B-physics anomalies and the flavor problem

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Introduction

- A closer look to the data
- EFT considerations
- From EFT to simplified models
- Speculations on UV completions
- Conclusions





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Introduction

(*almost*...) all <u>microscopic phenomena</u> we observe in Nature seem to be well described by the SM, a simple and elegant Theory that we continue to call "model" only for historical reasons...

However, despite all its phenomenological successes, the SM has some deep unsolved problems (*hierarchy problem*, *flavor problem*, *neutrino masses, dark-matter, dark energy, inflation...*)

The Standard Model should be regarded as an *Effective Field Theory* (*EFT*)

i.e. the limit (*in the range of energies and effective couplings so far probed*) of a more fundamental theory with new degrees of freedom



What we know after the first phase of the LHC is that:

- The Higgs boson is SM-like and is "light" (completion of the SM spectrum)
- There is a mass-gap above the SM spectrum



Introduction

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Reconstructing the UV theory from its low-energy limit is a very difficult problem with <u>no unique solution</u>

[It took more than 35 years to go from the Fermi Theory to the SM...]



UV Theory

Introduction

The most interesting hints toward UV dynamics come from possible *un-natural features* of the EFT.



UV imprint

UV imprint



At large distances, not enough "variables" to describe the violation of the symmetry [~*multipole expansion*]





...the violations of Lepton Flavor Universality recently reported by experiments belong to this category

B-physics anomalies and the flavor problem









A closer look to the data



A closer look to the data

Since 2013 results in semi-leptonic B decays started to exhibit tensions with the SM predictions connected to a possible violation of Lepton Flavor Universality

More precisely, we seem to observe a <u>different behavior</u> (*beside pure* kinematical effects) of different lepton species in the following processes:

- $b \rightarrow s l^+l^-$ (neutral currents):
- b \rightarrow c *lv* (charged currents): τ vs. light leptons (μ , e)

μvs. e

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N.B: LFU is an <u>accidental symmetry</u> of the SM Lagrangian in the limit where we neglect the lepton Yukawa couplings.

LFU is <u>badly broken</u> in the Yukawa sector: $y_e \sim 3 \times 10^{-6}$, $y_{\mu} \sim 3 \times 10^{-4}$, $y_{\tau} \sim 10^{-2}$

but all the lepton Yukawa couplings are small compared to SM gauge couplings, giving rise to the (*approximate*) universality of decay amplitudes which differ only by the different lepton species involved

The B-physics anomalies

• $b \rightarrow s l^+l^-$ (neutral currents): μ vs. e

<u>High significance</u>: several observables pointing to the same coherent picture [several new results in 2021]





<u>LFU ratios</u> [LHCb – Spring 2017]

The B-physics anomalies



The B-physics anomalies



The B-physics anomalies



The B-physics anomalies



build an EFT Lagrangian
 evolve it down to μ ~ m_b
 evaluate hadronic matrix elements

$$\mathcal{L}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{tb}^* V_{ts} \sum_i \mathcal{C}_i \mathcal{O}_i$$

FCNC operators: $\mathcal{O}_{10}^{\ell} = (\bar{s}_L \gamma_{\mu} b_L) (\bar{\ell} \gamma^{\mu} \gamma_5 \ell)$ $\mathcal{O}_{9}^{\ell} = (\bar{s}_L \gamma_{\mu} b_L) (\bar{\ell} \gamma^{\mu} \ell)$







N.B.: long-distance effect cannot induce LFU breaking terms (\rightarrow LFU ratios "*clean*") and cannot induce axial-current contributions (\rightarrow B_s \rightarrow µµ "*clean*")

The B-physics anomalies





Conservative fit using "clean obs." only

5.0 σ significance of NP hypothesis $\Delta C_9^{\mu} = -\Delta C_{10}^{\mu}$ vs. SM Clean short-distance effect $[\Delta C_i^{\mu} = C_i^{\mu} - C_i^{e}]:$

$$\mathcal{O}_{10}^{\ell} = (\bar{s}_L \gamma_\mu b_L) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$
$$\mathcal{O}_9^{\ell} = (\bar{s}_L \gamma_\mu b_L) (\bar{\ell} \gamma^\mu \ell)$$



2.0

1.5

1.0

0.5

0.0

 $\begin{array}{c} -0.5 \ -2.0 \end{array}$

 $\mathcal{B}_{B_s \to \mu^+ \mu^-}$

-1.5

-1.0

 ΔC_{10}^{μ}

The B-physics anomalies

CHO

Cornella et al. '21

-0.5

0.0

Lepton-universal shift to C_9 (sensitive to charm re-scattering) • b \rightarrow s l^+l^- (neutral currents): μ vs. e 0.5SM $R_{K^{(*)}}$ 0.0 $\Delta C_9^U = -0.5$ other $b \to s \mu^+ \mu^-$ -1.0observables

