



Neutrino Oscillations and Probing the Neutrino Mass Hierarchy



Rezo Shanidze

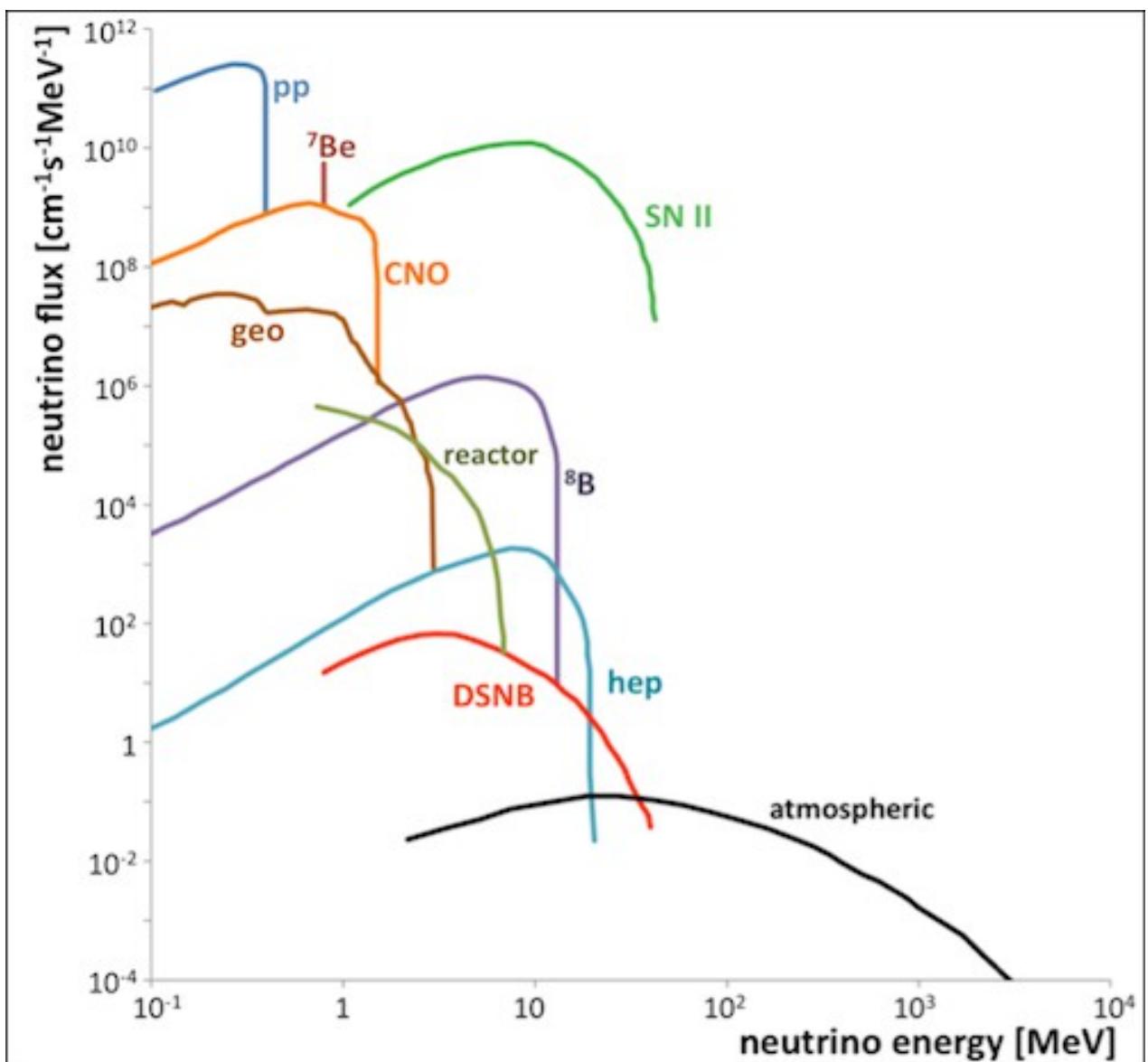
High Energy Physics Institute
Javakhishvili Tbilisi State University

26 September 2017

Content

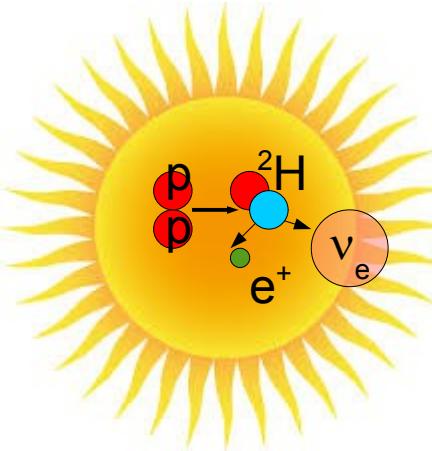
- Neutrino fluxes and the evidence for the neutrino oscillations
- Oscillation phenomenology: PNMS mixing matrix
- Current status of ν -oscillation measurements and NHM
- Probing NHM with the atmospheric neutrinos: KM3NeT/ORCA
- Summary and Outlook

Neutrino Fluxes

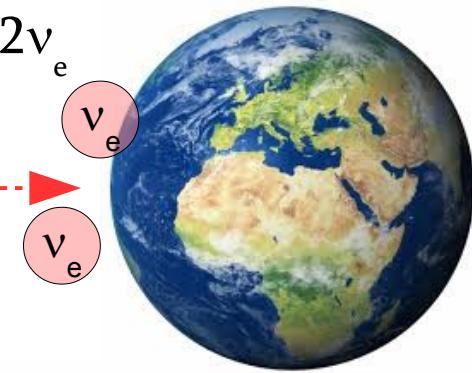


- **Solar neutrinos (ν_e):**
pp, ^7Be , CNO, ^8B , hep
- **Geo-neutrinos ($\bar{\nu}_e$):**
 β^- : ^{40}K , ^{232}Th , ^{238}U (99%)
- **Reactor neutrinos ($\bar{\nu}_e$):**
- **Supernova neutrinos :**
SN II : $e^- p \rightarrow n \bar{\nu}_e$
 $e^+ e^- \rightarrow \nu_\alpha \bar{\nu}_\alpha$ $\alpha = e, \mu, \tau$
- **DNSB: Diffuse Supernova Neutrino Background**
- **Atmospheric neutrinos:**
 $\pi \rightarrow \mu \bar{\nu}_\mu$
 $\mu \rightarrow e \bar{\nu}_\mu \bar{\nu}_e$
- **Accelerator neutrino beams**

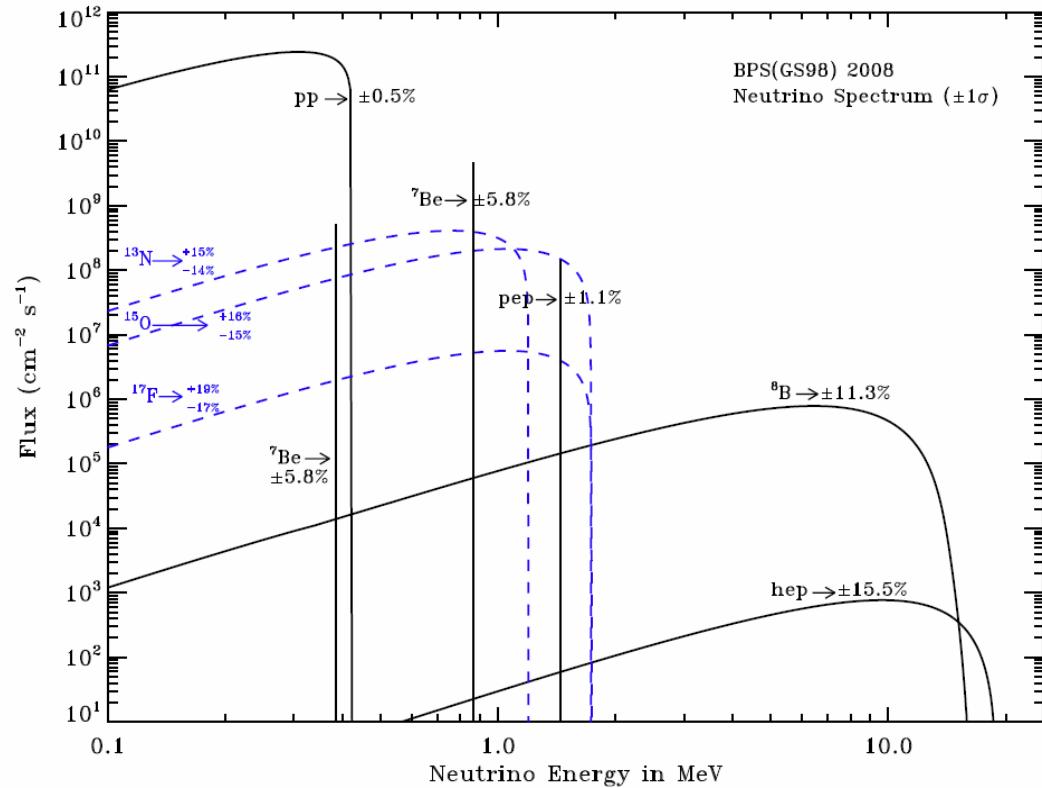
Solar Neutrino Fluxes



Neutrino production in the Sun: $4 \text{ p} \rightarrow {}^4\text{He} + 2\text{e}^+ + 2\nu_e$



