^* + V_{us} V_{cs}^* + V_{ub} V_{cb}^* = 0$$
$$\sim \lambda \quad \sim \lambda \quad \sim \lambda^5$$

With  $b$ -quark contribution neglected:  
only 2 generations contribute  
⇒ real  $2 \times 2$  Cabibbo matrix

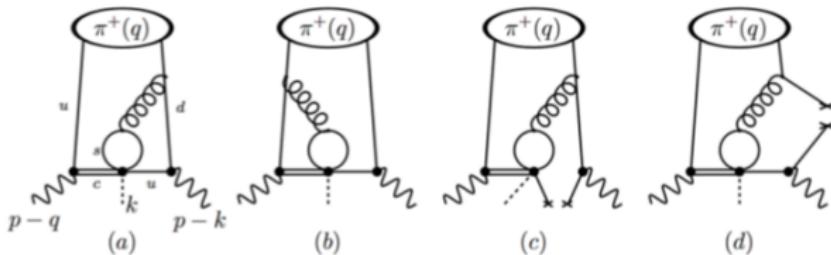
Any CP-violating signal in the SM will be small, at most  $O(V_{ub} V_{cb}^* / V_{us} V_{cs}^*) \sim 10^{-3}$   
Thus, O(1%) CP-violating signal can provide a "smoking gun" signature of New Physics

# QCD Sum Rule Calculation

Khodjamirian, Petrov (2017)

- Evaluate (leading) diagrams contributing to the correlation function
  - calculate OPE in terms of known LC DAs

Khodjamirian, AAP: PLB774 (2017) 235



- analytically continue from the space-like region of  $P^2 = (p-k-q)^2$  (with auxiliary 4-momentum  $k \neq 0$ ) to  $P^2 = m_D^2$ , relying on the local quark-hadron duality
- extract absolute value and the phase of matrix element  $\mathcal{P}_{\pi\pi}^s$
- vary parameters of the calculation to estimate uncertainties

# To take home ...

- The magnitude of hadronic MEs defining CPV are computed

$$r_\pi = \frac{|\mathcal{P}_{\pi\pi}^s|}{|\mathcal{A}_{\pi\pi}|} = 0.093 \pm 0.011, \quad r_K = \frac{|\mathcal{P}_{KK}^d|}{|\mathcal{A}_{KK}|} = 0.075 \pm 0.015$$

- The magnitude of direct CPV asymmetry in  $D \rightarrow \pi^+\pi^-$  and  $D \rightarrow K^+K^-$  can be predicted from the calculation of the relevant hadronic matrix elements from LCSR

$$\Delta a_{CP}^{dir} = 0.020 \pm 0.003\%$$

- No topological amplitude decomposition was used (note that OPE hierarchy sorts out the leading penguin-type diagrams)
- The strong phase difference is not yet reliably accessible: duality violations are not easily identifiable (e.g. broad scalar resonances influencing hadronic matrix elements)

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$\epsilon'/\epsilon$ 

(NLO)

Present Status of  $\epsilon'/\epsilon$  in the SMApril  
2019RBC-UKQCD  
(1505.07863)

$$(\epsilon'/\epsilon)_{\text{SM}} = (1.4 \pm 6.9) \cdot 10^{-4}$$

No isospin breaking  
correction (IB)AJB, Gorbahn, Jäger  
Jamin  
(1507.06345)

$$(\epsilon'/\epsilon)_{\text{SM}} = (1.9 \pm 4.5) \cdot 10^{-4}$$

Lattice results + IB

AJB + Gérard  
(1507.06326)

$$(\epsilon'/\epsilon)_{\text{SM}} < (6.0 \pm 2.4) \cdot 10^{-4}$$

Dual QCD bound

Kitahara, Nierste, Tremper  
(1607.06727)

$$(\epsilon'/\epsilon)_{\text{SM}} = (1.1 \pm 5.1) \cdot 10^{-4}$$

Lattice results + IB

Gisbert, Pich  
(1712.06147)

$$(\epsilon'/\epsilon)_{\text{SM}} = (15 \pm 7) \cdot 10^{-4}$$

Chiral Pert. Th.  
(No meson  
evolution!!)  
but FSIExperiment  
(NA48, KTeV)

$$(\epsilon'/\epsilon)^{\text{exp}} = (16.6 \pm 2.3) \cdot 10^{-4}$$

(borrowed from A. Buras)

## Summary on $\epsilon'/\epsilon$ in the SM (DQCD)

**$\epsilon'/\epsilon$  anomaly could turn out to be the largest anomaly in flavour physics !**

$$(\epsilon'/\epsilon)_{\text{exp}} = (16.6 \pm 2.3) \cdot 10^{-4}$$



$$(\epsilon'/\epsilon)_{\text{SM}} < (6.0 \pm 2.4) \cdot 10^{-4}$$

Dual QCD

$$(\epsilon'/\epsilon)_{\text{SM}} < 11 \cdot 10^{-4}$$

(DQCD + GP pion loops)  
(supported by Bijnens + Ecker)

AJB Expectation  
(May 2018)  
1805.11096

$$(\epsilon'/\epsilon)_{\text{SM}} = (5 \pm 2) \cdot 10^{-4}$$

Possible NP has to enhance  $\epsilon'/\epsilon$  (important message for model builders)

Will new RBC-UKQCD results support this claim?

(borrowed from A. Buras)

# Conclusions / Questions

- What is the impact of the recent data on the “leptoquark-like anomalies?
- What is the status of exclusive  $V_{cb}$ ?
- Scrutinize the methods for inclusive  $V_{ub}$
- Is there “new physics” in CP violating observables?
  - $\Delta a_{CP}$  in Charm
  - $\epsilon'/\epsilon$
- Do we understand the hadronic matrix elements well enough?



**Ship of Flavour Theory**



Ship of Flavour Theory



The Fun Deck: New Physics Models,  
Leptoquarks and all that