 $R_{K^{(*)}} + \mathcal{B}_{B_* \to \mu^+ \mu^-}$

-1.0

-0.5

0.0

0.5

-1.5 -1.5

 ΔC_{q}^{μ} $\Delta \mathcal{C}_{9}^{\mu} = -\Delta \mathcal{C}_{10}^{\mu}$ best estimate of charm <u>Conservative fit</u> using "clean obs." only $>> 5\sigma$ contribution significance of NP hypothesis 5.0σ 4.3σ global significance of NP $\Delta C_0^{\mu} = -\Delta C_{10}^{\mu}$ vs. SM (very conserv. estimate)

0.5

1.0

1.5

GI, Lancierini Owen, Serra '21

1.0

Alguero et al. '19 Ciuchini et al. '20

Li-Sheng et al. '21

Altmanshofer & Stangl '21

The B-physics anomalies

• b \rightarrow s l^+l^- (neutral currents): μ vs. e

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$$R(X) = \frac{\Gamma(B \to X \tau v)}{\Gamma(B \to X l v)} X = D \text{ or } D^*$$

- Clean SM predictions (*uncertainties cancel in the ratios*)
- Consistent results by 3 different exp.ts: 3.1σ excess over SM
- Slower progress

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- Slower progress
- <u>Large NP effect</u> competing with tree-level SM amplitude
- Left-handed NP amplitude describe well data (*but other options still possible*)

EFT considerations



EFT considerations

- Anomalies are seen only in semi-leptonic (quark×lepton) operators
- We definitely need non-vanishing <u>left-handed</u> current-current operators although other contributions are also possible



Bhattacharya *et al.* '14 Alonso, Grinstein, Camalich '15 Greljo, GI, Marzocca '15 (+many others...)

- Large coupling [*competing with SM tree-level*] in $bc \rightarrow l_3 v_3$ [R_D, R_{D*}]
- Small coupling [*competing with SM loop-level*] in bs $\rightarrow l_2 \ l_2 \ [R_K, R_{K^*}, ...]$



EFT considerations

Data point to (short-distance) NP effects in operators of the type

$$\mathcal{O}_{LL}^{ij\alpha\beta} = (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) (\bar{\ell}_L^\beta \gamma_\mu q_L^j)$$



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• O(10⁻¹) suppress. for each 2^{nd} gen. l_L



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EFT considerations



EFT considerations



B-physics anomalies and the flavor problem

$$\mathcal{D}_{LL}^{ij\alpha\beta} = (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) (\bar{\ell}_L^\beta \gamma_\mu q_L^j) = \frac{1}{2} \left[\mathcal{O}_{\ell q}^{(1)} + \mathcal{O}_{\ell q}^{(3)} \right]^{ij\alpha\beta}$$
Pattern emerging from data:
$$\mathcal{O}_{LL}^{000} = (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) (\bar{\ell}_L^\beta \gamma_\mu q_L^j) = \frac{1}{2} \left[\mathcal{O}_{\ell q}^{(1)} + \mathcal{O}_{\ell q}^{(3)} \right]^{ij\alpha\beta}$$
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$$\mathcal{O}_{0,004}^{0,004} = (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) (\bar{\ell}_L^\beta \gamma_\mu q_L^j) = \frac{1}{2} \left[\mathcal{O}_{\ell q}^{(1)} + \mathcal{O}_{\ell q}^{(3)} \right]^{ij\alpha\beta}$$
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B-physics anomalies and the flavor problem

$$\begin{array}{c} \hline EFT\ considerations \\ \hline \mathcal{O}_{LL}^{ij\alpha\beta} = (\bar{q}_{L}^{i}\gamma_{\mu}\ell_{L}^{\alpha})(\bar{\ell}_{L}^{\beta}\gamma_{\mu}q_{L}^{j}) = \frac{1}{2} \left[\mathcal{O}_{\ell q}^{(1)} + \mathcal{O}_{\ell q}^{(3)}\right]^{ij\alpha\beta} \\ \hline \mathcal{O}_{LL}^{0006} \\ \hline \mathcal{O}_{LL}^{006} \\ \hline \mathcal{O}_{LL}^{$$

From EFT to simplified models



B-physics anomalies and the flavor problem

3 gen. = "identical copies"

up to high energies

From EFT to simplified models [the flavor structure]

The old (MFV) paradigm:



B-physics anomalies and the flavor problem

From EFT to simplified models [the flavor structure]

The new paradigm: multi-scale strucutre @ origin of flavor:



Barbieri '21 Allwicher, GI, Thomsen '20 ... Bordone *et al.* '17 Panico & Pomarol '16 ... Dvali & Shifman '00

Main idea:

- Flavor non-universal interactions already at the TeV scale:
- 1st & 2nd gen. have small masses because they are coupled to NP at heavier scales


From EFT to simplified models [the flavor structure]

The new paradigm: multi-scale strucutre @ origin of flavor:



From EFT to simplified models [the possible mediators]

Which mediators can generate the effective operators required for by the EFT fit? If we restrict the attention to tree-level mediators, not many possibilities...