Reaction	type	Fluxes ($\text{cm}^{-2} \text{s}^{-1}$)
$\text{pp} \rightarrow {}^2\text{H} + \text{e}^+ + \nu_e$	pp	$5.97(1 \pm 0.006) \times 10^{10}$
$\text{pep} \rightarrow {}^2\text{H} + \nu_e$	pep	$1.41(1 \pm 0.011) \times 10^8$
${}^3\text{He} \text{ p} \rightarrow {}^4\text{He} + \text{e}^+ + \nu_e$	hep	$7.90(1 \pm 0.015) \times 10^3$
${}^7\text{Be} \text{ e}^- \rightarrow {}^7\text{Li} + \nu_e$	${}^7\text{Be}$	$5.07(1 \pm 0.006) \times 10^9$
${}^8\text{B} \rightarrow {}^8\text{Be}^* + \text{e}^+ + \nu_e$	${}^8\text{B}$	$5.94(1 \pm 0.011) \times 10^6$
${}^{13}\text{N} \rightarrow {}^{13}\text{C} + \text{e}^+ + \nu_e$	${}^{13}\text{N}$	$2.88(1 \pm 0.015) \times 10^8$
${}^{15}\text{O} \rightarrow {}^{15}\text{N} + \text{e}^+ + \nu_e$	${}^{15}\text{O}$	$2.15(1 + 0.17 - 0.16) \times 10^8$
${}^{17}\text{F} \rightarrow {}^{17}\text{O} + \text{e}^+ + \nu_e$	${}^{17}\text{F}$	$5.82(1 + 0.19 - 0.17) \times 10^6$



RPP-2016, Neutrino mass, mixing and oscillations

<http://pdg.lbl.gov/2017/reviews/rpp2016-rev-neutrino-mixing.pdf>

Standard Solar Model (SSM): BPS 2008

C. Pena-Garay and A.M. Serenelli, arXiv:0811.2424

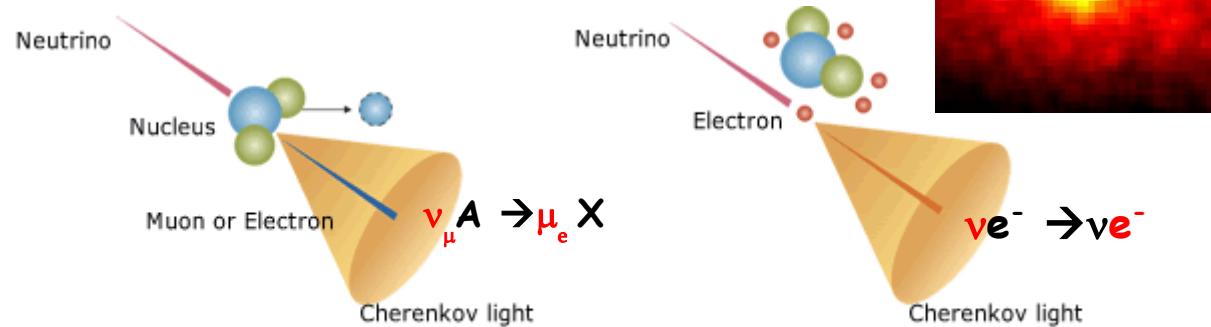
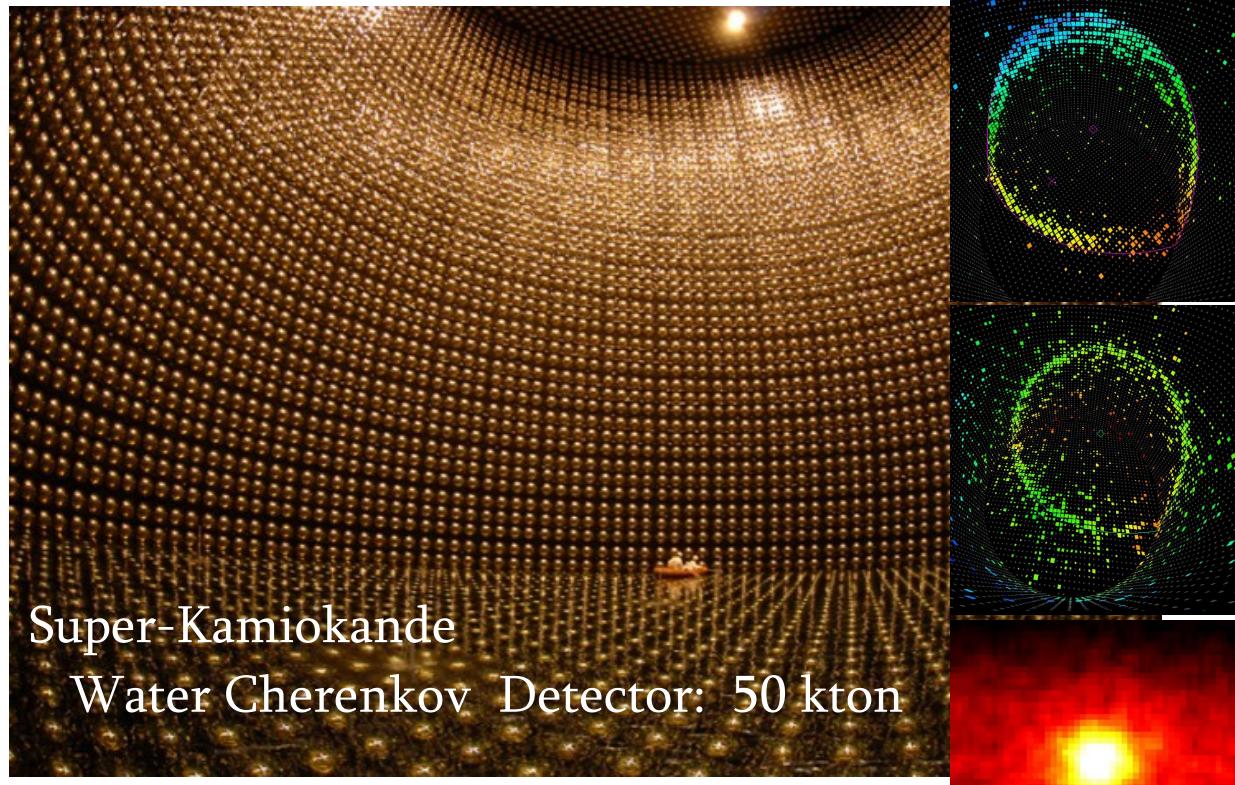
Solar Neutrino Experiments

- Radio-chemical detection: Homestake(Cl-Ar), Gallex, GNO, SAGE (Ga-Ge)
- Water Cherenkov detectors: Kamiokande(K), Super-K, SNO
- Scintillator detectors: KamLAND, Borexino



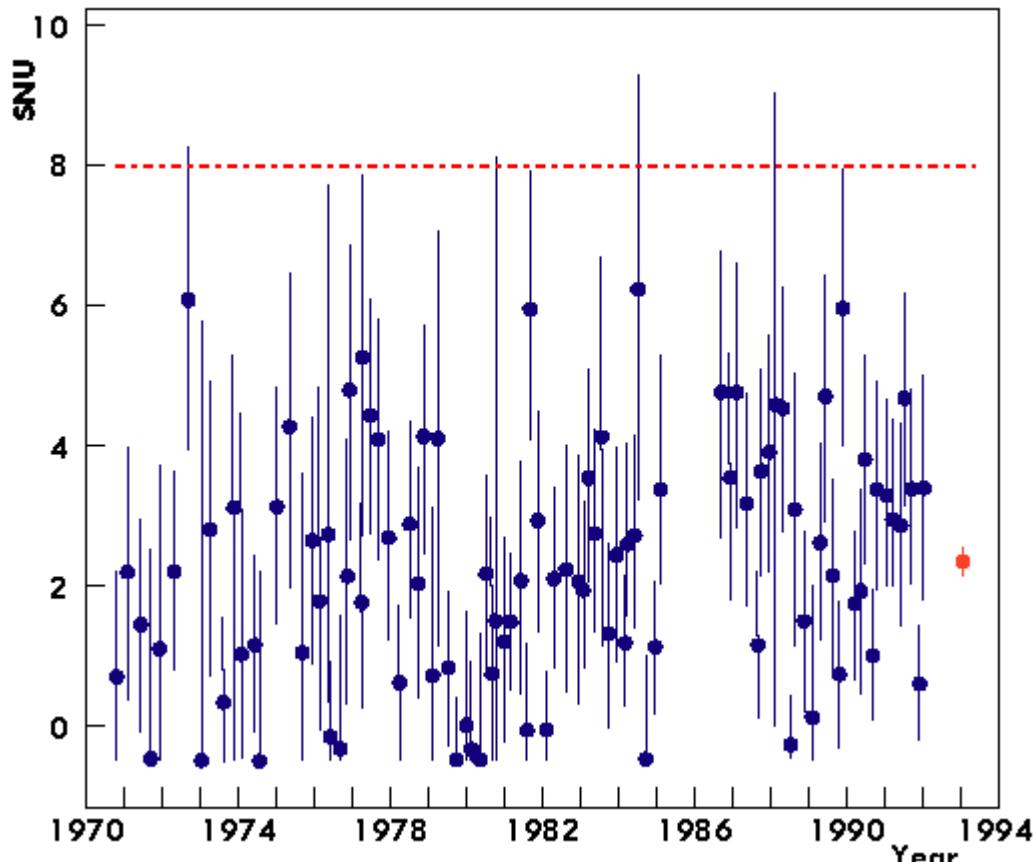
Homestake experiment:

100 000 gallons (380 m^3) of C_2Cl_4



Solar Neutrino Problem

Radio-chemical experiments (Homestake, Gallex, GNO, SAGE):
Deficit of solar- ν_e with respect to SSM predictions.

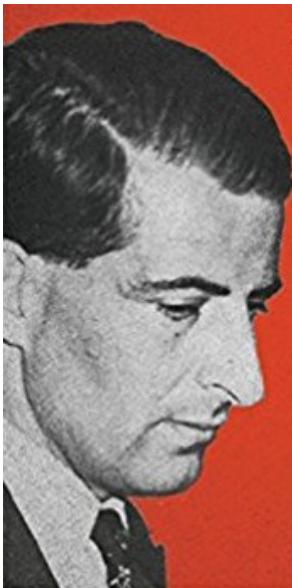


Results from Homestake ($^{37}\text{Cl} \rightarrow ^{37}\text{Ar}$) experiments

	$^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$ (SNU)
GALLEX	$77.5 \pm 6.2^{+4.3}_{-4.7}$
GNO	$62.9^{+5.5}_{-5.3} \pm 2.5$
GNO+GALLEX	$69.3 \pm 4.1 \pm 3.6$
SAGE	$65.4^{+3.1 +2.6}_{-3.0 -2.8}$
SSM [PPS08(GS)]	$127.9^{+8.1}_{-8.2}$

Results from $^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$ experiments and
the prediction of the SSM [BPS08(GS)]
SNU (Solar Neutrino Unit):
 $10^{-36} \nu\text{-captures per atom per second.}$

Neutrino Oscillations



2ν – mixing:

Mixing of flavor neutrinos (ν_α, ν_β) with massive neutrino states (ν_1, ν_2)

$$\nu_\alpha = u_{\alpha i} \nu_i \quad \alpha = e, \mu \quad i = 1, 2$$

$$u_{\alpha i} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \quad \nu_\alpha(x) = \cos \Theta \nu_1(x) + \sin \Theta \nu_2(x)$$

Bruno Pontekorvo

Neutrino propagation: $P_{\alpha\alpha} + P_{\alpha\beta} = 1$

$P_{\alpha\alpha}$ - survival(disappearance) probability

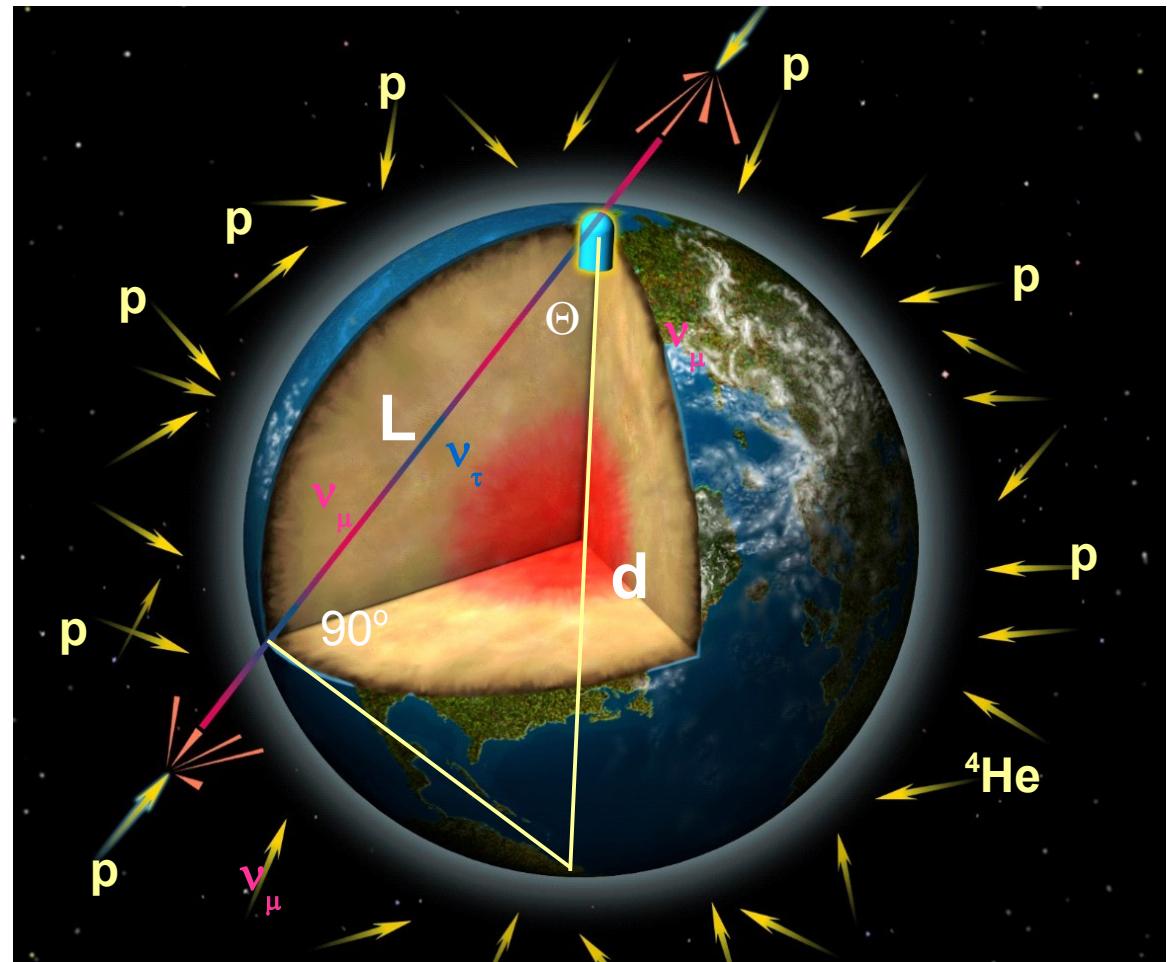
$P_{\alpha\beta}$ - appearance probability

$$P_{\alpha\beta}(\theta, \Delta m_{21}^2, L, E_\nu) = \sin^2 2\theta \sin^2 \left(\frac{\Delta m_{12}^2 L}{4E_\nu} \right)$$

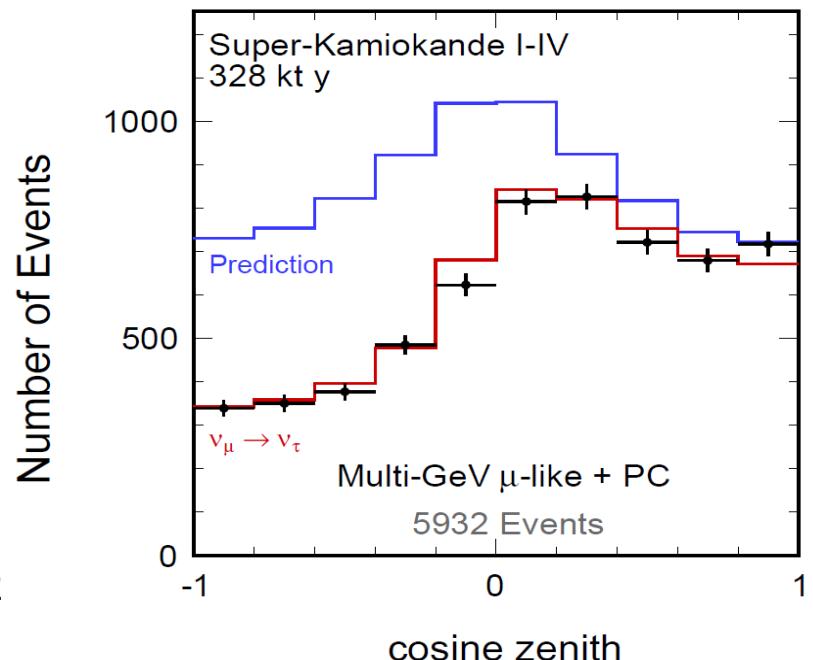
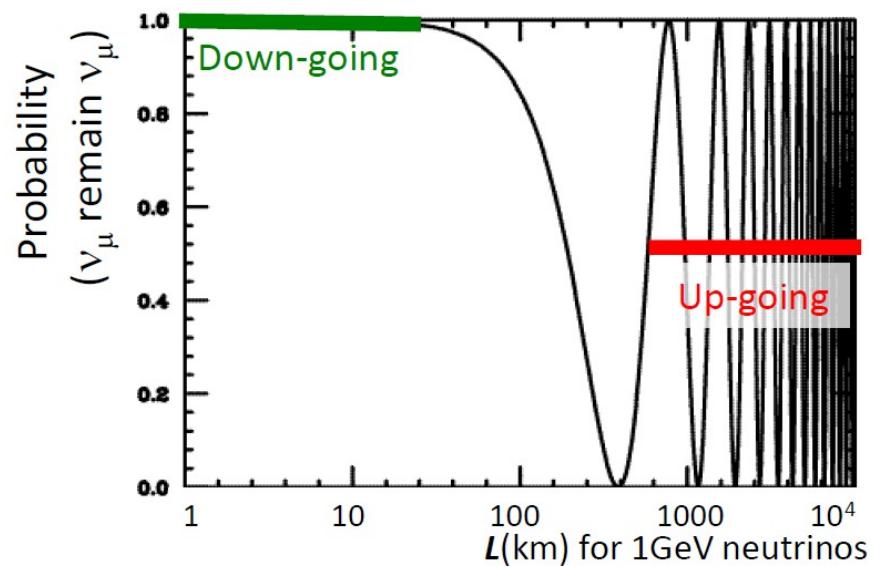
Oscillation parameters: $\theta, \Delta m_{21}^2$

Conditions: $\begin{cases} \theta \neq 0 \\ \Delta m_{21}^2 = m_2^2 - m_1^2 \neq 0 \end{cases}$

Evidence for Neutrino Oscillations



$$10 \text{ km} < L_\nu (d \cos\theta) < d_{\text{earth}} = 1.27 \times 10^4 \text{ km}$$



Super-K Collaboration (Y. Fukuda et al), Phys.Rev.Lett. 81 (1998) 1562
Evidence for oscillation of atmospheric neutrinos

Neutrino Oscillations: 3ν Flavors

3 neutrino case:

$$\mathbf{v}_\alpha = u_{\alpha i} \mathbf{v}_i \quad \alpha = e, \mu, \tau \quad i = 1, 2, 3$$

$$u_{\alpha i} = U_{PMNS}$$

PMNS matrix
(Pontecorvo–Maki–Nakagawa Sakata)

$$U_{PMNS} = \begin{pmatrix} u_{e1} & u_{e2} & u_{e3} \\ u_{\mu 1} & u_{\mu 2} & u_{\mu 3} \\ u_{\tau 1} & u_{\tau 2} & u_{\tau 3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & 0 & e^{i\delta} s_{13} \\ 0 & 1 & 0 \\ -e^{-i\delta} s_{13} & 0 & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$c_{ij} = \cos \Theta_{ij} \quad s_{ij} = \sin \Theta_{ij}$$