LQ (both scalar and vectors) have two general <u>strong advantages</u> with respect to the other mediators:



II. Direct 3^{rd} gen. LQ are also in better shape as far as direct searchessearches:are concerned (*contrary to Z'...*).

From EFT to simplified models [the possible mediators]

"Renaissance" of LQ models (*to explain the anomalies, but not only*...):

• Scalar LQ as PNG

Gripaios, '10 Gripaios, Nardecchia, Renner, '14 Marzocca '18

Vector LQ as techni-fermion resonances

Barbieri *et al.* '15; Buttazzo *et al.* '16, Barbieri, Murphy, Senia, '17 + ...

• Scalar LQ from GUTs & K SUSY

Hiller & Schmaltz, '14; Becirevic *et al.* '16, Fajfer *et al.* '15-'17; Dorsner *et al.* '17; Crivellin *et al.* '17; Altmannshofer *et al.* '17 Trifinopoulos '18, Becirevic *et al.* '18 + ...

• LQ as Kaluza-Klein excit.

Megias, Quiros, Salas '17 Megias, Panico, Pujolas, Quiros '17 Blanke, Crivellin, '18 + ...



 Vector LQ in GUT gauge models

> Assad *et al.* '17 Di Luzio *et al.* '17 Bordone et *al.* '17 Heeck & Teresi '18 + ...

Best option for a combined solution:

• $U_1 = SU(2)_L$ singlet vector





From EFT to simplified models [the possible mediators]

Considering the U_1 only

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^{\mu} \left[\beta_{i\alpha}^L (\bar{q}_L^i \gamma_{\mu} \mathcal{E}_L^{\alpha}) - \beta_{i\alpha}^R (\bar{d}_R^i \gamma_{\mu} e_R^{\alpha}) \right] + \mathrm{h.c.}$$

 \rightarrow excellent description of all available <u>low-energy data</u>:



From EFT to simplified models [the possible mediators]

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 \rightarrow excellent description of all available low-energy data

 \rightarrow consistent with present <u>high-energy data</u> \rightarrow *signals within the reach of HL-LHC*:



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 \rightarrow consistent with present high-energy data \rightarrow signals within the reach of HL-LHC

 \rightarrow interesting implications also for future low-energy searches:





First observation: the Pati & Salam group, proposed in the 70's to unify quarks & leptons predicts the <u>only massive LQ</u> that is a good mediator for <u>both</u> anomalies:

Pati-Salam group: $SU(4) \times SU(2)_L \times SU(2)_R$





Main Pati-Salam idea: Lepton number as "the 4th color"

The massive LQ $[U_1]$ arise from the breaking SU(4) \rightarrow SU(3)_C×U(1)_{B-L}

$$SU(4) \sim \begin{bmatrix} SU(3)_{C} & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & LQ \\ LQ & \end{bmatrix} \begin{bmatrix} \frac{1}{3} & 0 \\ 0 & -1 \end{bmatrix}$$

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Heeck, Teresi, '18

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The problem of the "original PS model" are the strong bounds on the LQ couplings to $1^{st} \& 2^{nd}$ generations [e.g. M > 200 TeV from $K_L \rightarrow \mu e$]

Attempts to solve this problem simply adding
extra fermions or scalarsCalibbi, Crivellin, Li, '17;
Fornal, Gadam, Grinstein, '18



Second observation: we can "protect" the light families charging under SU(4) only the 3rd gen. or, more generally, "separating" the universal SU(3) component



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Fuentes-Martin et al. '20 + work in prog.

Speculations on UV completions

An ambitious attempt to construct a *full theory of flavor* has been obtained embedding the Pati-Salam gauge group (or variations) into an extra-dimensional construction:



Flavor ↔ special position (*topological defect*) in an extra (compact) space-like dimension

Dvali & Shifman, '00

Higgs and SU(4)-breaking fields with oppositely-peaked profiles, leading to the desired flavor pattern for masses & anomalies

Bordone, Cornella, Fuentes-Martin, GI '17 Fuentes-Martin, GI, Pages, Stefanek '20

Possible to implement anarchic neutrino masses via an inverse see-saw mechanism

Conclusions

- Flavor is an essential ingredient to understand the structure of physics beyond the SM. This statement, which we deduce already by the SM Yukawa structure, is reinforced by the recent anomalies
- The statistical significance of the LFU anomalies is growing: in the $b \rightarrow sll$ system, the chance this is a pure statistical fluctuation is marginal.
- <u>If combined</u>, the two sets of anomalies point to non-trivial flavor dynamics around the TeV scale, involving mainly the 3^{rd} family \rightarrow connection to the origin of flavor [multi-scale picture at the origin of flavor hierarchies]
- <u>No contradiction</u> with existing low- & high-energy data, <u>but new non-</u><u>standard effects should emerge soon</u> in both these areas

Very interesting (near-by!) future...

(both on the exp., the pheno, and the model-building point of view)