Oscillation parameters:

$$\left\{ \begin{array}{l} \Theta_{23}, \quad \Theta_{13}, \quad \Theta_{12}, \quad \delta_{CP} \\ \Delta m_{32}^2, \quad \Delta m_{31}^2, \quad \Delta m_{21}^2 \end{array} \right. \quad \begin{array}{l} \Delta m_{32}^2 = \Delta m_{31}^2 - \Delta m_{21}^2 \\ \pm \Delta m_{32}^2 = m_3^2 - m_2^2 \end{array}$$

(Neutrino Mass Hierarchy, NMH)

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2(2\theta_{23}) \cos^4(\theta_{13}) \sin^2(\Delta m_{32}^2 L_\nu / 4 E_\nu)$$

(ignoring Δm_{21}^2 , δ and matter effects)

Oscillation Experiments

$\Delta m_{21}^2, \Theta_{12}$

Solar(ν_e) and reactor($\bar{\nu}_e$):

Homestake, Gallex, GNO, SAGE
Super-K, SNO
KamLAND, Borexino

$\Delta m_{31}^2, \Theta_{13}$

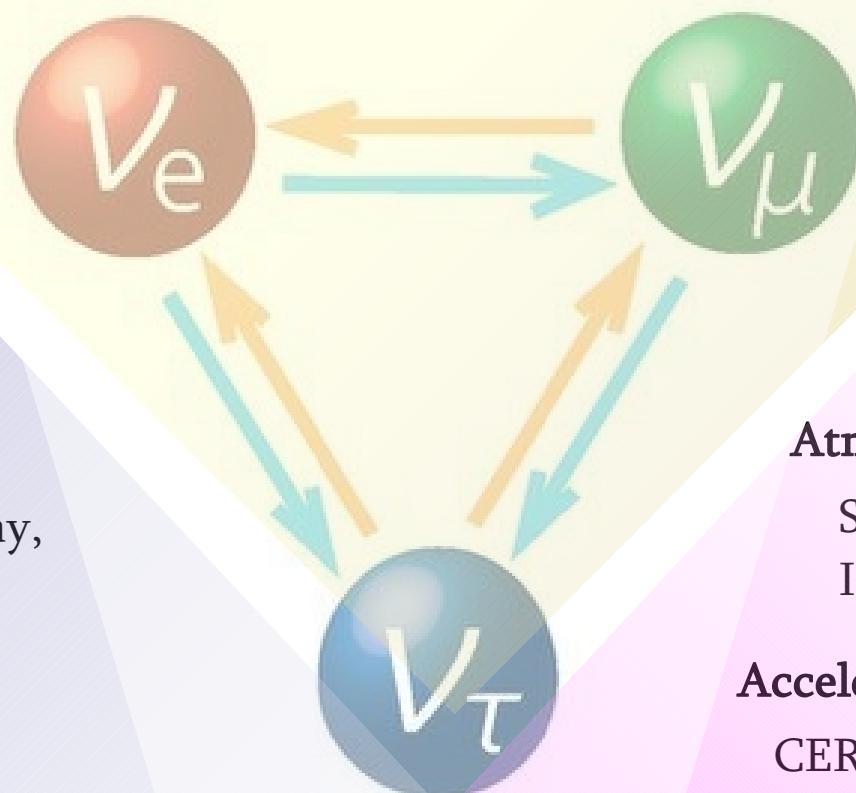
Reactor($\bar{\nu}_e$):

Double Chooz, Daya Bay,
RENO

$\Delta m_{32}^2, \Theta_{23}$

Atmospheric neutrinos(ν_μ, ν_e):

Super-K, MACRO, ANTARES,
IceCube/DeepCore

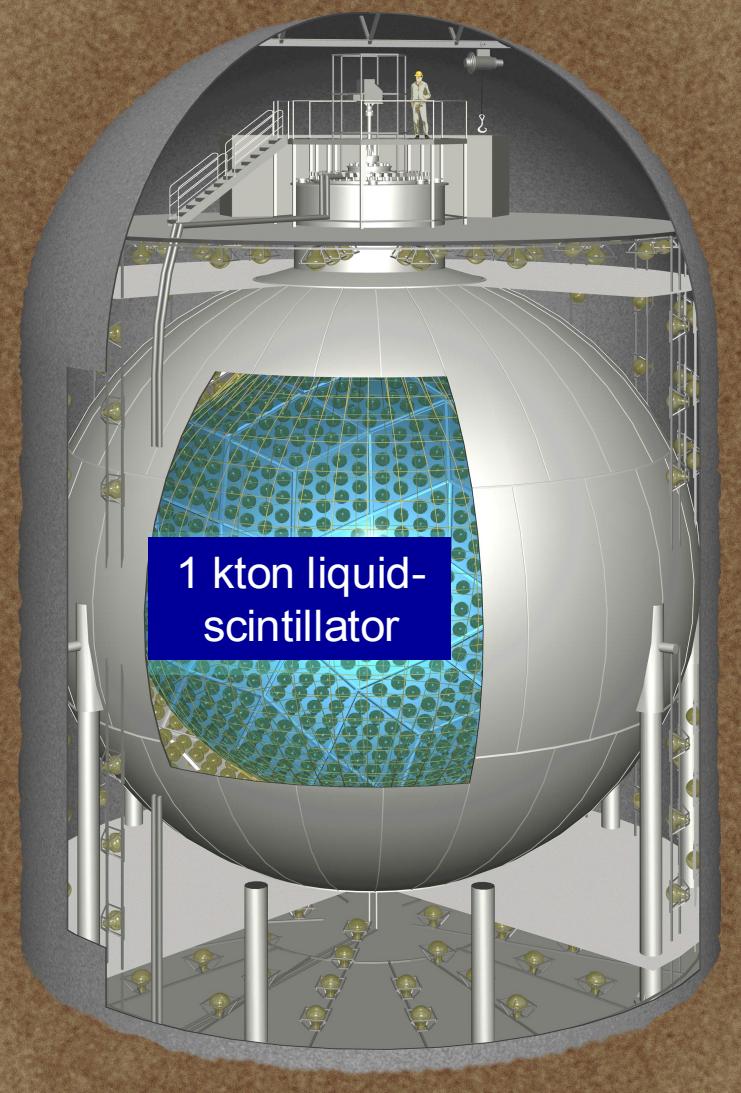


Accelerator beams (ν_μ):

CERN: CNGS(Opera, ICARUS)
FNAL: MINOS, NOvA
KEK: K2K, T2K

Solar Neutrino Oscillations: SNO and KamLAND

Sudbury Neutrino Observatory(SNO)



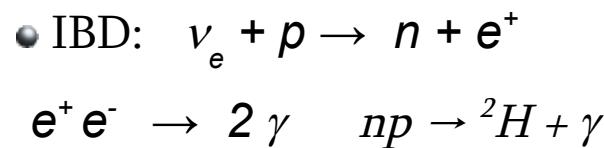
SNO:

Solar- ν flux:
Good agreement
with SSM.

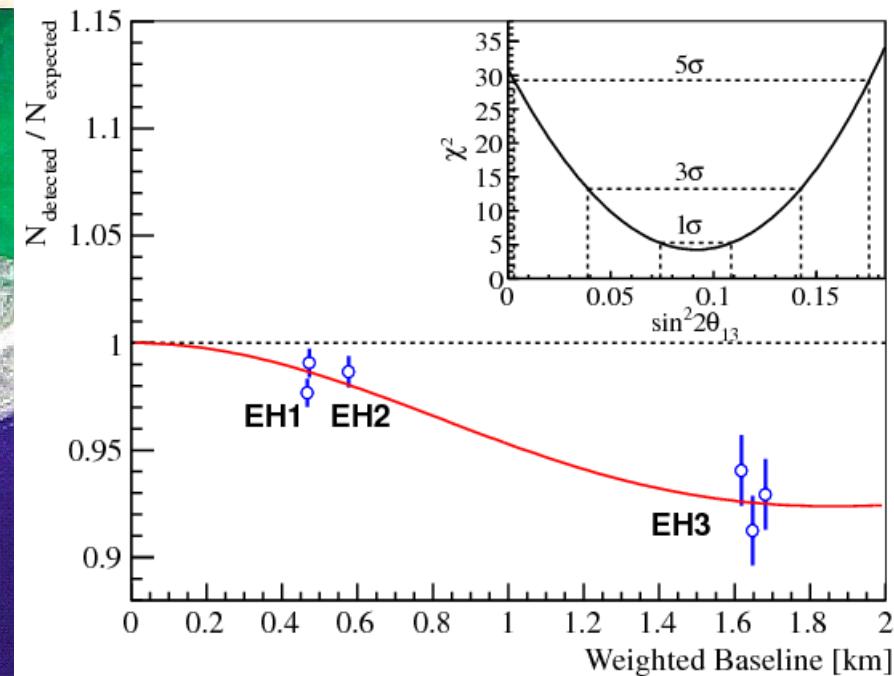
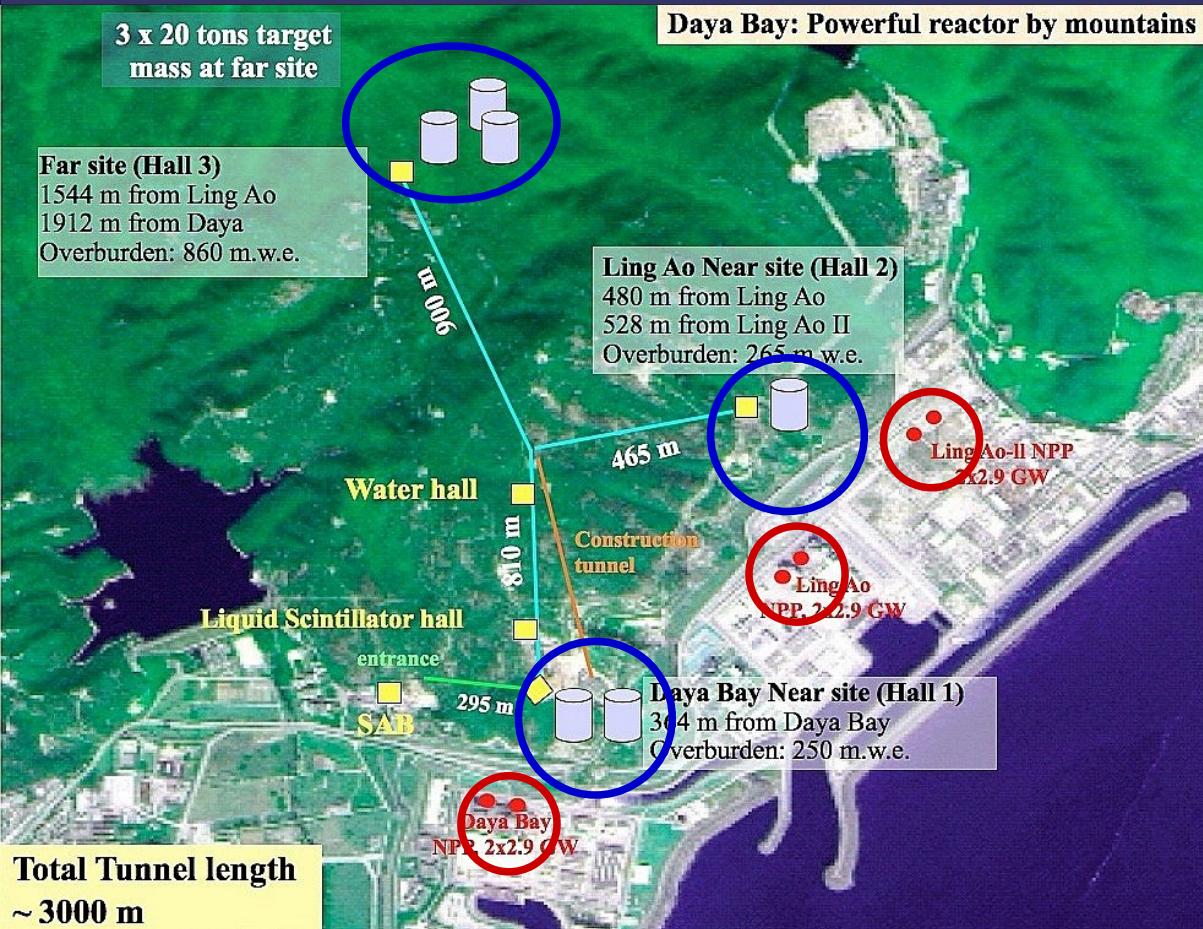
KamLAND:

Measurement of:
 Θ_{12} Δm_{12}^2

- $\nu_x + e^- \rightarrow e^- + \nu_x$ (ES)
- $\nu_e + {}^2H(D) \rightarrow p + p + e^-$ (CC)
- $\nu_x + {}^2H(D) \rightarrow p + n + \nu_x$ (NC)



Measurement of Θ_{13}



R=Observed/Expected(no osc) curve measured
In the Daya Bay experiment*

The Daya Bay experiment.

$$\Theta_{13} \sin^2 2 \theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})^*$$

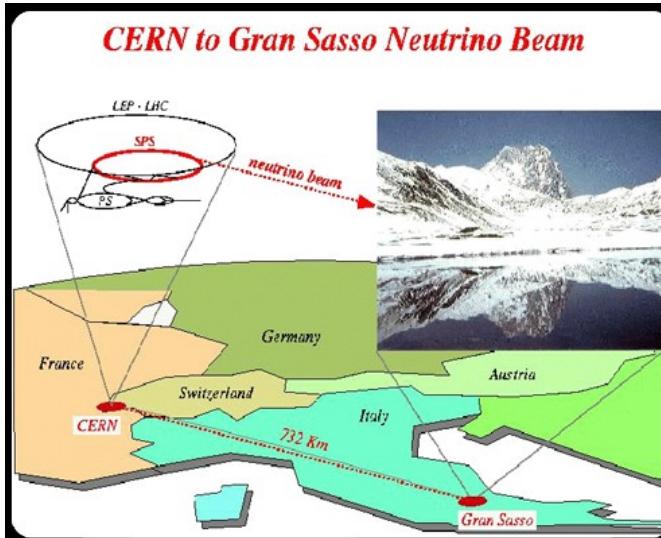
$$\text{RENO: } 0.113 \pm 0.013(\text{stat}) \pm 0.019(\text{syst})$$

* Daya Bay Collaboration (F.P. An et al.), Phys.Rev.Lett. 108 (2012), 171803
Observation of electron-antineutrino disappearance at Daya Bay



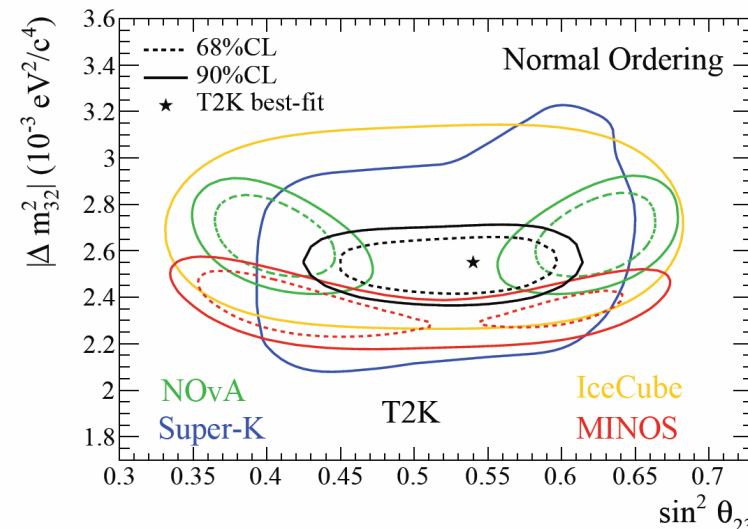
$$0.109 \pm 0.030(\text{stat}) \pm 0.025(\text{syst})$$

Neutrino Oscillation with Accelerator ν -Beams

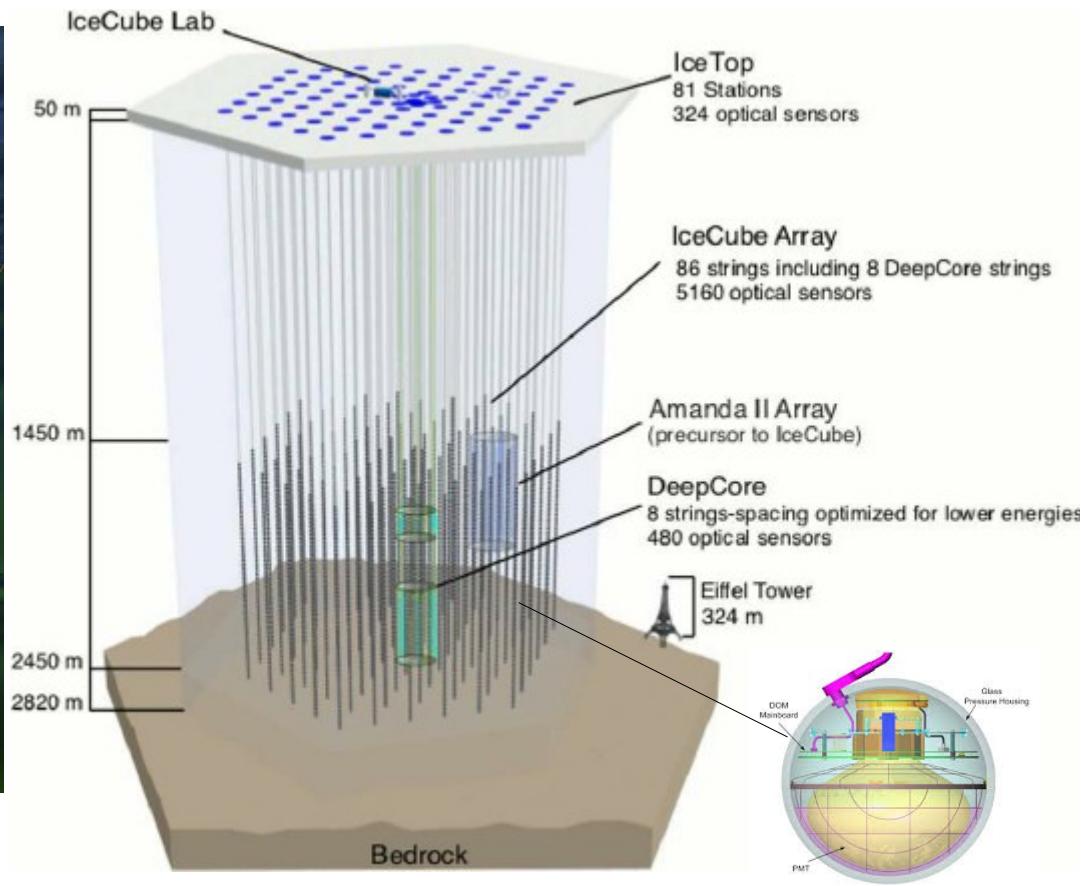
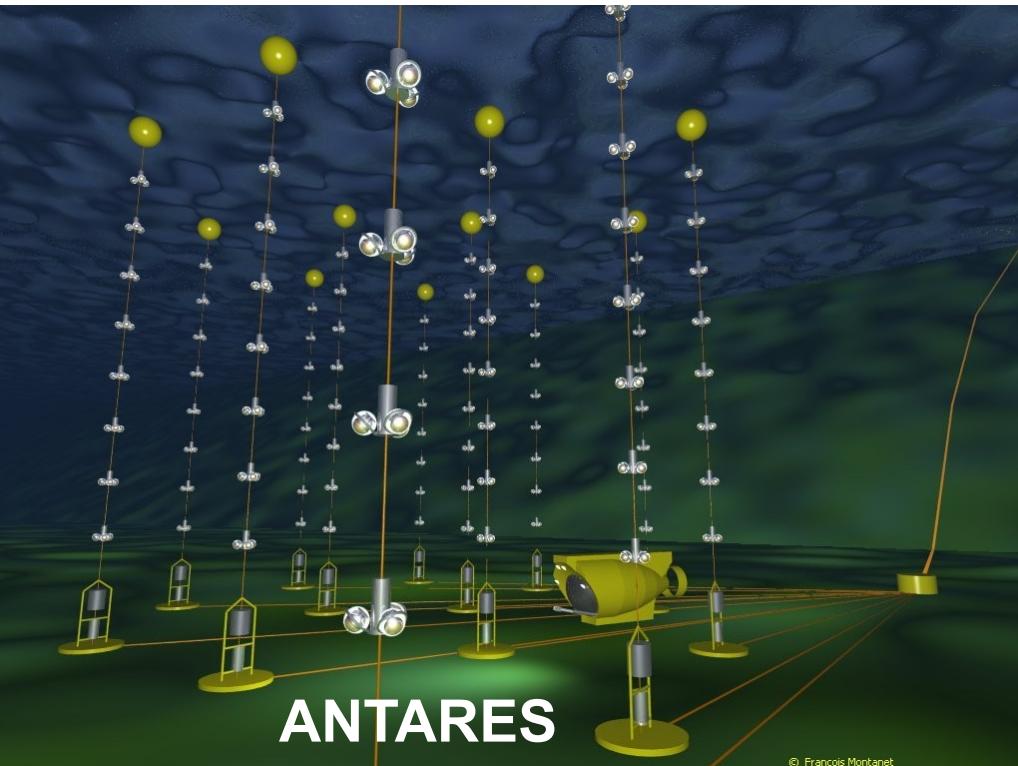


Accelerator Long Baseline Neutrino Experiments (LBNE)

LBNE	L[km]	E _p [GeV]	Detector	
K2K	250	12	Super-K(WC)	$\nu_\mu \rightarrow \nu_\mu$
T2K	295	30	Super-K	$\nu_\mu \rightarrow \nu_\mu / \nu_e$
OPERA	732	450	OPERA	$\nu_\mu \rightarrow \nu_\tau$
MINOS	735	120	5.4 kton M-Cal.	$\nu_\mu \rightarrow \nu_\mu / \nu_e$
NOvA	810	120	15 kton LS-Det.	$\nu_\mu \rightarrow \nu_\mu / \nu_e$



Neutrino Telescopes: ANTARES and IceCube



- ANTARES Collaboration, Phys. Lett. , B714 (2012) 224-230
Measurement of Atmospheric Neutrino Oscillations with the ANTARES Neutrino Telescope
- IceCube Collaboration, Phys. Rev. D91 (2015) , 072004
Determining neutrino oscillation parameters from atmospheric muon neutrino disappearance with three years of IceCube DeepCore data

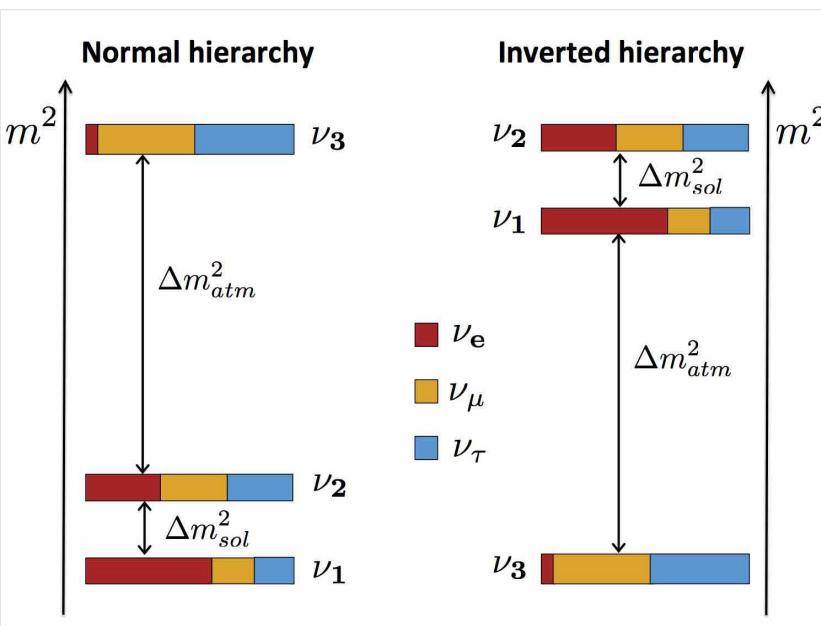
Neutrino Oscillation Parameters

Parameter	NMH	Best fit ¹	1σ range ¹	RPP-2016	Unit
δm^2		7.37	7.21 – 7.54	7.53 ± 0.18	10^{-5} eV ²
$\sin^2 \Theta_{12}$		2.97	2.81 – 3.14	$3.07^{+0.13}_{-0.12}$	10^{-1}
Δm^2	NH	2.50	2.46 – 2.54	2.45 ± 0.05	10^{-3} eV ²
	IH	2.46	2.42 – 2.51	2.52 ± 0.05	
$\sin^2 \Theta_{23}$	NH	4.37	4.17 – 4.70	5.1 ± 0.4	10^{-1}
	IH	5.69	4.28 – 4.91 \oplus 5.18 – 5.97	5.0 ± 0.4	
$\sin^2 \Theta_{13}$	NH	2.14	2.05 – 2.25	2.10 ± 0.11	10^{-2}
	IH	2.18	2.06 – 2.27		

$$\delta m^2 = \Delta m_{21}^2 \quad \Delta m^2 = \Delta m_{32}^2$$

¹ F. Capozzi, E. Lisic, A. Marrone, D. Montaninoe, A. Palazzod, *J.Phys. B*908 (2016) 218
Neutrino masses and mixings: Status of known and unknown 3v parameters

Neutrino Mass Hierarchy



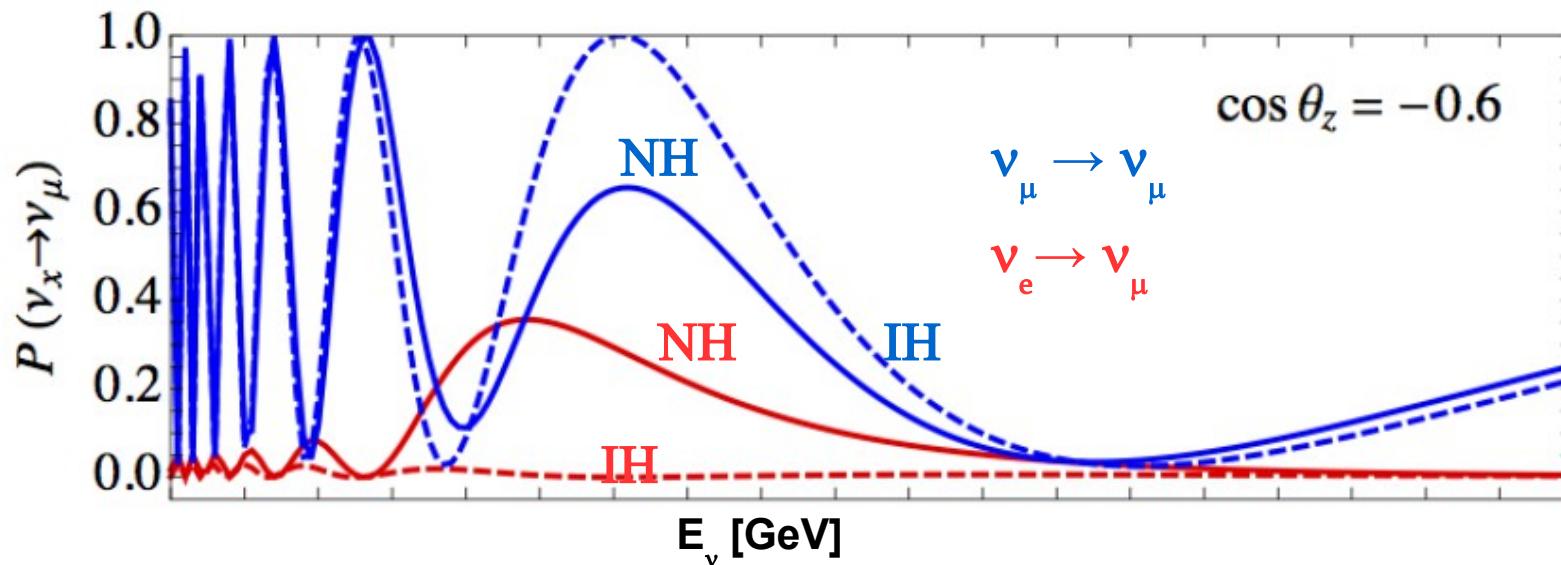
Unknown ν -oscillation parameters:

Neutrino mass hierarchy, CP-phase, Octant of Θ_{23}

NMH 1) Normal Hierarchy (NH): $m_3 > m_2$

2) Inverted Hierarchy (IH): $m_3 < m_2$

Neutrino oscillations in matter:
MSW effect and parametric enhancement.



Neutrino oscillations probabilities with the matter effects (MSW) for $\nu_\mu \rightarrow \nu_\mu$ and $\nu_e \rightarrow \nu_\mu$.

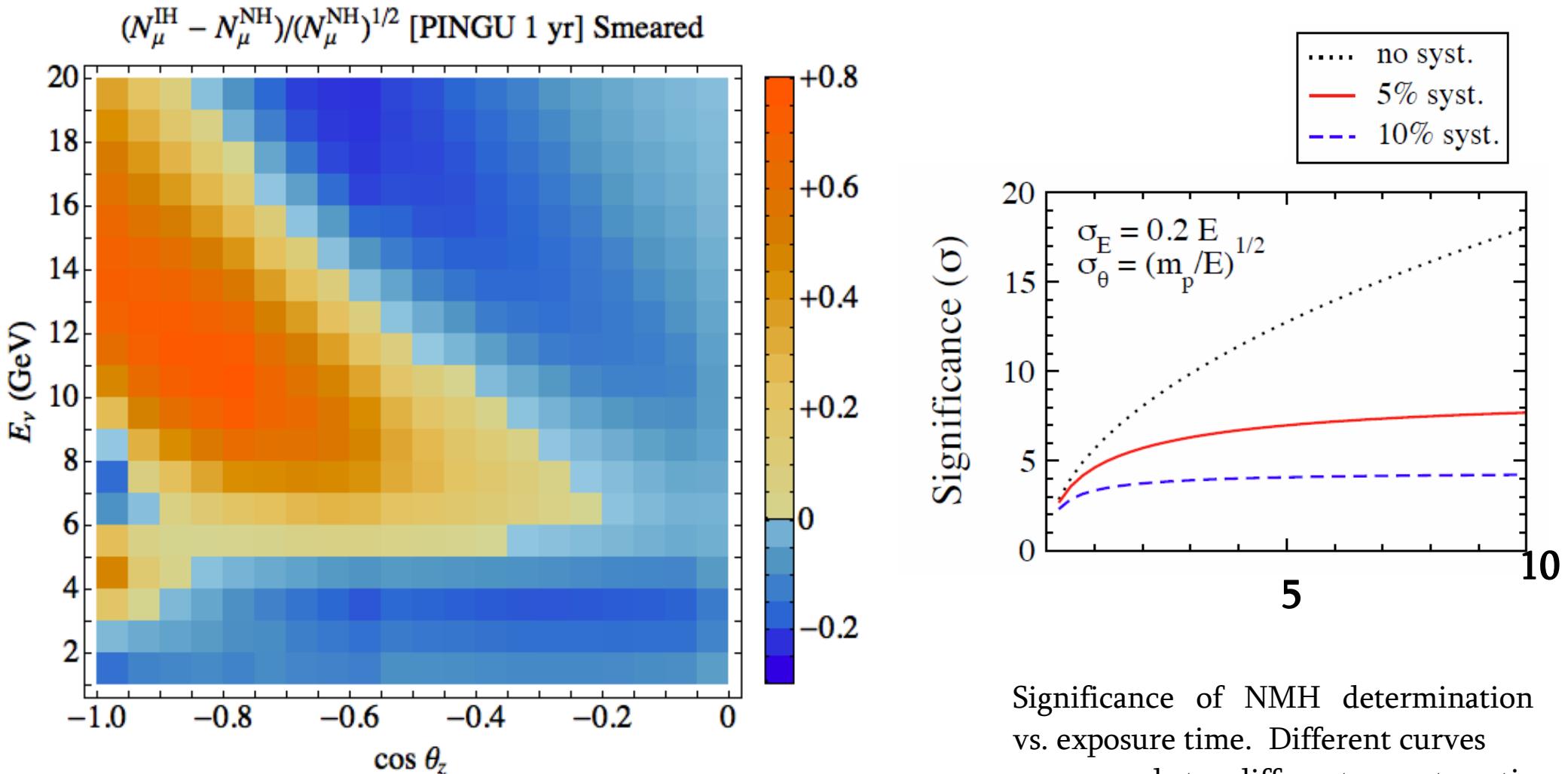
Solid lines: NH

Dashed lines: IH

E. Akhmedov, S. Razzaque and A. Yu. Smirnov, JHEP 1302 (2013) 082

Mass hierarchy, 2-3 mixing and CP-phase with Huge Atmospheric Neutrino Detectors

Probing the Neutrino Mass Hierarchy

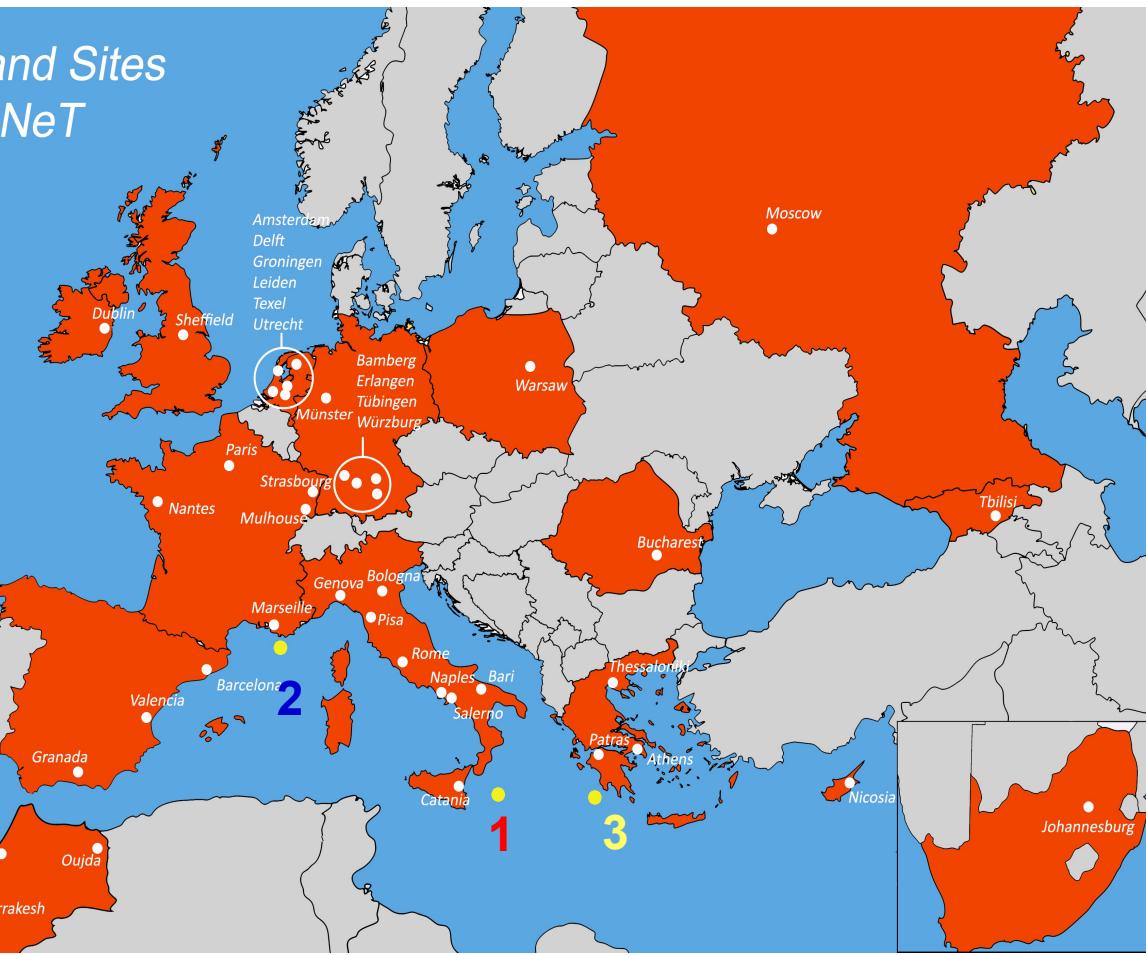


Neutrino oscillogram obtained after smearing $\mu(v_\mu)$ events in the $(E_\nu - \cos \theta_z)$ plane with $\sigma_E = 0.2E$ and $\sigma_\theta = (m_p/E_\nu)^{1/2}$

Significance of NMH determination vs. exposure time. Different curves correspond to different systematic uncertainties

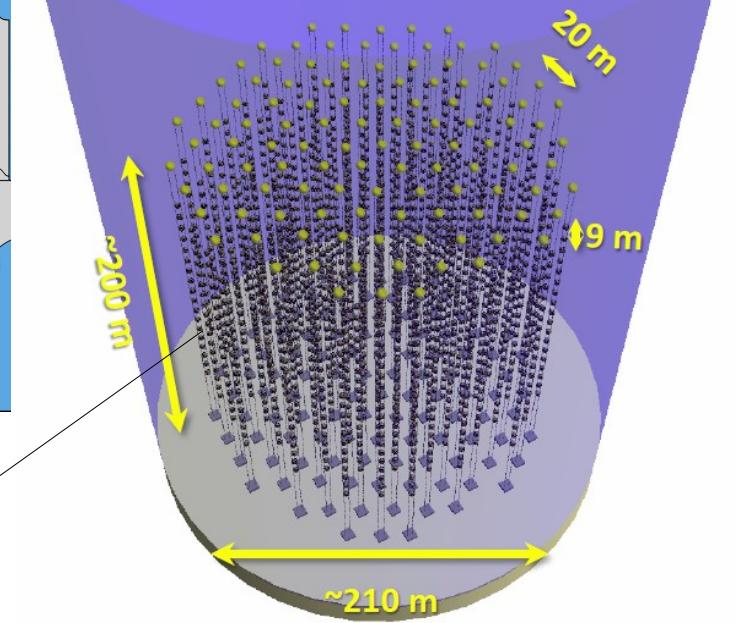
The KM3NeT/ORCA Project

Cities and Sites
of KM3NeT



KM3NeT/ORCA
Oscillation Research in
with Cosmics in Abyss

- ~5.7 Mt instrumented
- 115 strings
- 18 DOMs / string (~50 kt ~ 2 × SK)
- 31 PMTs / DOM (~3 kt ~ MINOS)
- Total: 64k*3'' PMTs



KM3NeT 2.0

www.km3net.org

1 KM3NeT/ARCA
2 KM3NeT/ORCA

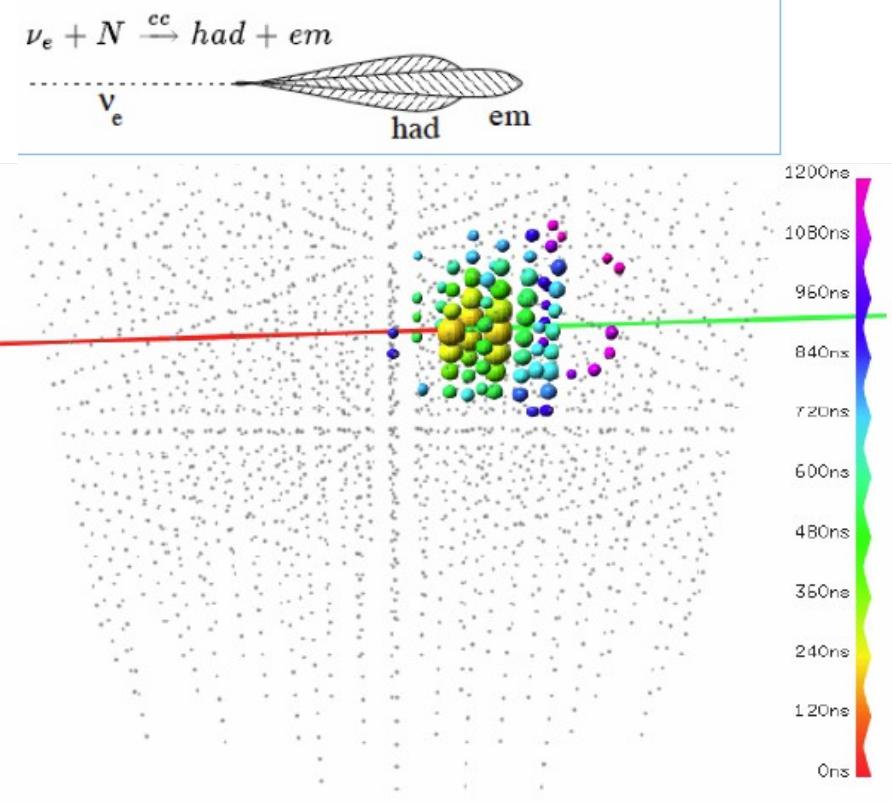
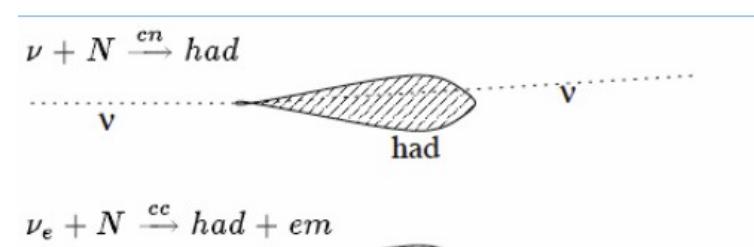
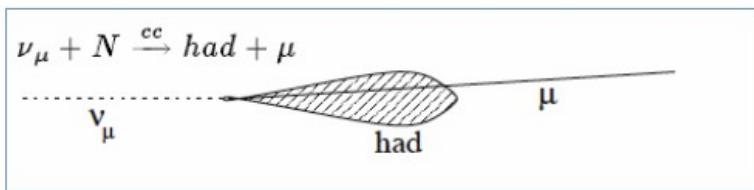
KM3NeT DOM

ORCA neutrino detector
at a depth of 2575 m

KM3NeT Collaboration (S. Adrián-Martínez, S), J.Phys. G43 (2016) 084001

Letter of intent for KM3NeT 2.0 [arXiv: 1601.07459]

KM3NeT/ORCA Simulations

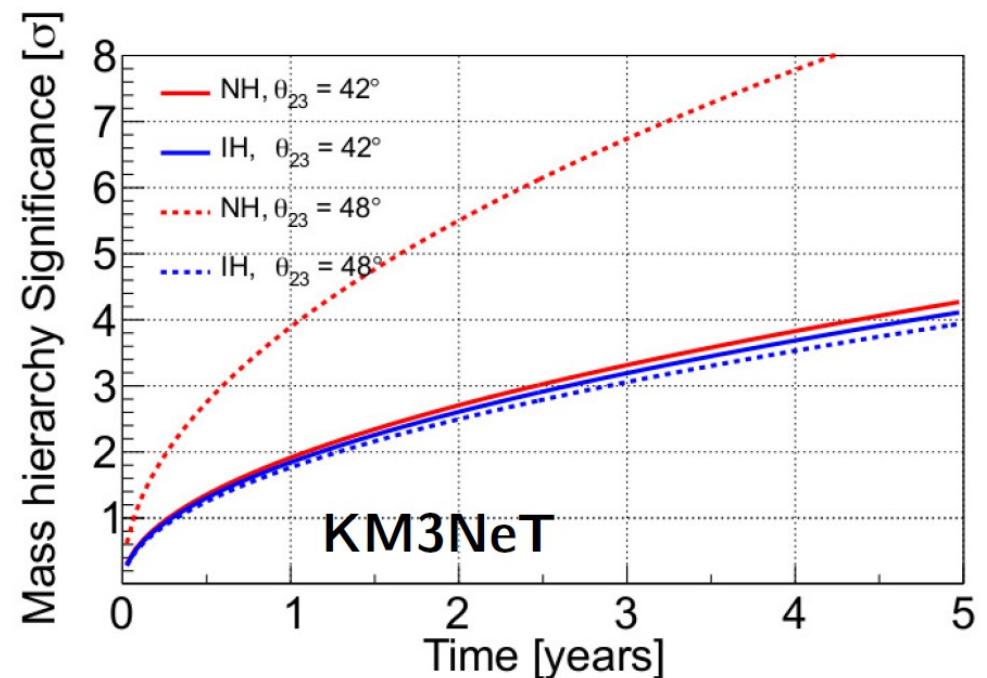
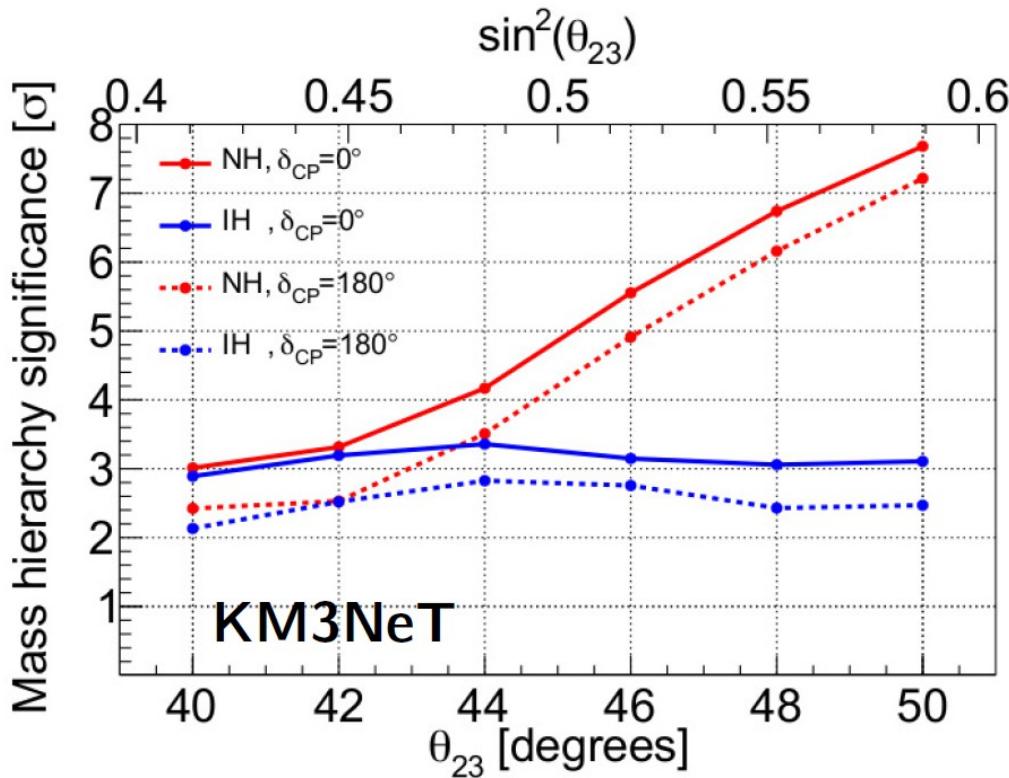


Reconstructed ν_μ and ν_e events.

KM3NeT/ORCA simulation chain includes:
ν-interactions (Genie), simulation of detector response (KM3Sim), simulation of the optical background, trigger simulation.

KM3NeT/ORCA Sensitivity to NMH

KM3NeT/ORCA neutrino mass hierarchy sensitivity for a detector with 9m vertical spacing.



Left plot: NMH dependency on Θ₂₃ for two values δ_{CP} for 3 years of operation time.

Right: NMH significance evolution over time for two selected values of θ₂₃.

Summary and Outlook

- Atmospheric, accelerator, solar and reactor neutrino experiments have provided compelling evidence for the neutrino oscillations.
- Neutrino oscillation parameters: PMNS mixing angles Θ_{12} , Θ_{13} , Θ_{23} and squared mass differences Δm^2_{32} and Δm^2_{21} are measured and defined.
- Neutrino oscillation parameters NMH(neutrino mass hierarchy), δ_{CP} and octant of Θ_{23} are still undefined from the current experiments.
- Neutrino oscillation parameters (including NHM, Θ_{23} octant, δ_{CP}) will be defined with high a precision in the future experimental projects such as JUNO, DUvE, KM3NeT/ORCA, Hyper-K, INO, IceCube/PINGU.